

# Foundations and Applications of Granular Computing

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# Outline

Introductory Comments and Motivation

Information granulation as a central pursuit of abstraction

Defining Granular Computing

Formal Models of Information Granules (sets, fuzzy sets, rough sets, shadowed sets)

Communication Issues: Encoding and Decoding mechanisms

Concluding note



# Granular Computing as a vehicle of human-centric pursuits

## **Human**

**semantics**

**abstraction and levels of abstraction**

**conflicting requirements**

**decision making**

**conflict resolution**

**classification**

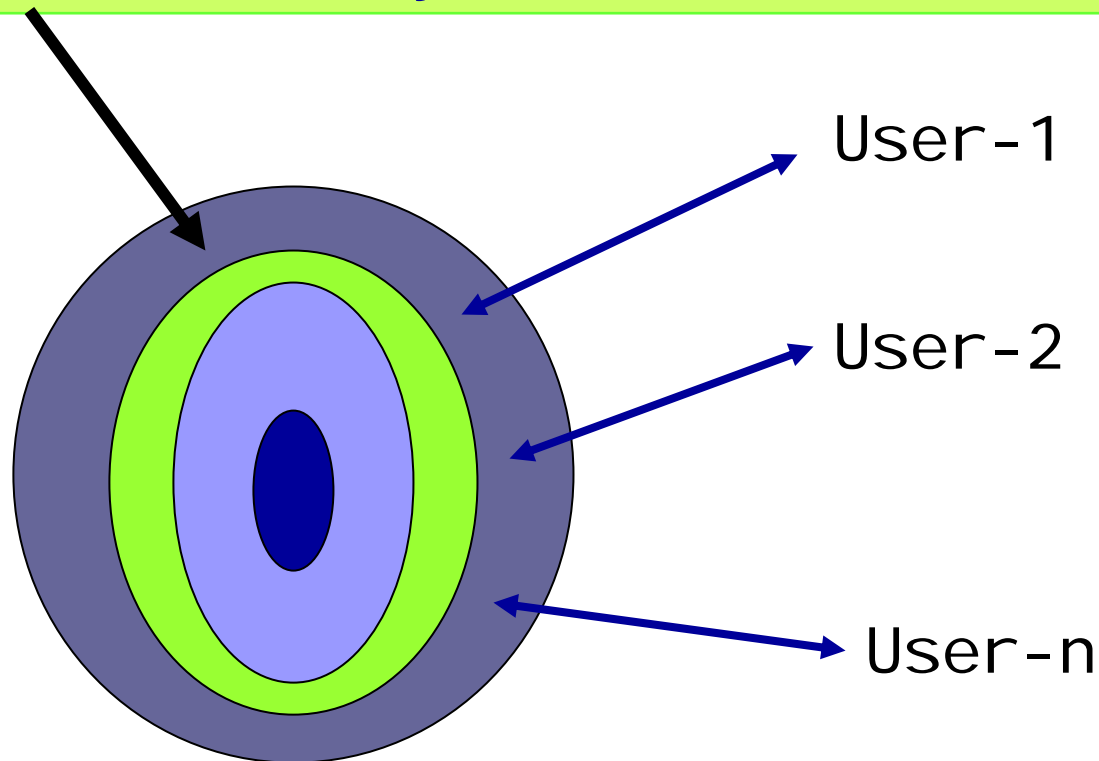
**interpretation**

# Granular Computing as a vehicle of human-centric pursuits

<b>Computing</b>	<b>syntax</b>
	<b>precision</b>
	<b>numeric processing</b>
	<b>hardware and software</b>
	<b>system of equations</b>
	<b>two-valued logic</b>

# Human-centric computing: communication framework

**Communication layer and communication mechanisms**





# Granular Computing

**Information granules: entities composed of elements drawn together on a basis of their similarity, functional closeness, spatial neighborhood, etc.**

**Information granulation: processes that support the Development of information granules**



# Granular Computing: Motivation

**Information granules as basic mechanisms of abstraction**

**Customized, user-centric and business-centric approach to problem description and problem solving**

**Processing at the level of information granules optimized with respect to the specificity of the problem**



# Granular Computing: diversity of formal environments

**Set theory, interval analysis**

**Probabilistic granules**

**Fuzzy sets**

**Rough sets**

**Shadowed sets**



# Granular Computing (GC)

## Interval analysis (mathematics)

Warmus, M., (1956), Calculus of approximations, *Bulletin de l'Academie Polonaise des Sciences*, **4**(5), 253-259.

## Fuzzy sets

Zadeh, L.A. (1965), Fuzzy sets, *Information & Control*, **8**, 338-353.

## Rough sets

Pawlak, Z. (1982), Rough sets, *Int. J. of Computer and Information Sciences*, **11**, 341-356.

1956

1965

1982

GC



# Time and information granulation

**Based on cultural, legal and business orientation of the users**

**Granularity: Years, months, days, .... Microseconds...**

**The granularity of information is user-oriented and problem-directed**

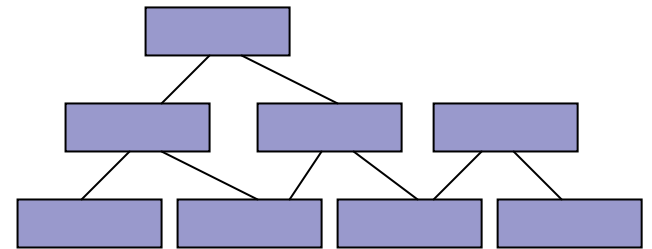
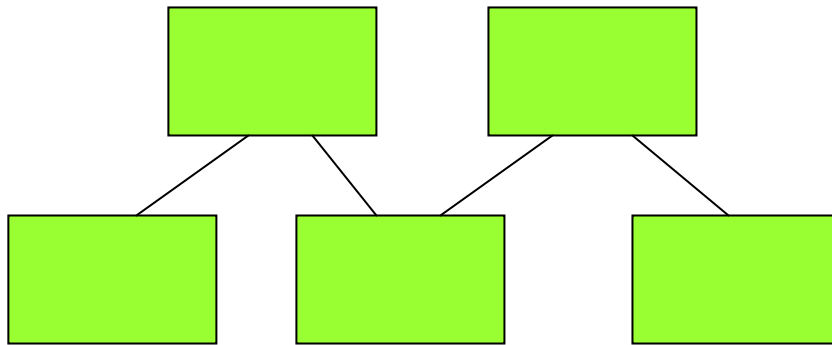


# Information granularity

**19<sup>th</sup> century: grains of silver emulsion in photography**

**20<sup>th</sup> century: grains (pixels) of digital images**

# Functional granulation

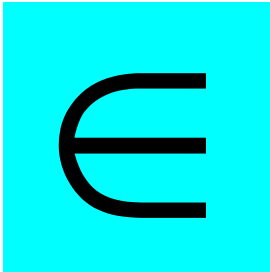


**Modules as meaningful functional entities**

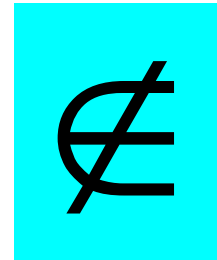
**Criteria of granulation ( cohesion, coupling, comprehension, maintainability...)**

# Sets

## ■ Notion of Membership



belongs to



excluded from

# Characteristic function

$$x \in A \iff A(x) = 1$$

$$x \notin A \iff A(x) = 0$$

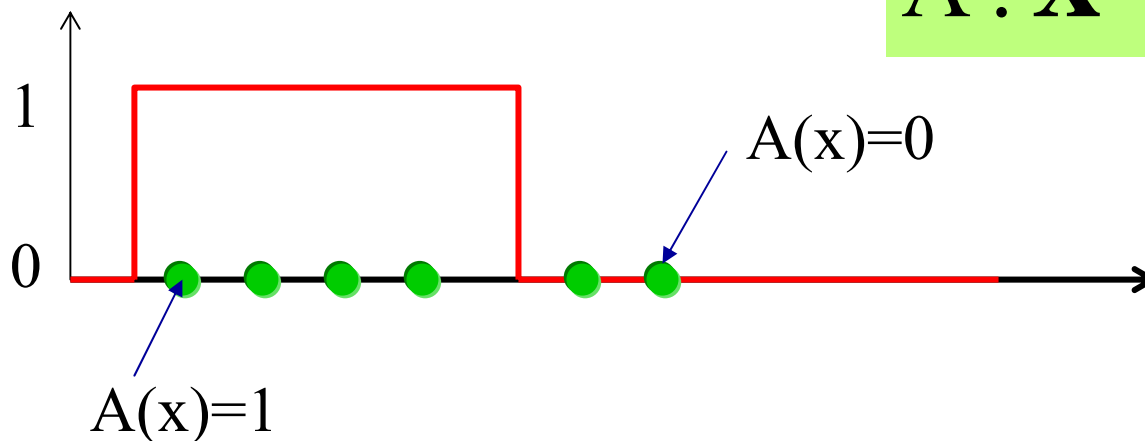
Concept of **dichotomy**

# Description of a set

## ■ Membership

□ *-enumerate elements belonging to the set*

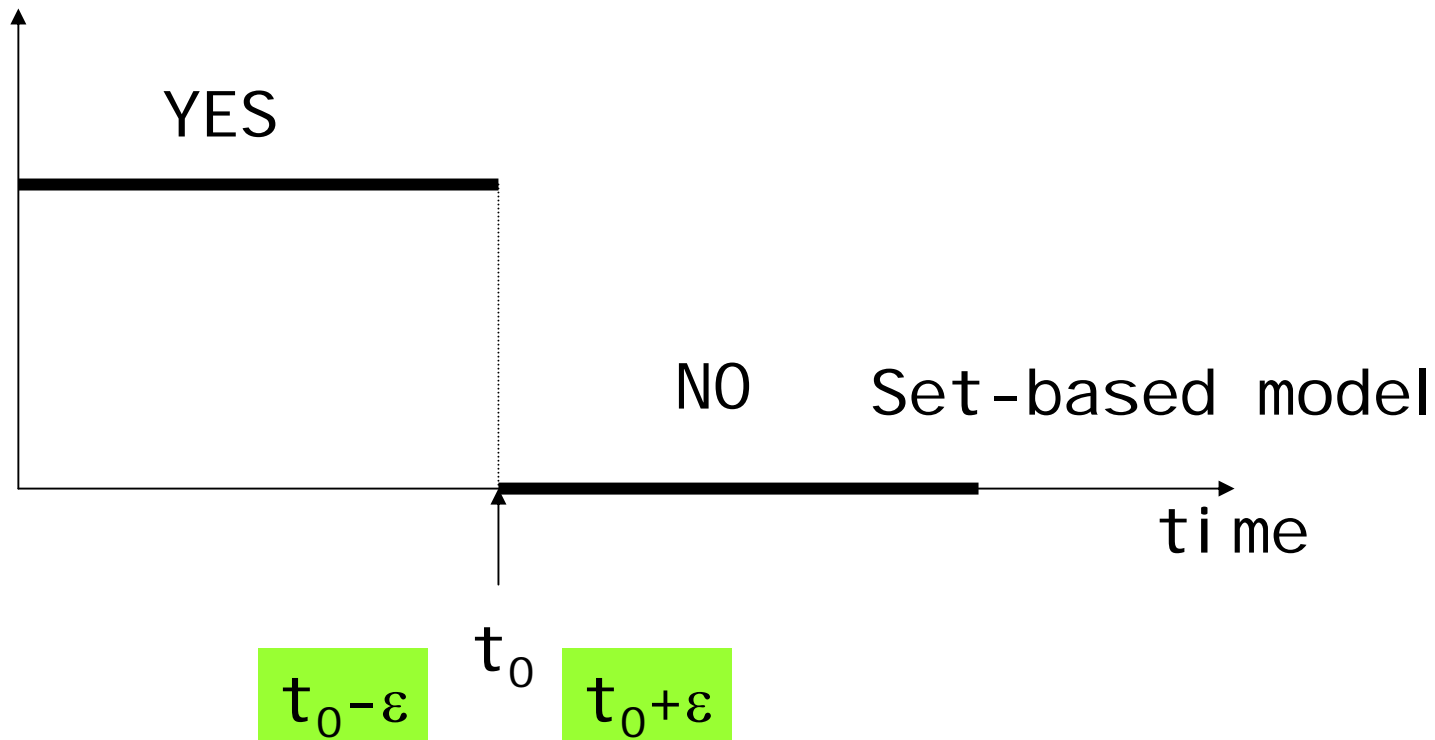
## ■ Characteristic function



$$A : X \rightarrow \{0,1\}$$

# Expressing specifications (1)

system's response time



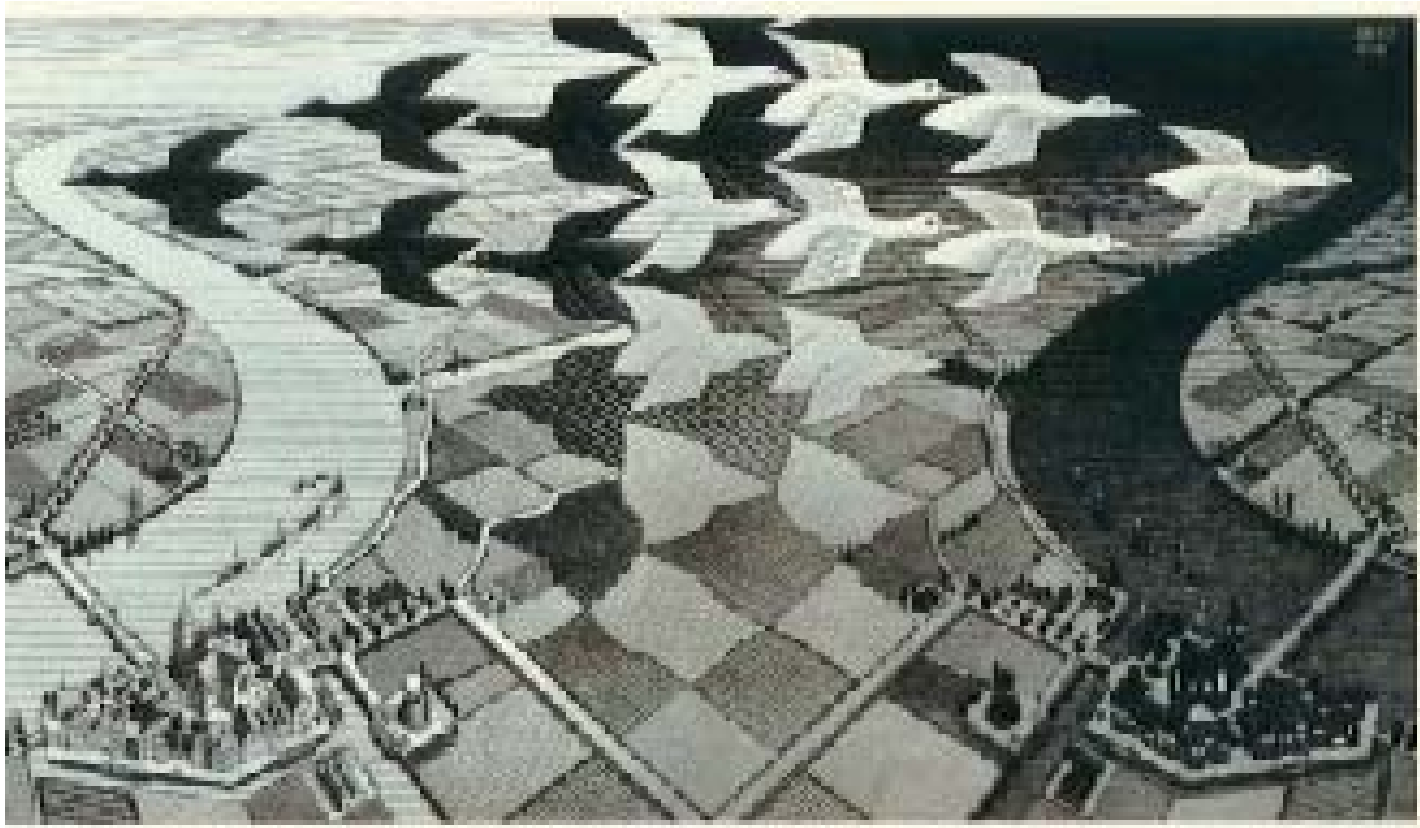




# Granular Computing: Set Theory and Interval Analysis

- **Support basic processes of abstraction by employing an idea of dichotomization**
- **Two-valued logic as a formal means of computing**
- **Basic mechanism of abstraction**
- **Information hiding**
- **Level of specificity of information granules reflected (quantified) by set cardinality**

# Sets – Fuzzy Sets



*M.C. Escher*



# Challenge: three-valued logic

Lukasiewicz (~1920)

**true (0)**

**false (1)**

**don't know (1/2)**

Three valued logic and databases

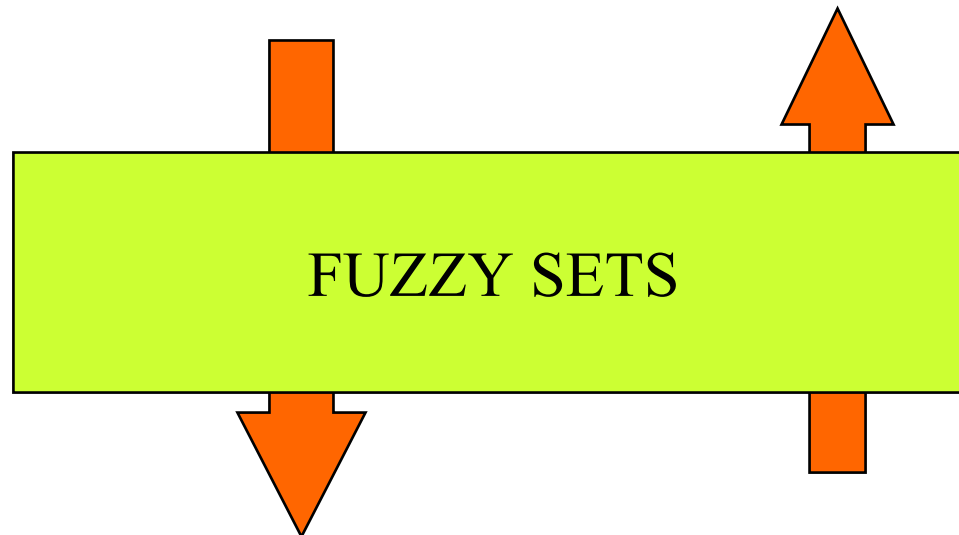
# Granular Computing: Non-Aristotelian View

*..in analyzing the Aristotelian codification, I had to deal with the two-valued, “either-or” type of orientation. In living, many issues are not so sharp, and therefore a system that posits the general sharpness of “either-or” and so objectifies “kind” , is unduly limited; it must be revised and more flexible in terms of “degree”...*

*A. Korzybski, 1933*

# *"Impedence" Mismatch*

Designer/User: linguistic terms, design objectives, conflicting requirements



Computer Systems: two-valued logic



# Granular Computing: Fuzzy Sets

- departure from dichotomization (*yes-no*)
- refinement of concepts by accepting *continuous* membership grades
- based on ideas of multivalued (fuzzy) logic
- mechanism of abstraction capturing *qualitative* as well as *quantitative* facet of concepts

# Fuzzy Sets: Membership functions

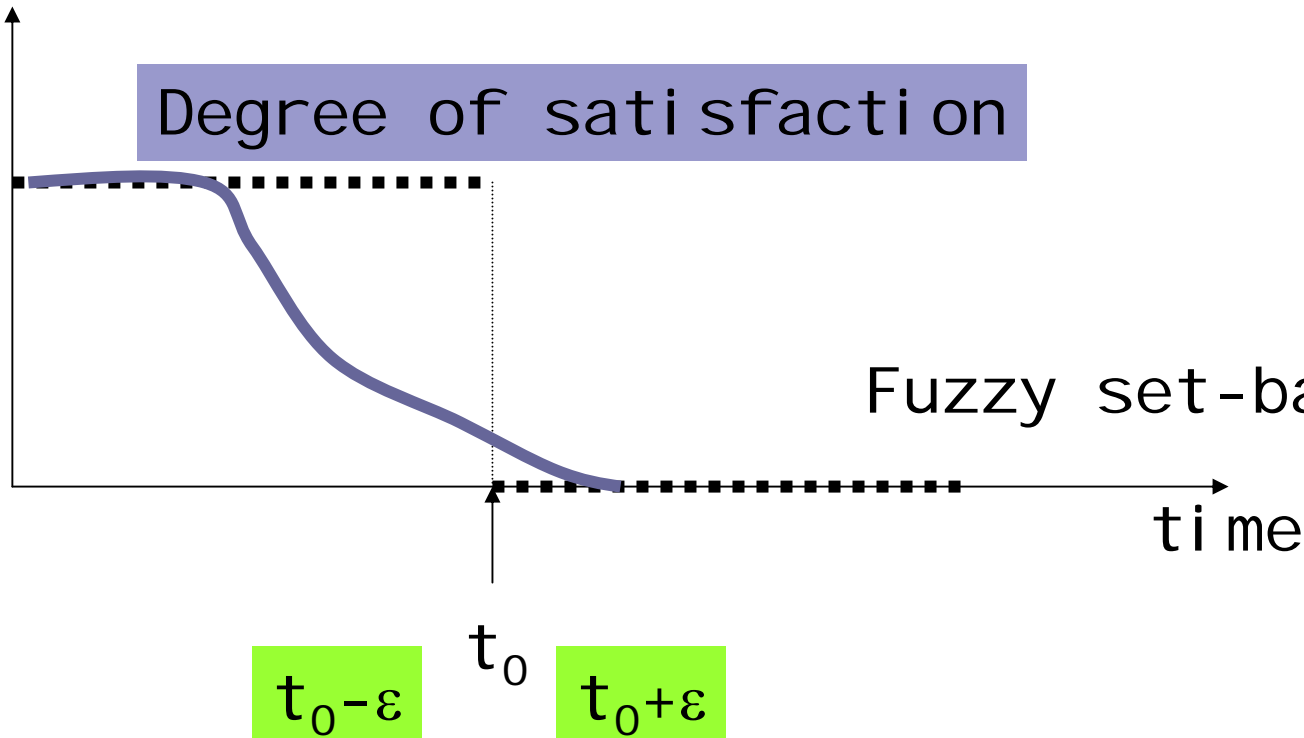
- Partial membership of element to the set – membership degree  $A(x)$
- The higher the value of  $A(x)$ , the more typical the element “ $x$ ” (as a representative of  $A$ )

# Expressing specifications (2)

system's response time

Degree of satisfaction

Fuzzy set-based model





# Probability and fuzzy sets

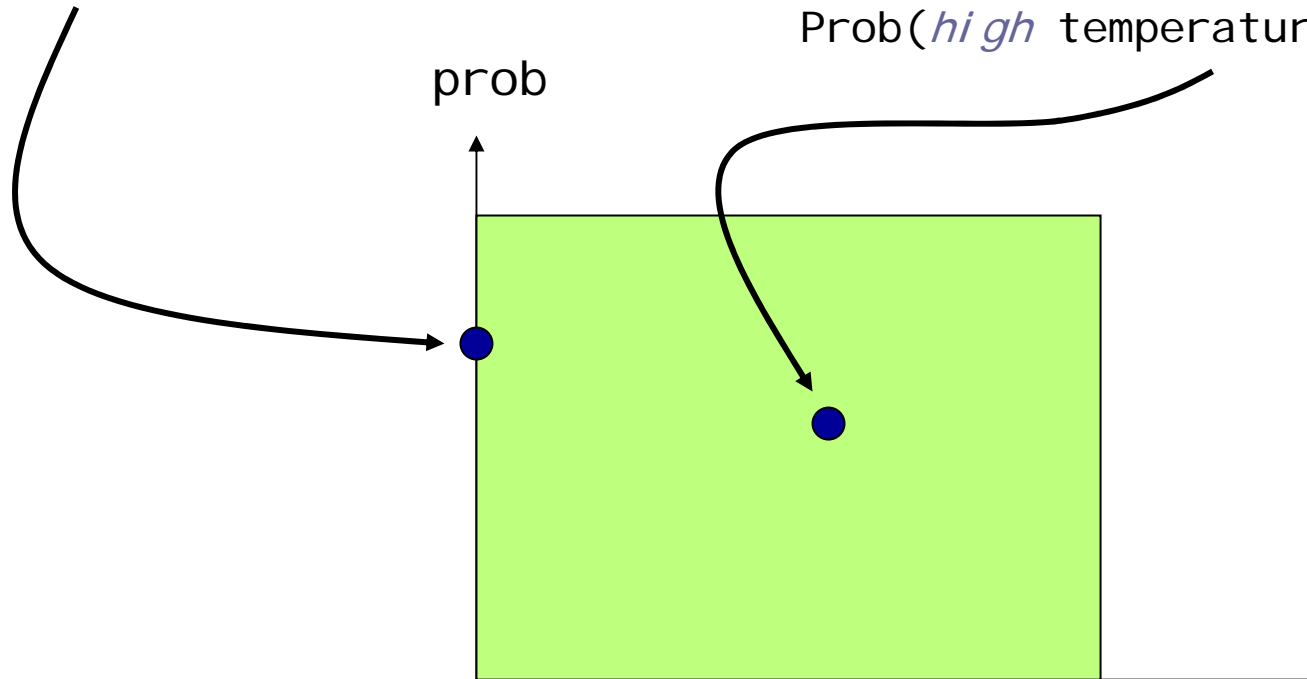
Prob(*high* temperature) =  $\alpha$

Prob(*high* temperature) = *low*

# Probability and fuzzy sets

Prob(*high* temperature) =  $\alpha$

Prob(*high* temperature) = *low*



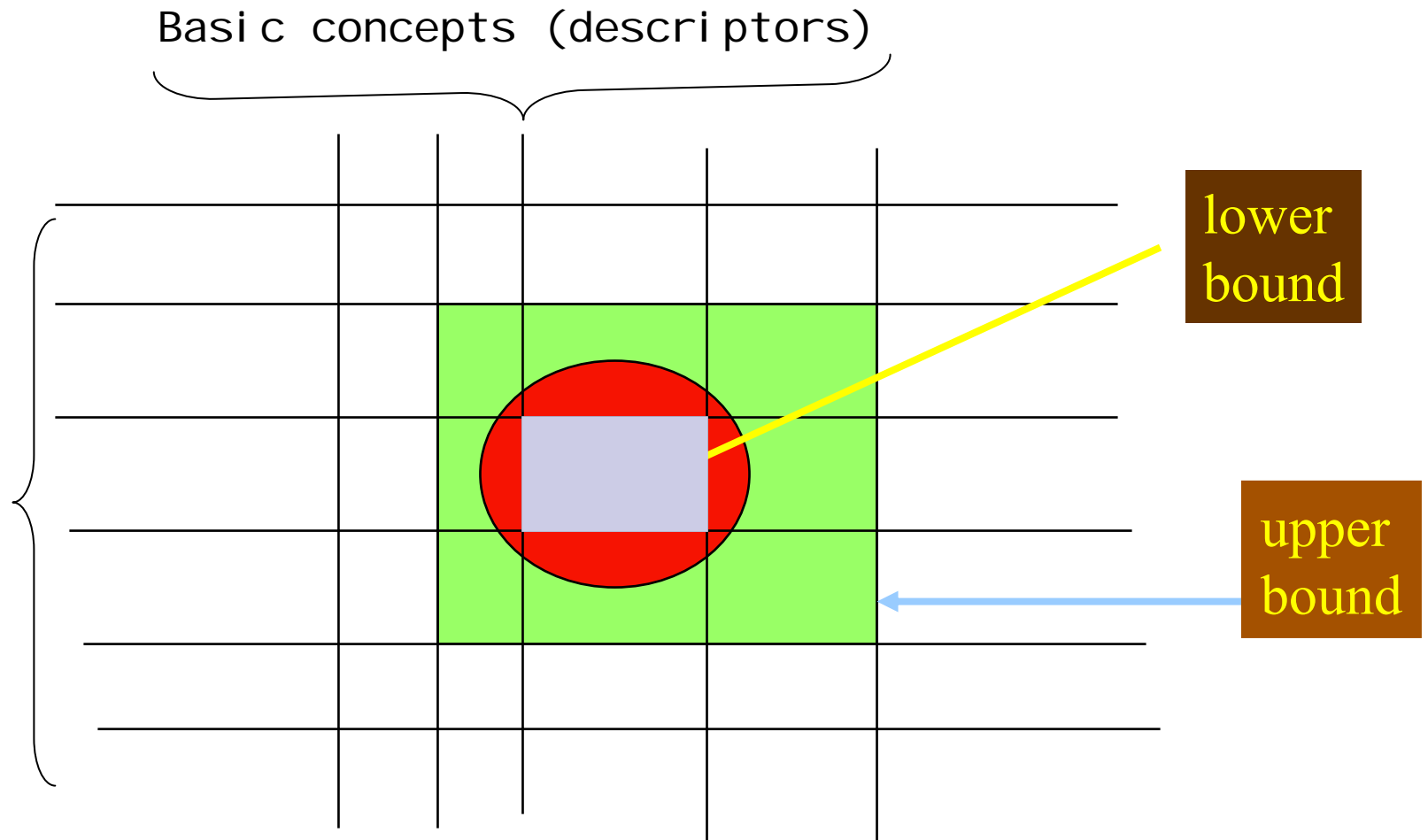
Fuzzy sets



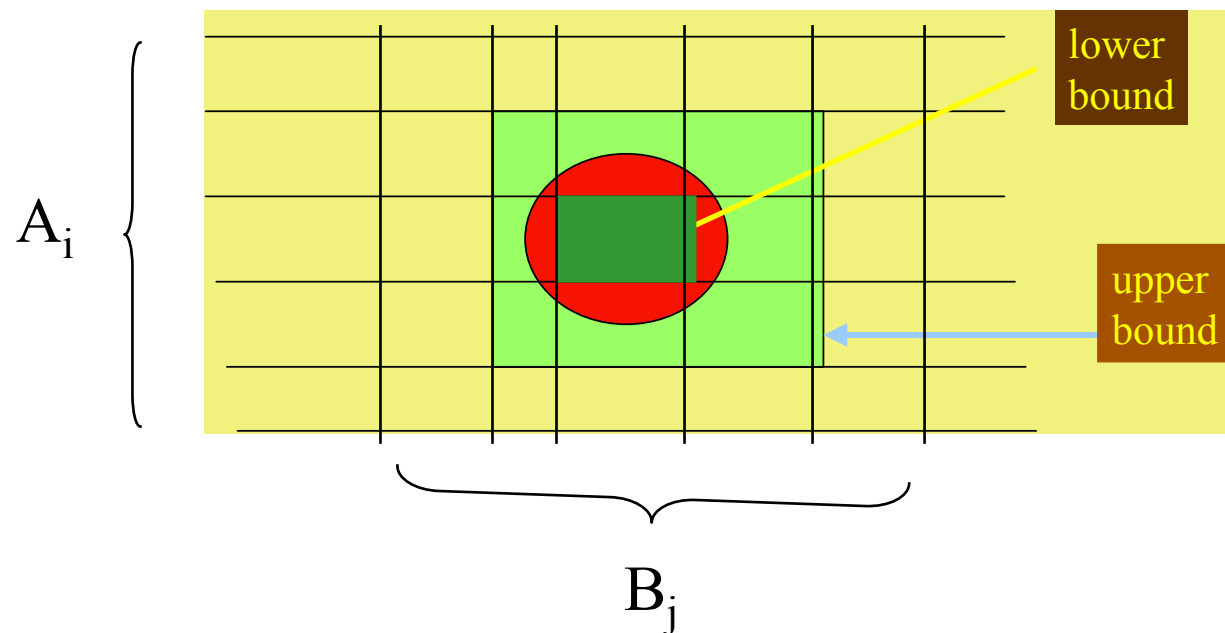
# Granular Computing: Rough Sets

- **defining information granules through their lower and upper bounds**
- **identifying regions with a lack of knowledge about concept**
- **expressing aspects of uncertainty through “rough” boundaries**

# Granular Computing: Rough Sets



# Granular Computing: Rough Sets

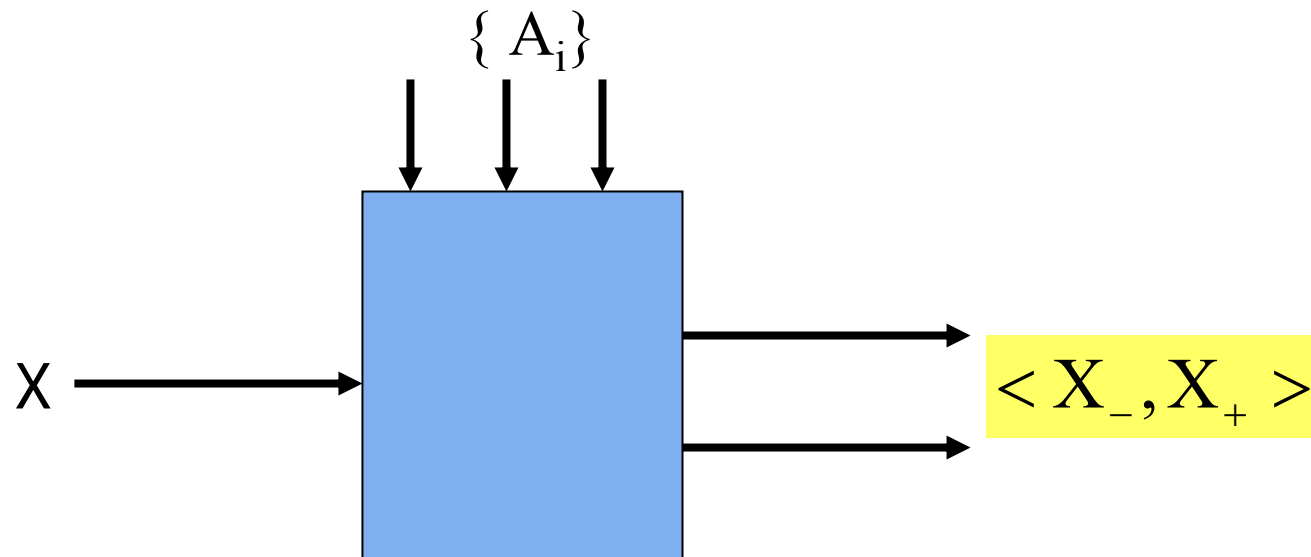


$$\langle X_-, X_+ \rangle$$

lower bound :  $X_- = \{(A_i, B_j) \mid X \supseteq A_i \times B_j\}$

upper bound :  $X_+ = \{(A_i, B_j) \mid X \cap (A_i \times B_j) \neq \emptyset\}$

# Communication mechanisms: Rough Sets



Description of  $X$  in the language of  $\{A_i\}$

# Shadowed sets and fuzzy set constructs

Interval-valued fuzzy sets

Type -2 fuzzy sets



Conceptual developments

Shadowed sets



Induced by fuzzy sets,  
Result of some design process



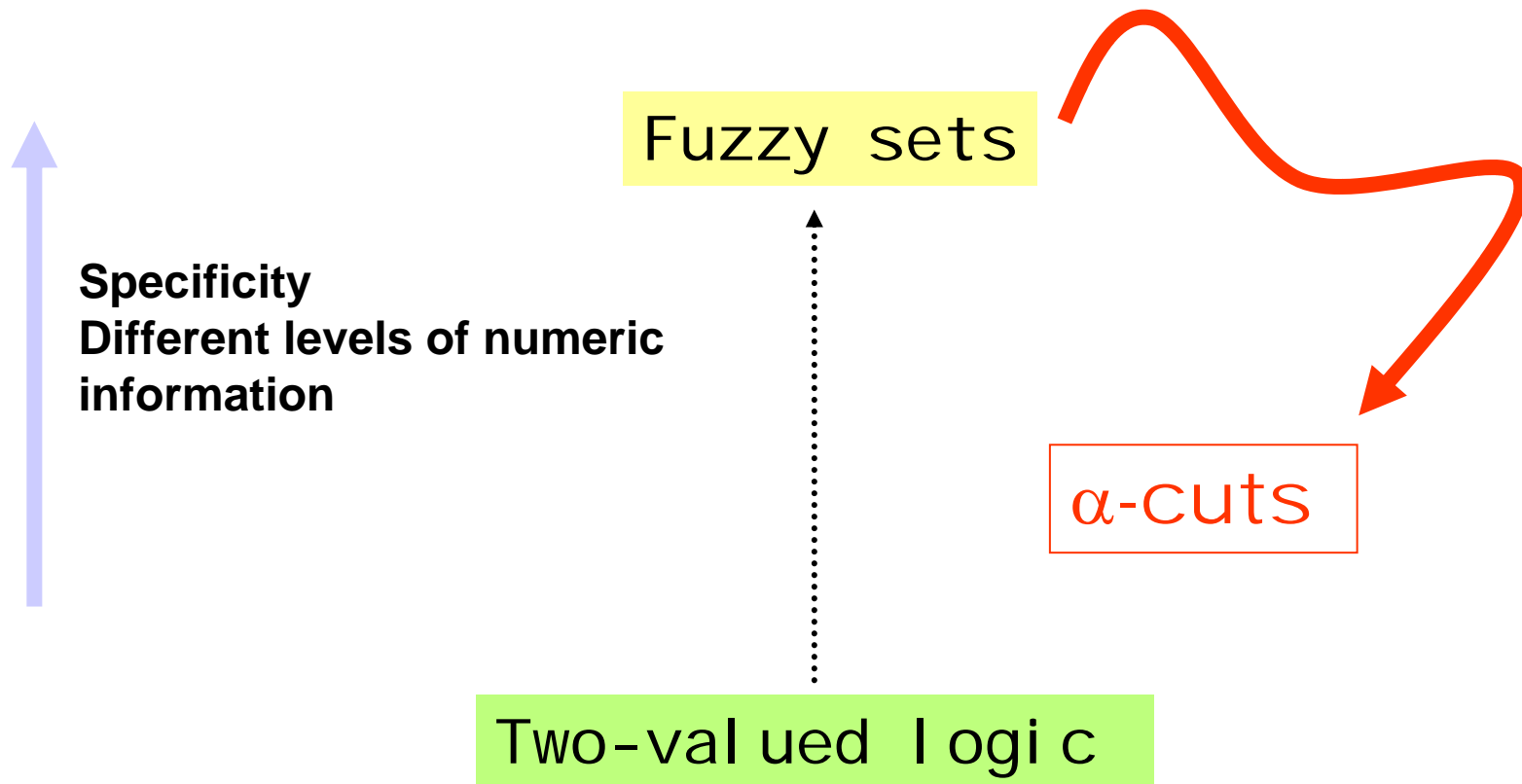
# Fuzzy Sets: open questions (design, analysis, and interpretation)

Fuzzy sets → processing → computing overhead

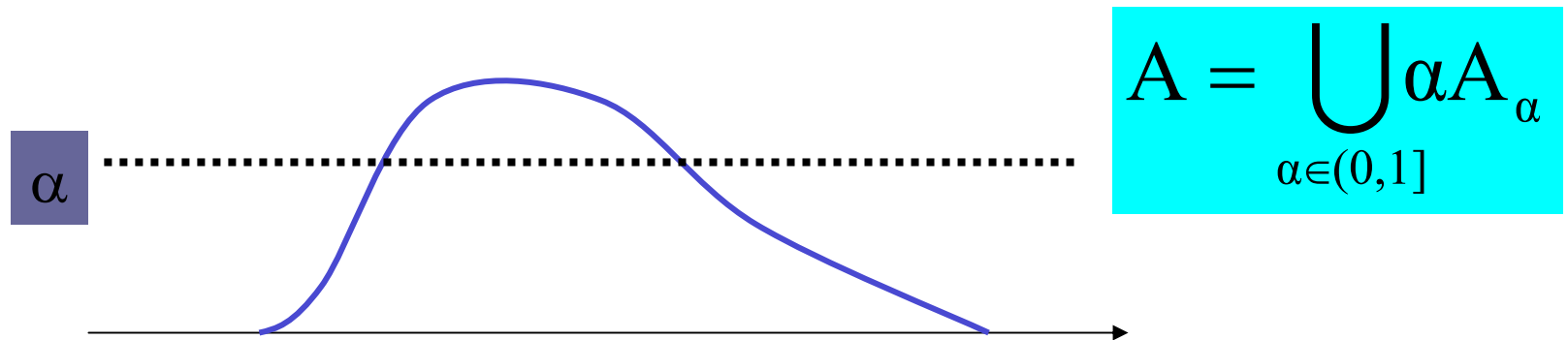
Fuzzy sets → interpretation (detailed numeric membership grades and their semantics)



# Fuzzy Sets and some retrospective views



# Fuzzy set and sets ( $\alpha$ -cuts)



$A(x) < \alpha$  reduce to 0 otherwise return 1

- \* Choice of  $\alpha$
- \* no reflection of "quality" of conversion of membership grades to zero or one

# Shadowed sets

$$A : X \rightarrow \{0, 1, [0,1]\}$$

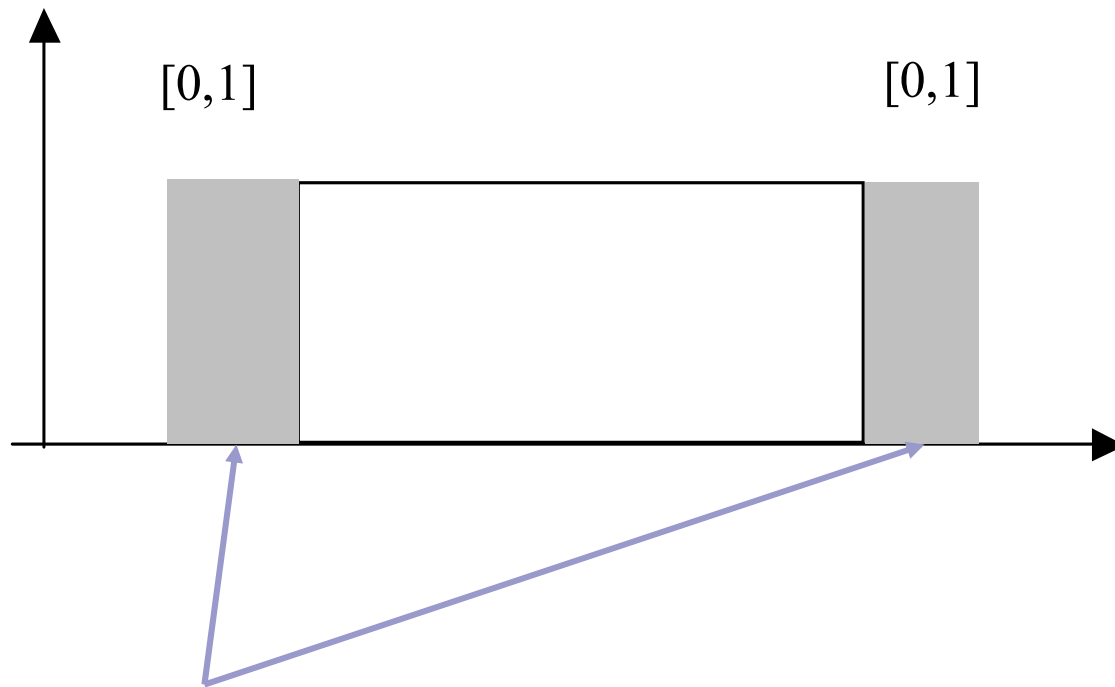
Exclusion

inclusion

No numeric commitment  
(no single membership degree)

# Shadowed sets

$$A : \mathbf{X} \rightarrow \{0, 1, [0,1]\}$$



Shadows- “concentration” of intermediate membership grades in some regions of  $X$

# Operations on shadowed sets (1)

union

$$\begin{array}{c} 0 \\ 1 \\ [0,1] \end{array} \left[ \begin{array}{ccc} 0 & 1 & [0,1] \\ 1 & 1 & 1 \\ [0,1] & [0,1] & 1 \end{array} \right] \begin{array}{c} \\ \\ 0 \end{array} \begin{array}{c} \\ \\ 1 \end{array} \begin{array}{c} \\ \\ [0,1] \end{array}$$

intersection

$$\begin{array}{c} 0 \\ 1 \\ [0,1] \end{array} \left[ \begin{array}{ccc} 0 & 0 & 0 \\ 0 & 1 & [0,1] \\ 0 & [0,1] & [0,1] \end{array} \right] \begin{array}{c} \\ \\ 0 \end{array} \begin{array}{c} \\ \\ 1 \end{array} \begin{array}{c} \\ \\ [0,1] \end{array}$$

# Operations on shadowed sets (2)

complement

$$\begin{matrix} 0 & 1 \\ 1 & 0 \\ [0,1] & [0,1] \end{matrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

# Development of shadowed sets induced by fuzzy sets

Reallocation of membership degrees and maintaining their balance

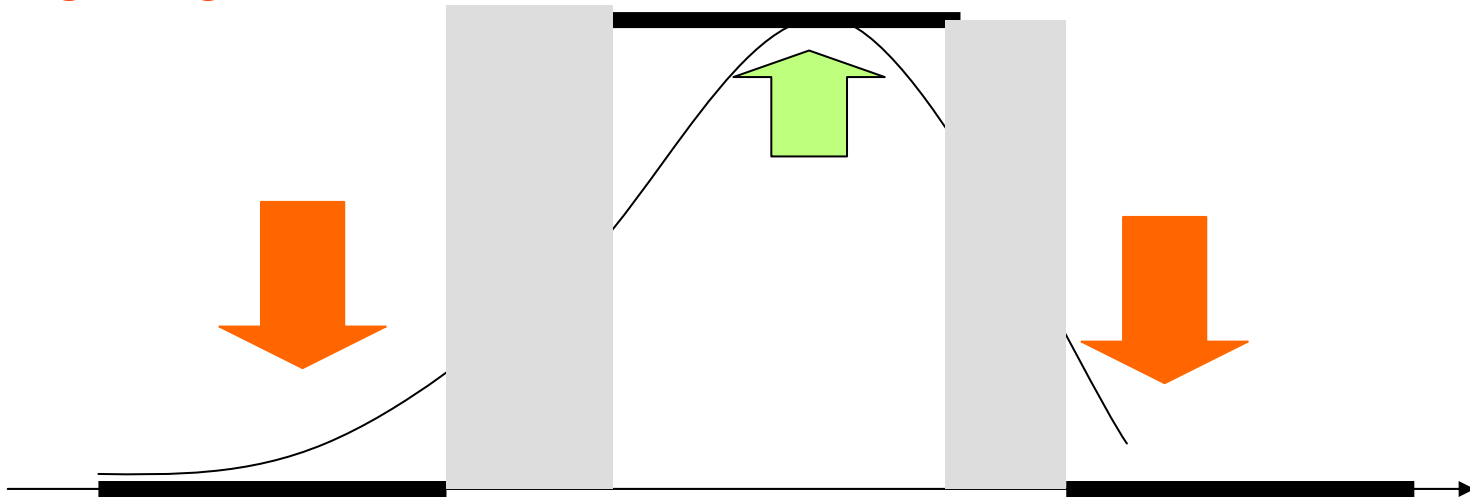
**REDUCTION OF MEMBERSHIP (to 0) +  
+ELEVATION OF MEMBERSHIP (to 1) =  
= SHADOW**

# Development of shadowed sets induced by fuzzy sets

REDUCTION OF MEMBERSHIP (to 0) +

+ELEVATION OF MEMBERSHIP (to 1) =

= **SHADOW**





# Development of shadowed sets induced by fuzzy sets

Reduction of membership  $\int_{x:A(x)\leq\beta} A(x)dx$

elevation of membership  $\int_{x:A(x)\geq 1-\beta} (1-A(x))dx$

Shadow-localization of membership  $\int_{x:\beta < A(x) < 1-\beta} dx$

# Development of shadowed sets as an optimization problem

REDUCTION OF MEMBERSHIP (to 0) + ELEVATION OF MEMBERSHIP (to 1) =  $V(\alpha) = |$   
= **SHADOW**

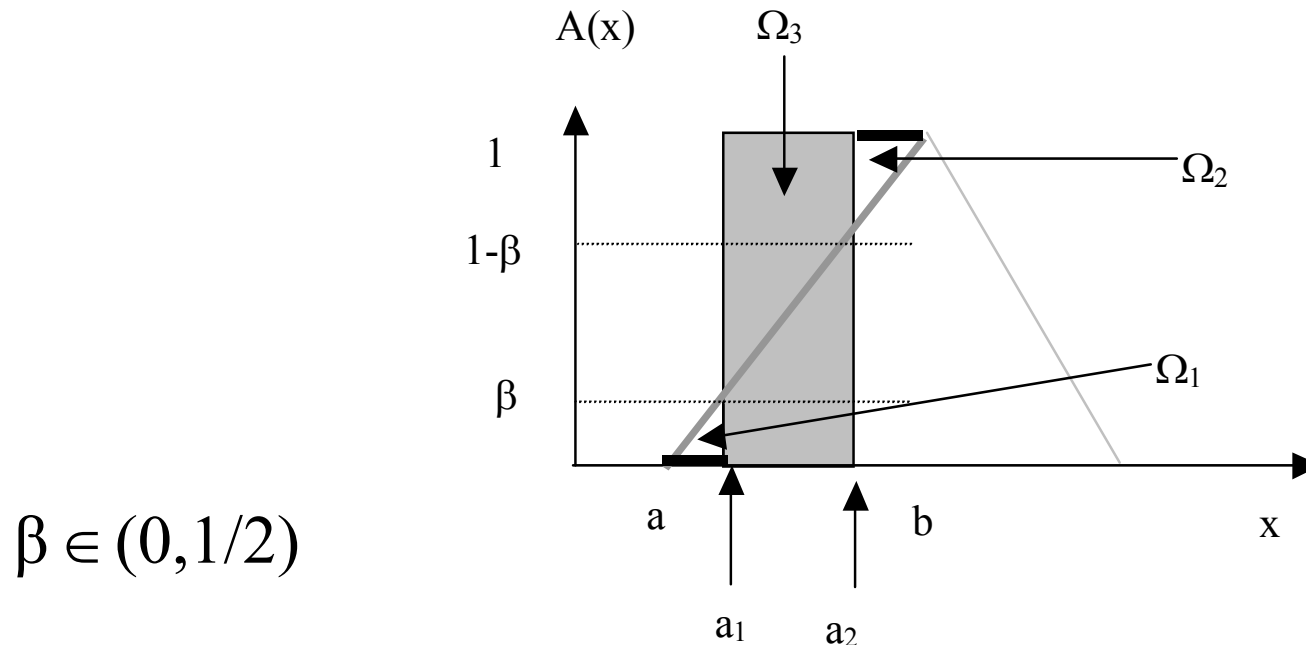
$$V(\beta) = | \int_{x:A(x) \leq \beta} A(x) dx + \int_{x:A(x) \geq 1-\beta} (1 - A(x)) dx - \int_{x:\beta < A(x) < 1-\beta} dx |$$

Min  $V(\beta)$  wrt. to  $\beta$

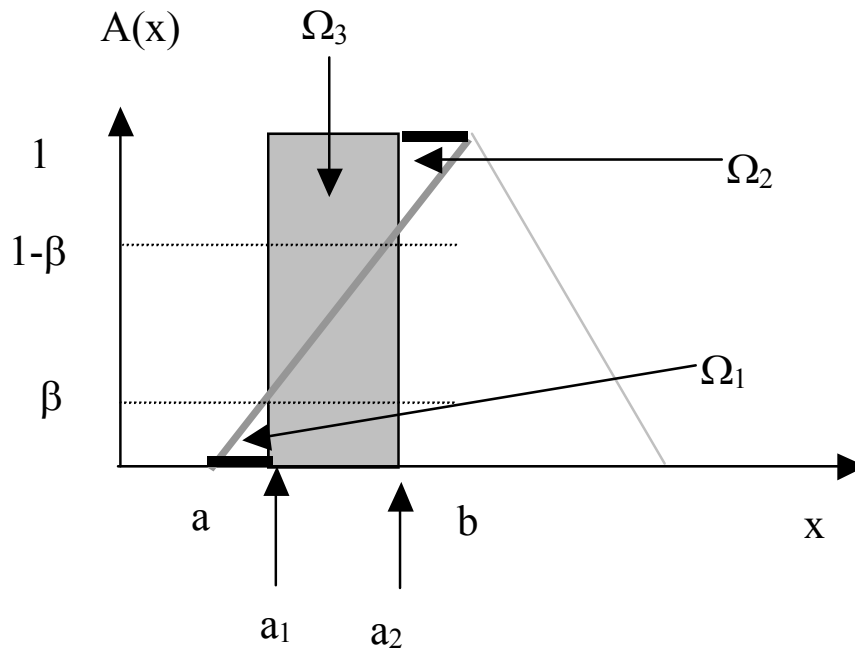
# From fuzzy sets to shadowed sets

Design criterion:

reflect the amount of intermediate membership grades transformed into 0 or 1



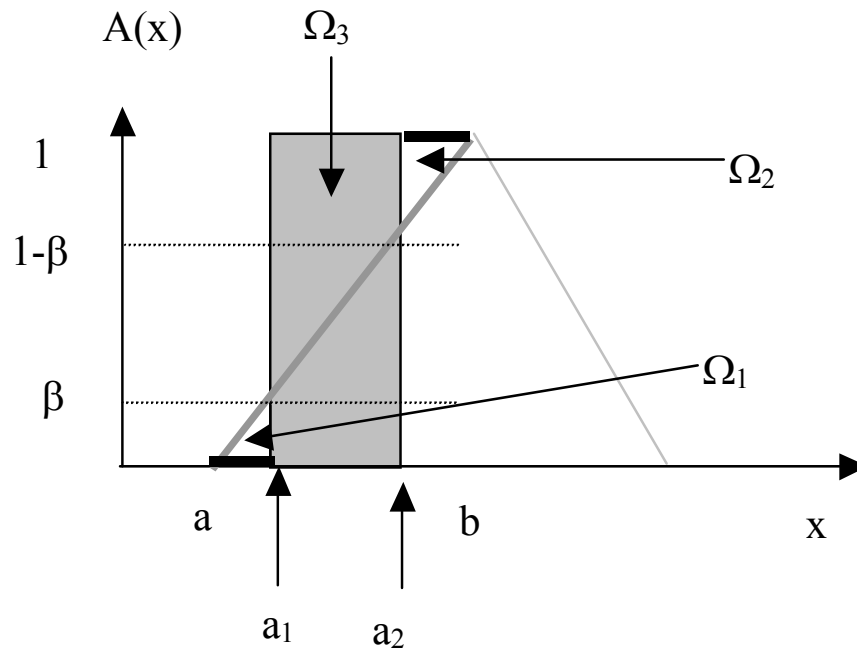
# Development of shadowed sets



$$\Omega_1 + \Omega_2 = \Omega_3$$

$$\int_a^{a_1} A(x)dx + \int_{a_2}^b (1 - A(x))dx = \int_{a_1}^{a_2} dx$$

# Triangular fuzzy sets



$$\beta = \frac{2^{3/2} - 2}{2} = 0.4142$$

# Discrete shadowed sets

Fuzzy set with  $u_k$ ,  $k=1, 2, \dots, N$

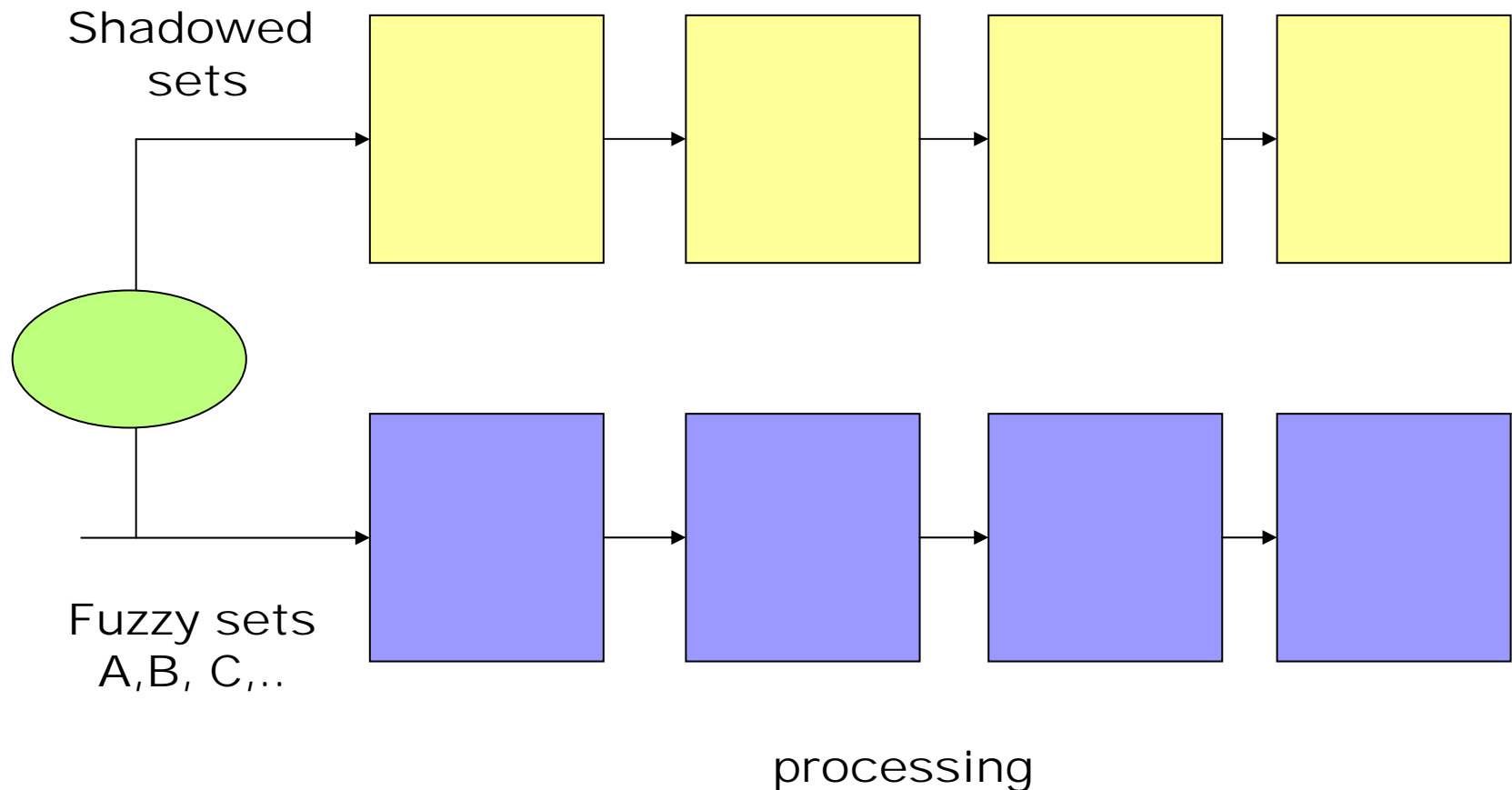
$$\Omega_1 = \sum_{k: u_k \leq \beta} u_k$$

$$\Omega_2 = \text{card} \{u_k \mid \beta < u_k < 1 - \beta\}$$

$$\Omega_3 = \sum_{k: u_k \geq 1 - \beta} (1 - u_k)$$

$$V(\beta) = |\Omega_1 + \Omega_3 - \Omega_2|$$

# Environments of fuzzy sets and shadowed sets





# Interfaces of Granular Computing

**User-centric and user-friendly environment of paramount importance to Granular Computing**

- **User → system**
- **System → user**



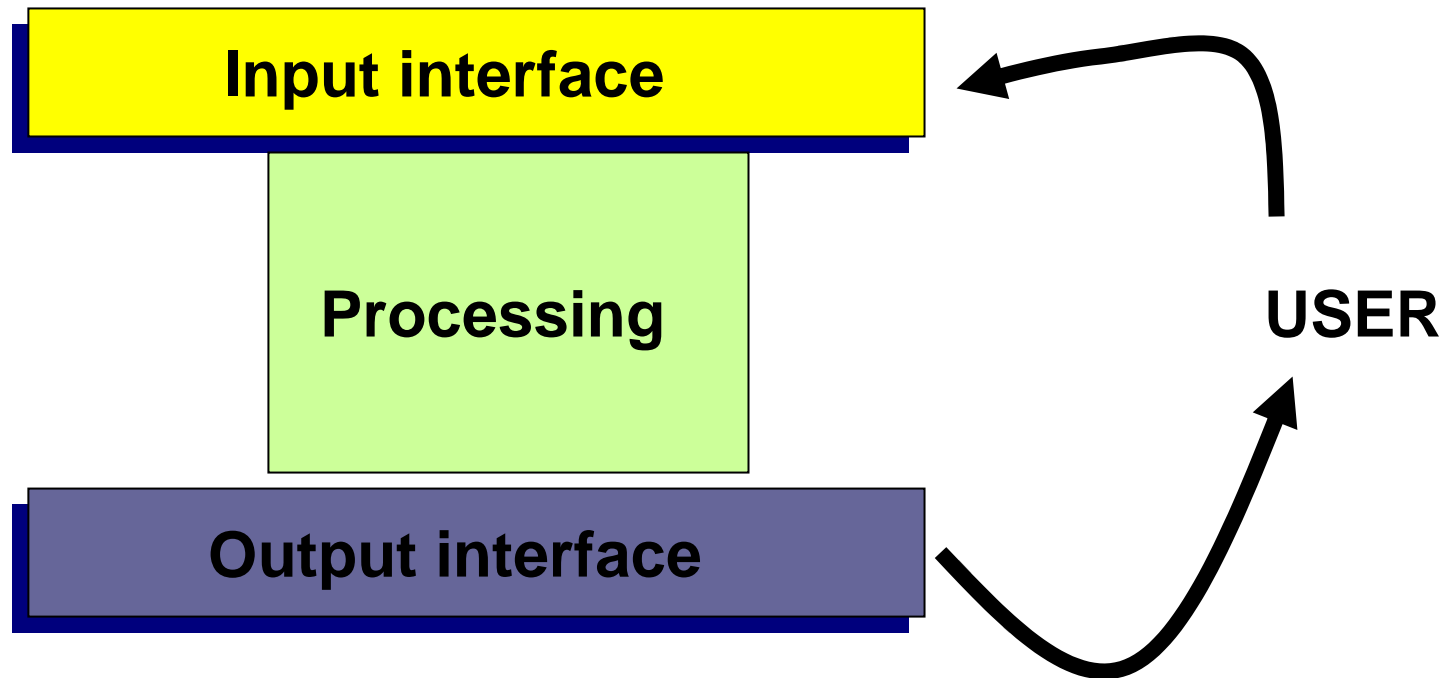


# Two categories of interfaces

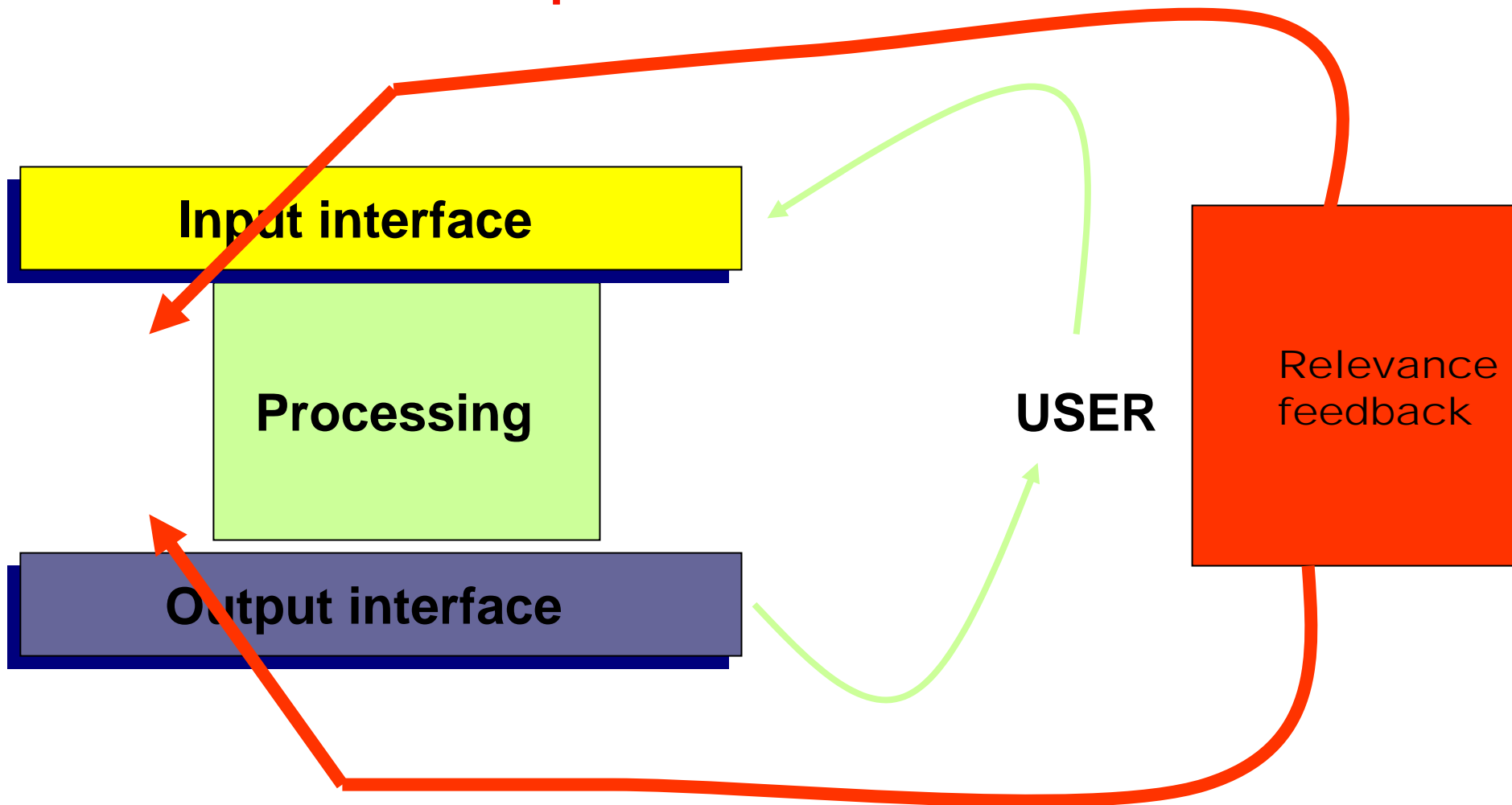
## **Reflecting the preferences of users**

- **Static approach (fixed characteristics)**
- **Dynamic approach (personalization;  
e.g.relevance feedback)**

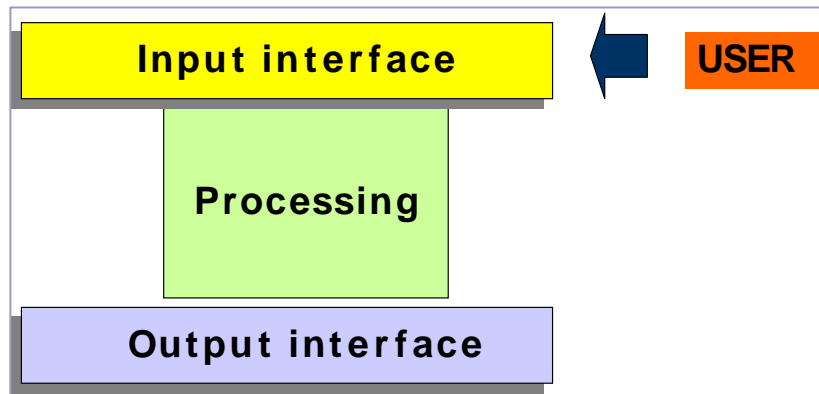
# Interfaces: Architectural considerations



# Interfaces- personalization



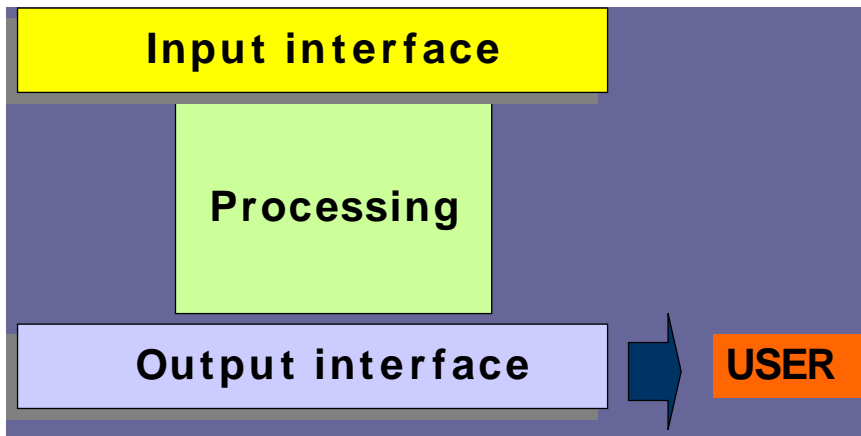
# Input Interfaces



## Granularity of input information

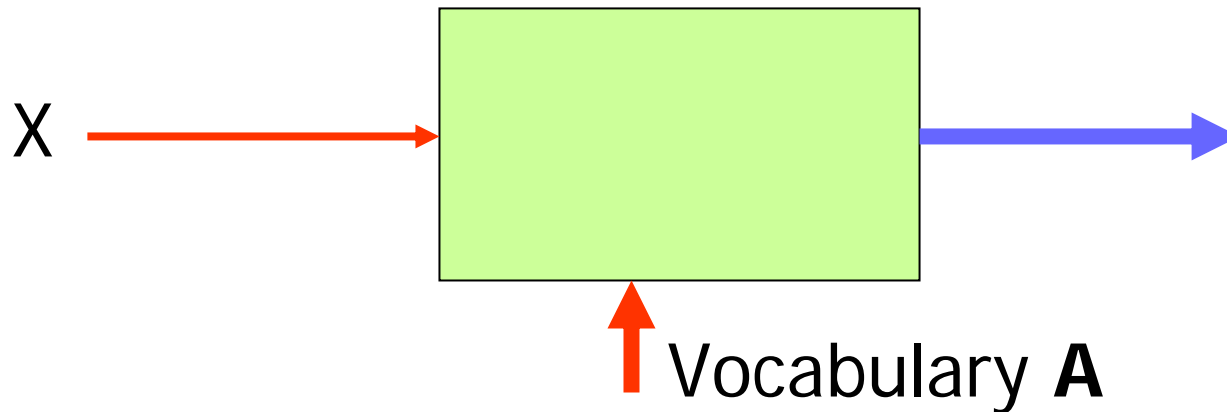
- Variable level of granularity (modeling level of confidence)
- Formal models of granular information
- Linguistic data
- Computing overhead
- Specificity of the processing module

# Output Interfaces



- Preferences of users (level of specificity; summarization)
- Visualization of results
- Numeric condensation of results

# Input Interfaces- Design Paradigm

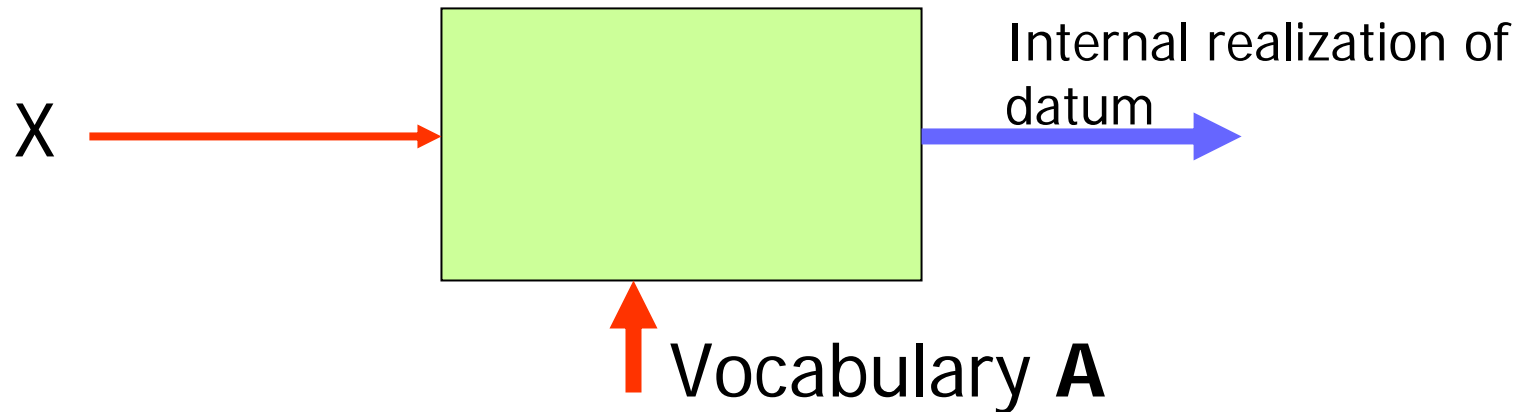


Input datum  $X$

Vocabulary  $\mathbf{A} = \{A1, A2, \dots, Ac\}$

Problem: expressing  $X$  in terms of  $\mathbf{A}$

# Possibility and Necessity Measures

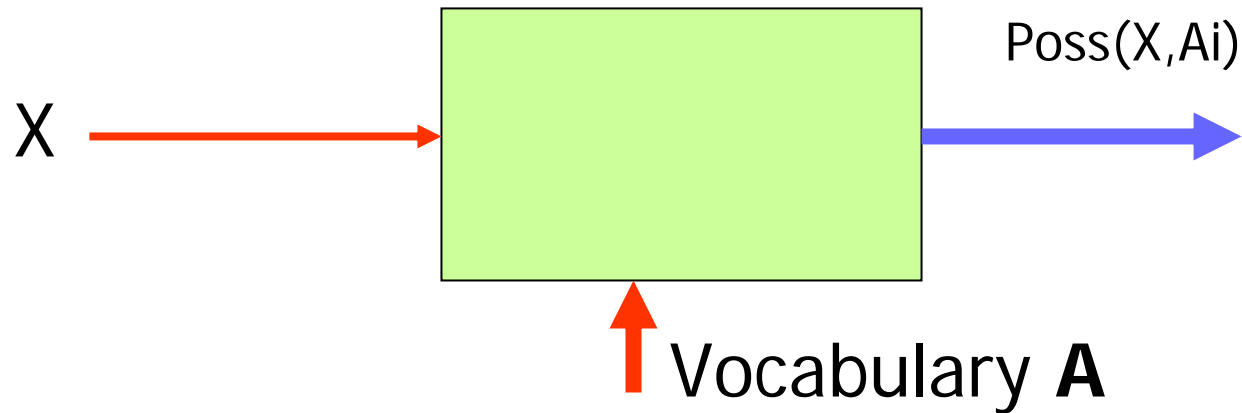


Possibility:  $\text{Poss}(X, A_i)$

Necessity:  $\text{Nec}(X, A_i)$

Aggregates of possibility and necessity

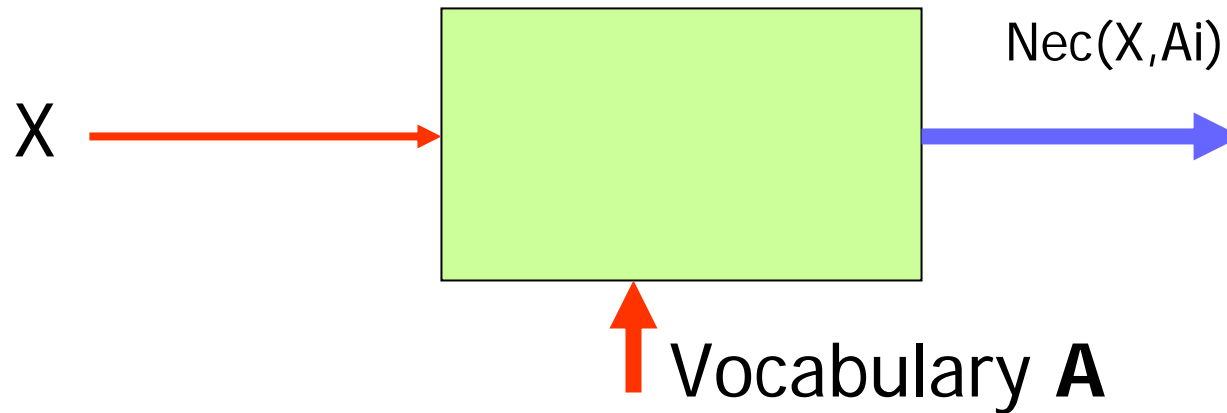
# Possibility Measure



$\text{Poss}(X, A_i)$  -- degree of overlap of  $X$  and  $A_i$



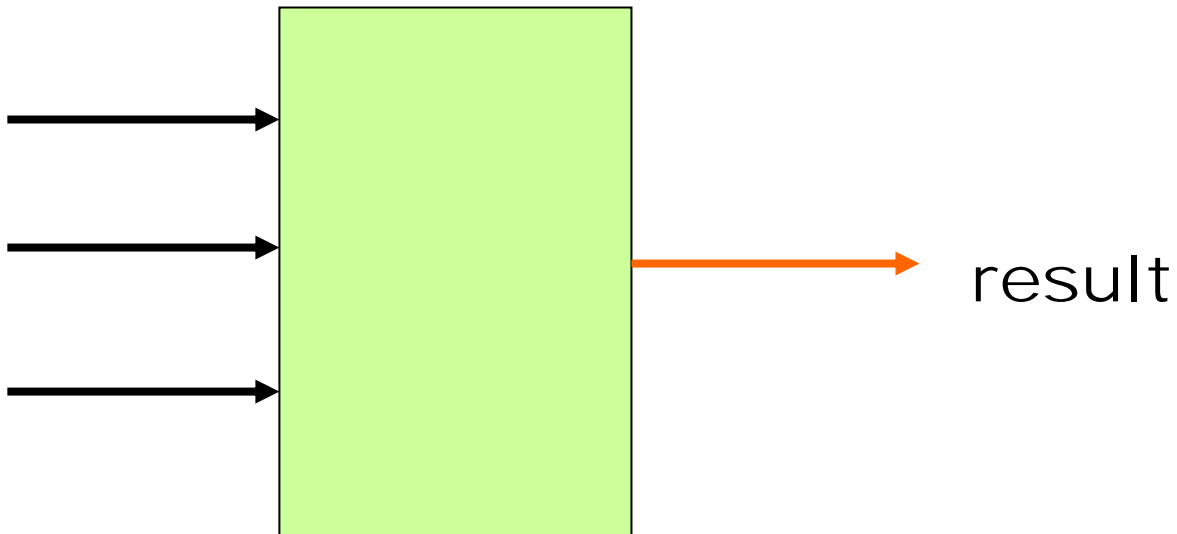
# Necessity Measure



$Nec(X, A_i)$  -- degree of inclusion of  $X$  in  $A_i$

# Output interfaces

**communicating results in a meaningful and “readable” manner**





# Taxonomy of communication modes

**Linguistic (granular)**

**Linguistic approximation**

**Shadowed set (quantification of uncertainty)**

**Numeric representation**

# Taxonomy of communication modes

## Linguistic (granular)

Expressing result in terms of the vocabulary of generic linguistic terms

$$\{ A1 (\lambda 1), A2(\lambda 2), \dots, Ac(\lambda c) \}$$

# Taxonomy of communication modes

## Linguistic approximation

Approximate the result by a single element from the vocabulary

$A_i$

using eventually linguistic modifiers ( $\tau$ ; *very, more or less*, etc.)

$\tau(A_i)$



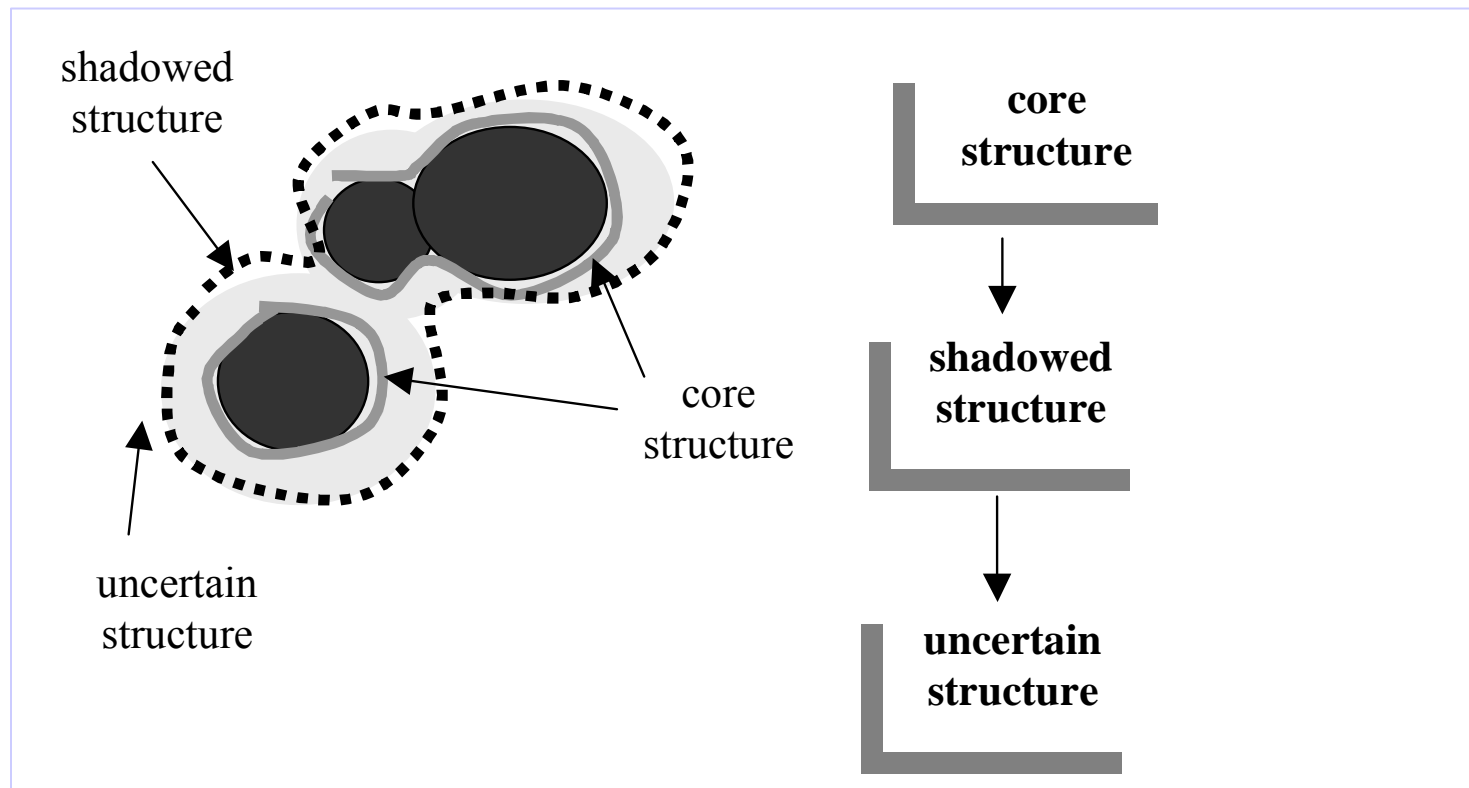
# Taxonomy of communication modes

**Shadowed set (quantification of uncertainty)**

**Fuzzy set transformed into a shadowed set which allows for a three-valued quantification**

- (a) Full membership**
- (b) "localized" uncertainty**
- (c) Membership excluded**

# Shadowed sets: interpretation of data structure and hierarchy of concepts





# Taxonomy of communication modes

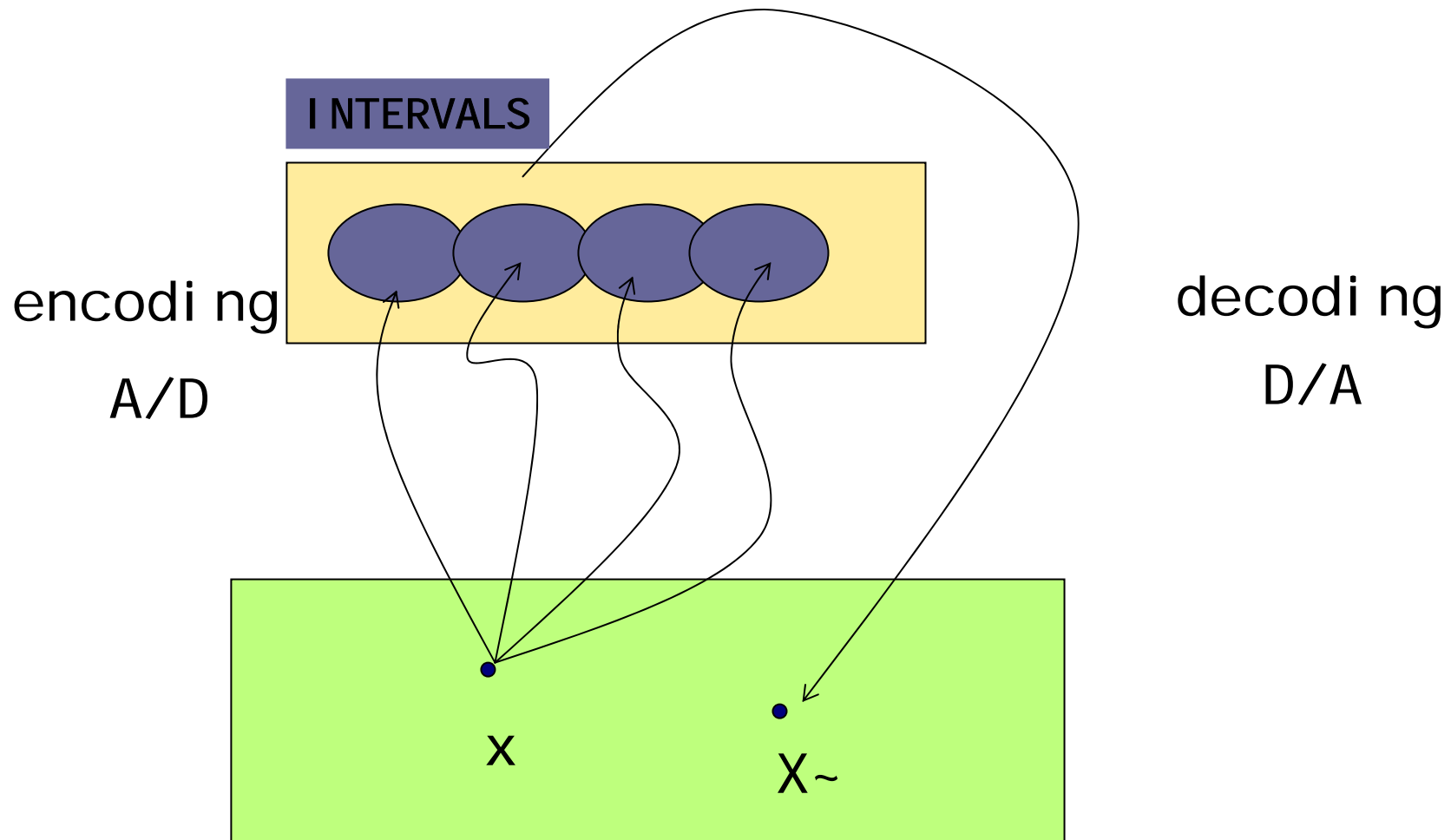
## **Numeric representation**

**Fuzzy set approximated by a single numeric representative**

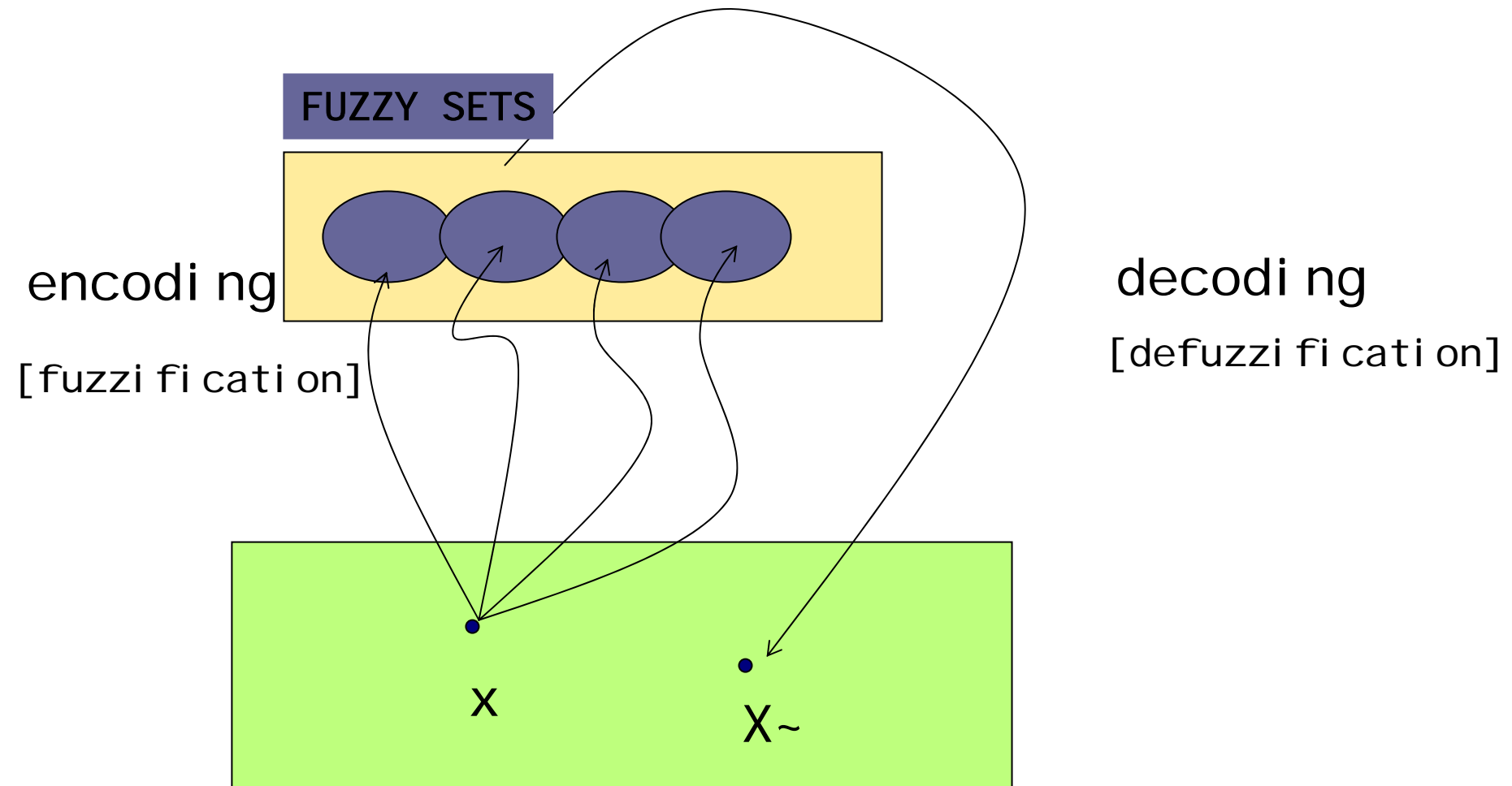
- (a) Very concise but lacks uncertainty quantification**
- (b) Usually highly nonlinear**
- (c) Numerous transformations possible (non-unique)**



# Communication: Numeric data and Intervals [quantization effect]

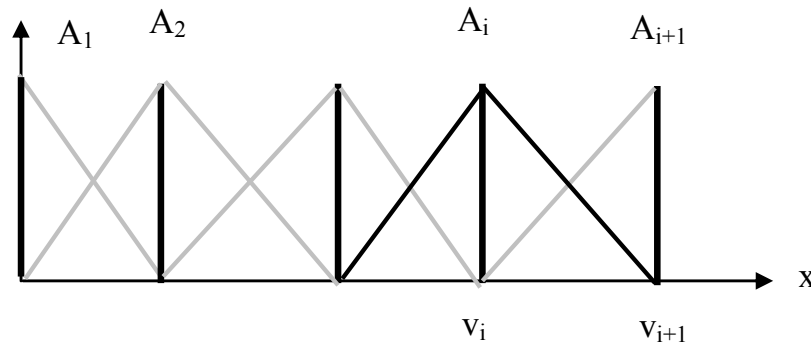


# Communication: Numeric data and fuzzy sets [granulation effect]



# Decoding : one-dimensional case

codebook-tri angular fuzzy sets with  $\frac{1}{2}$  overlap



decoding

$$\hat{x} = \sum_{i=1}^c A_i(x) v_i$$

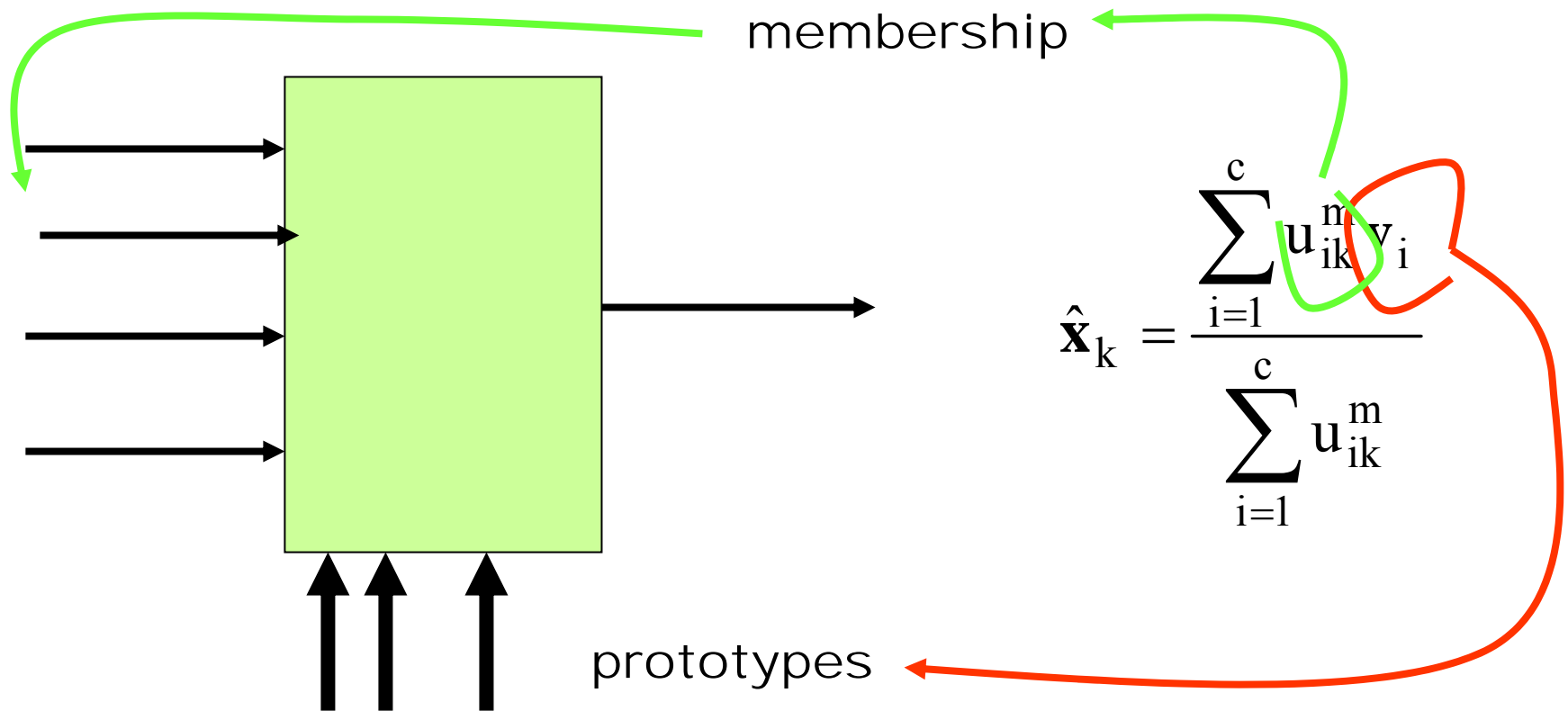
Codebook produces a zero decoding error  $\hat{x} = x$



# Numeric representation and associated error

Given the interface formed by clusters (prototypes),  
and  
current membership values,  
  
determine a numeric representative generated by  
the interface

# Numeric representation and associated error



# Numeric representation and associated error

Numeric representation

$$\hat{\mathbf{x}}_k = \frac{\sum_{i=1}^c u_{ik}^m \mathbf{v}_i}{\sum_{i=1}^c u_{ik}^m}$$

$u_{ik}$  – membership in  $i$  - th cluster for  $\mathbf{x}_k$

Error

$$\sum_{k=1}^N \| \mathbf{x}_k - \hat{\mathbf{x}}_k \|^2$$

## Concluding note

Analog world



World of Digital Processing:  
Interval –based granulation

Human-centric  
Intelligent World



World of Granular Computing:  
(Fuzzy Sets, Rough Sets, ...)