

# The Lunar Mini-RF Radars and their Hybrid-Polarimetric Architecture

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**APL**  
*The Johns Hopkins University*  
APPLIED PHYSICS LABORATORY

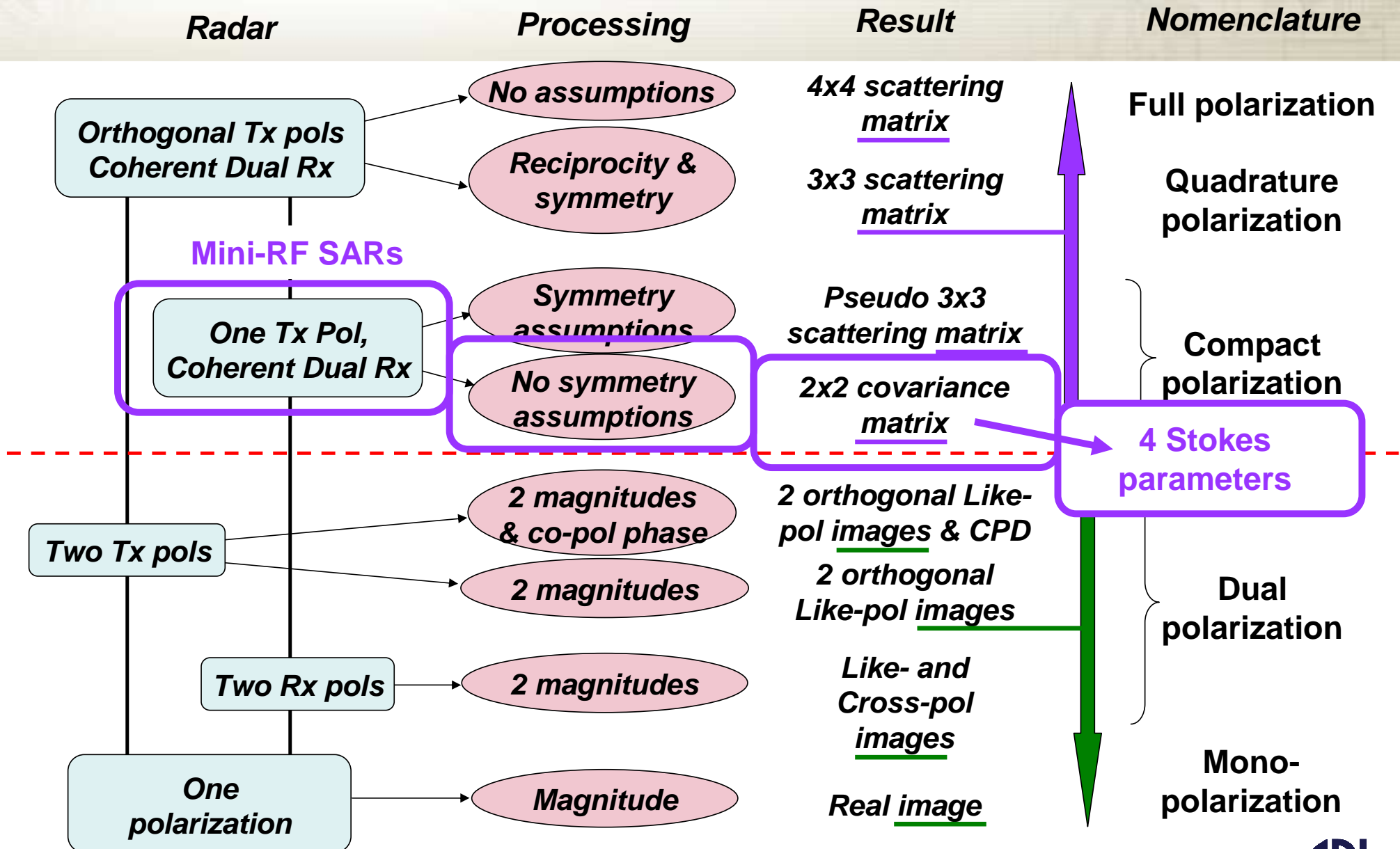
# Agenda

- **Hybrid-Polarity SAR**
- **Self-Calibration**
- **Mini-RF Lunar Radars**
- **Results**
- **Conclusions**

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# Polarimetric Imaging Radar Hierarchy



# Polarization Bases for Lunar SAR

## Requirements

**Transmit circular polarization**

**Measure (*at least*) circular polarization ratio (CPR)**

**Minimize on-board radar mass, parts, etc.**

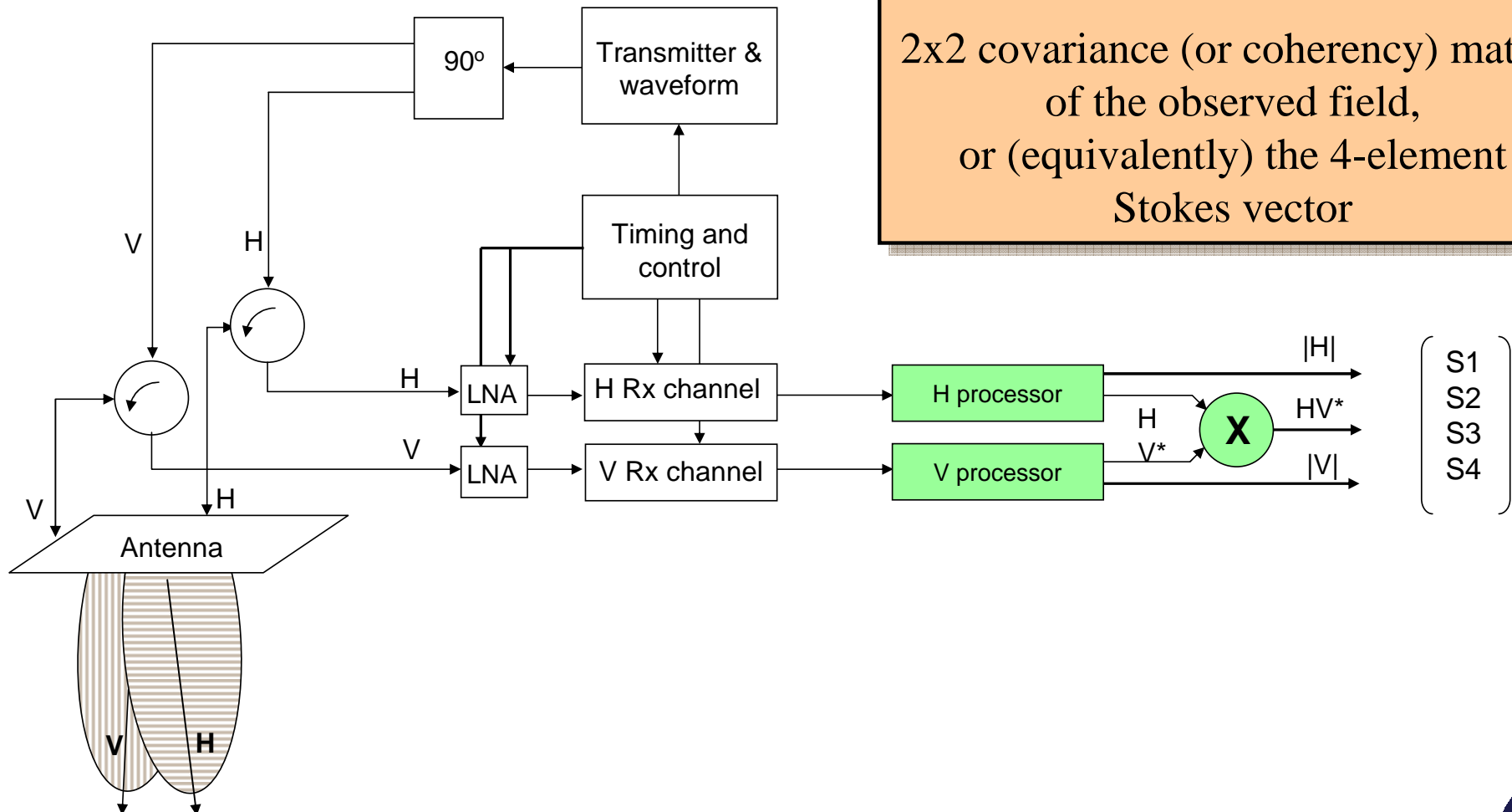
**Optimize remote calibration w/o corner reflector**

*Leads to* **Hybrid-Dual-Polarity**

**Receive orthogonal linear polarizations (*e.g.* H and V)**

**Coherent on receive (retain H/V phase)**

# Dual Hybrid-Polarimetric Architecture



**Primary data product:**

2x2 covariance (or coherency) matrix  
of the observed field,  
or (equivalently) the 4-element  
Stokes vector

$\begin{pmatrix} S1 \\ S2 \\ S3 \\ S4 \end{pmatrix}$

# The Hybrid Dual-Polarimetric Architecture

**Transmit circular polarization. Then**

- ✓ The Stokes parameter values are independent of the polarization basis of the dual receivers
- ✓ Therefore, a linear basis on receive enjoys equivalent information content as classical circular receive polarity

**CL Hybrid Polarity**

**Circular/Circular**

$$S_1 = \langle |E_H|^2 + |E_V|^2 \rangle + 2 N_0 = \langle |E_R|^2 + |E_L|^2 \rangle + 2 N_0$$

$$S_2 = \langle |E_H|^2 - |E_V|^2 \rangle = 2 \operatorname{Re} \langle E_R E_L^* \rangle$$

$$S_3 = 2 \operatorname{Re} \langle E_H E_V^* \rangle = 2 \operatorname{Im} \langle E_R E_L^* \rangle$$

$$S_4 = -2 \operatorname{Im} \langle E_H E_V^* \rangle = -\langle |E_R|^2 - |E_L|^2 \rangle$$

# Stokes “Child” Parameters

## *Selected examples*

*Degree of polarization*

$$m = (S_2^2 + S_3^2 + S_4^2)^{1/2} / S_1$$

Fundamental; 1:1 mapping

wrt **Entropy**  $E$

$$E \sim (1 - m^2)^\gamma, \gamma \sim 0.74$$

*Circular polarization ratio*

$$\mu_C = (S_1 - S_4) / (S_1 + S_4)$$

Indicator of scattering associated

with planetary ice deposits or

dihedrals:  $\mu_C > \sim 0.5$

(Generalizes to elliptical pol)

*Relative phase*  $\delta = \arctan ( - S_4 / S_3 )$

Sensitive indicator of “double

bounce” backscattering,

rotationally invariant *iff* CP Tx

*Ellipticity*  $\mu_E = - S_4 / S_1$

Gentle transition from perfect

circular polarization to elliptical

(*near-unity axial ratio*)



# The Arecibo Facility



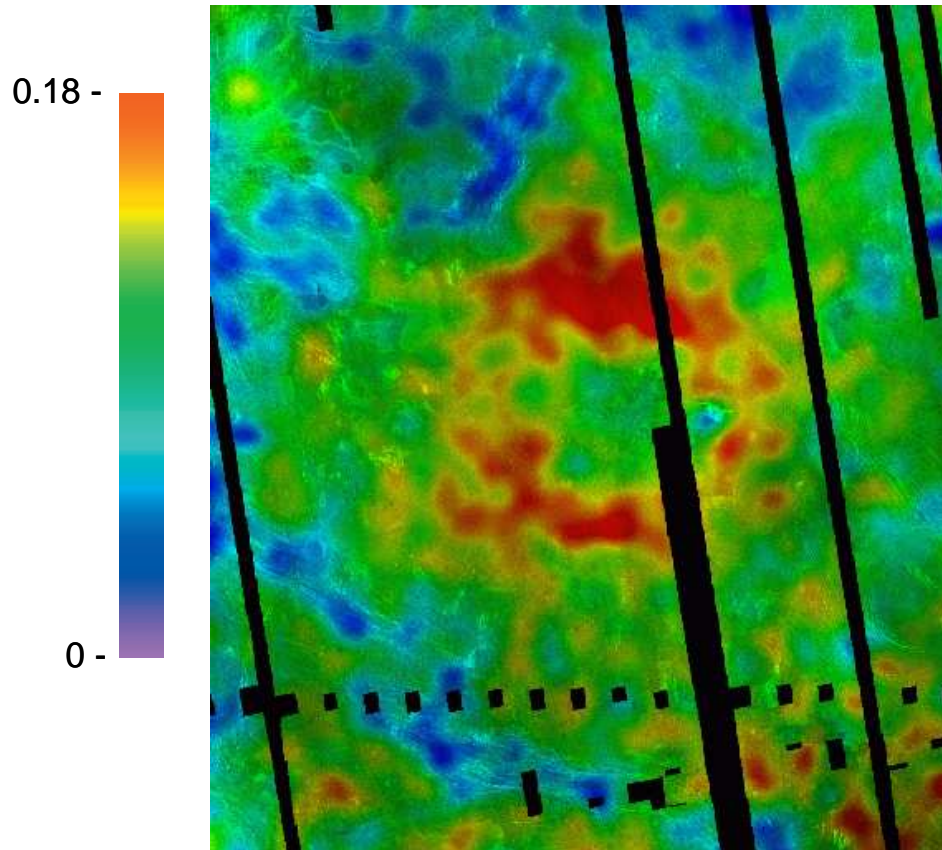
Arecibo radio/radar telescope, important contributions from the early 1960s

**Coherent, circularly dual polarized**

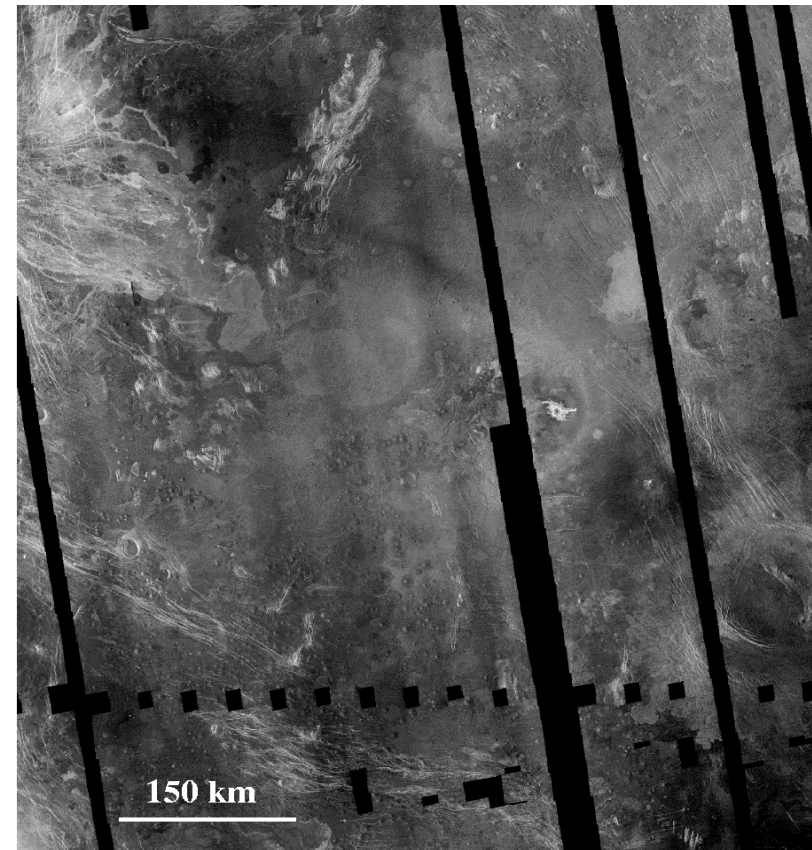
Major observations from early 1990's have been coherent dual polarized

# Mapping Surface Overburden with $\mu_L$

Degree of linear polarization



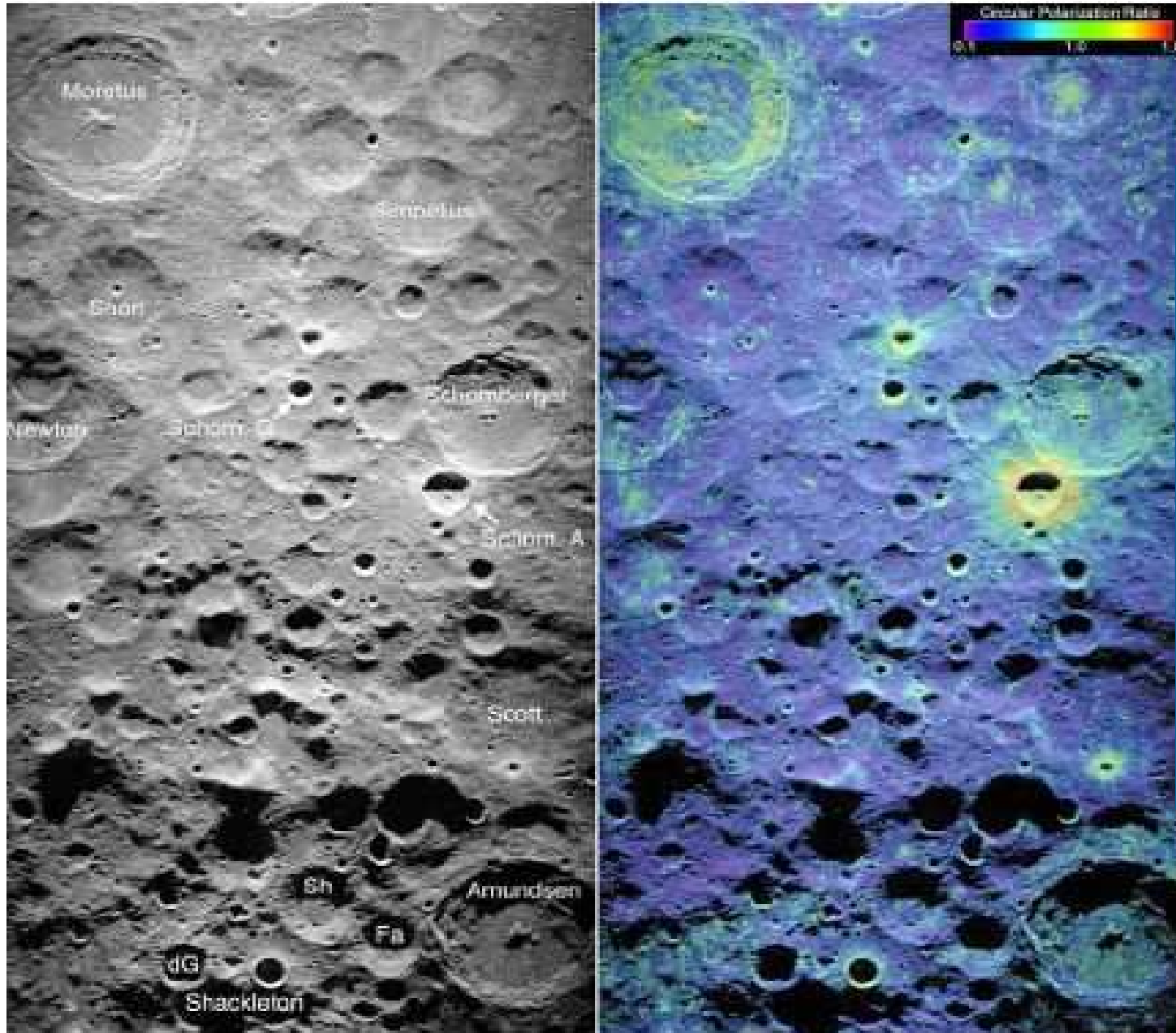
Magellan HH



The *degree of linear polarization* indicates volume and interface surface backscatter (crater Nelike on Venus).

(Reproduced with permission, courtesy L. Carter).

# Circular Polarization Ratio (CPR)



## Lunar South Polar region

Arecibo-Green Bank  
S-band (13-cm) Dual-  
circular polarization, delay-  
Doppler, Stokes parameter  
analysis. (2005)

From Campbell, Campbell,  
Carter, Margot, and Stacy,  
et al., *NATURE* 443, 835-  
837 (19 Oct 2006)

# Agenda

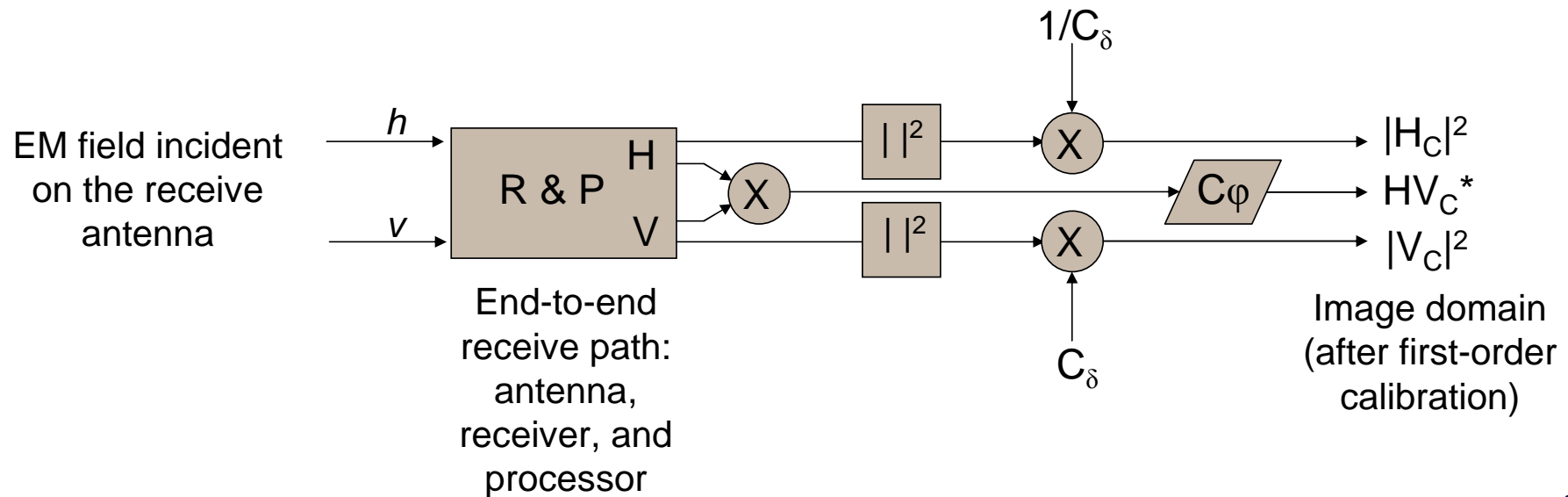
- Hybrid-Polarity SAR
- **Self-Calibration**
- Mini-RF Lunar Radars
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# Calibration Advantages Unique to Hybrid Polarity

- **Relatively comparable (mean) signal levels in both channels under all viewing conditions – *No “cross-polarized” side***
- **When nadir viewing (scatterometer mode),  $\langle CH \rangle$  should be statistically identical to  $\langle CV \rangle$  to first and second order**
- **Observed discrepancies  $\Rightarrow$  1<sup>st</sup> order calibration coefficients**
- **Hence, hybrid-polarity supports relative “self-calibration”; receive paths can be balanced using normal lunar data without need of corner reflectors**
- **If near-perfect CP transmitted, then nadir data are necessary and sufficient for end-to-end (Tx & Rx) relative calibration**
- **If not, then external reference (e.g. Arecibo) required**

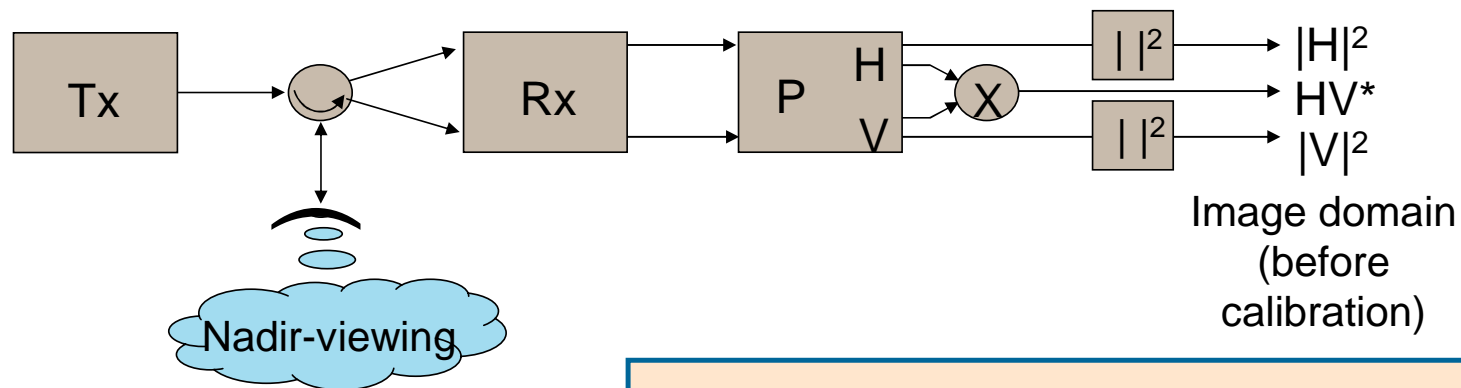
# Objective: to Balance the Entire Receiver Chain

- Measure V/H magnitude imbalance; V – H phase difference
- Leads to calibration coefficients  $C_\delta$  and  $C_\phi$
- Result? Rx end-to-end: H gain  $\equiv$  V gain & V – H phase = 0
- *Aside: there remain variations wrt T, antenna patterns, etc.*



# Methodology: Relative Self Calibration (1/3)

**Nadir viewing: Backscatter on average will be a reflection of the transmitted field, thus opposite sense circularly polarized ( 90-degree H/V phase &  $|H| = |V|$  )**

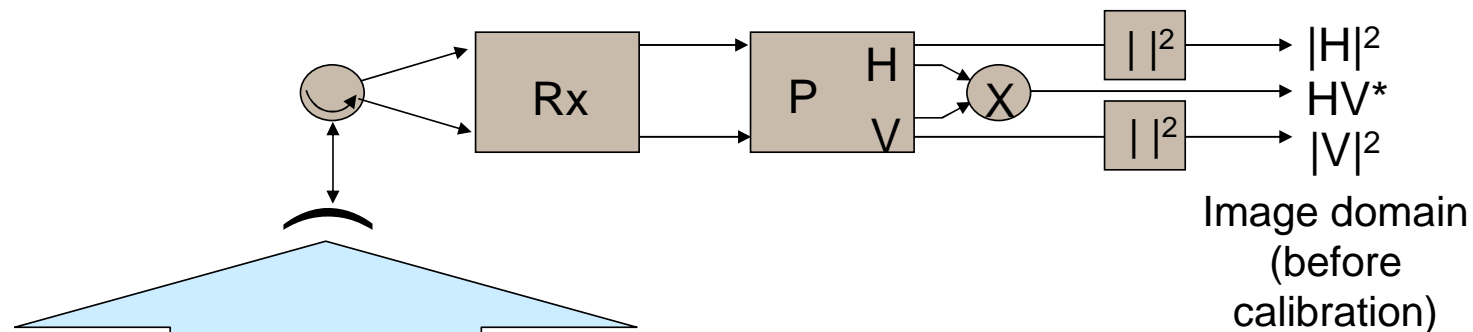


## Ideal situation:

- **Circular transmit polarization**
- **Receive chain imbalances directly observable in the processor's cross-product data**

# Methodology: Relative Self Calibration (2/3)

**Known source: Excite radar receive chain by circular polarization ( 90-degree H/V phase &  $|H| = |V|$  )**



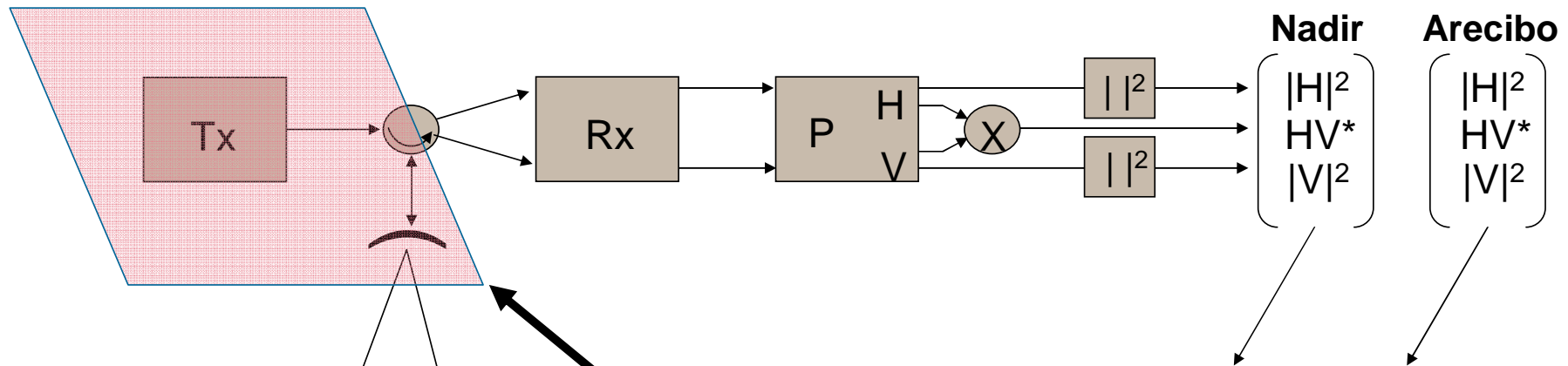
## Fall-back situation:

- External source of CP illumination
- Receive chain imbalances directly observable in the processor's cross-product data



# Methodology: Relative Self Calibration (3/3)

Results from nadir-viewing and external CP illumination are sufficient to characterize the axial ratio of the transmitted EM field



**Nadir** backscatter  
(receive and transmit paths)  
*AND*  
external CP from **Arecibo**  
(receive paths only)

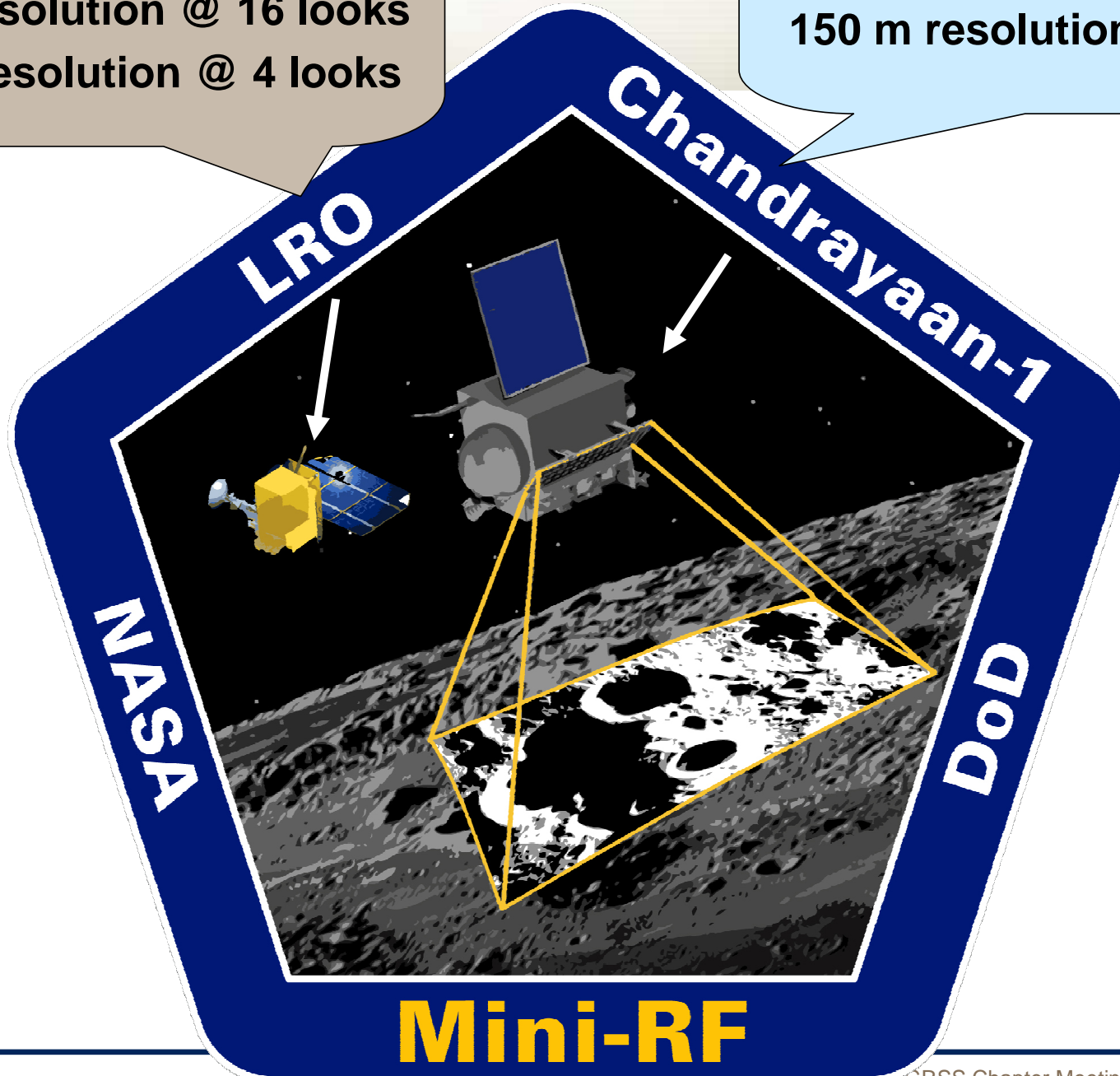
Transmit axial ratio may be found directly from the differences in amplitude and phase balances seen in *Nadir and Arecibo* observations

# Agenda

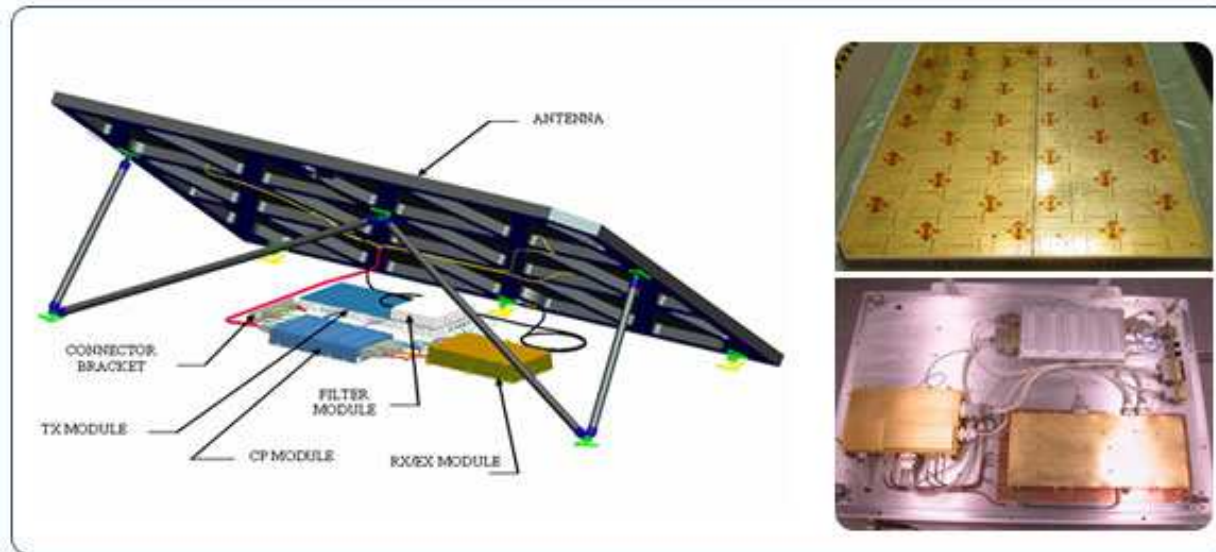
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Launch 17 June 2009 ( + )  
S- & X-band (12 cm & 4 cm )  
150 m resolution @ 16 looks  
& 15 m resolution @ 4 looks

Launched 22 Oct 2009  
S-band (12 cm wavelength)  
150 m resolution @ 16 looks



# Mini-SAR (*on Chandrayaan-1*)



## High-Level Specifications

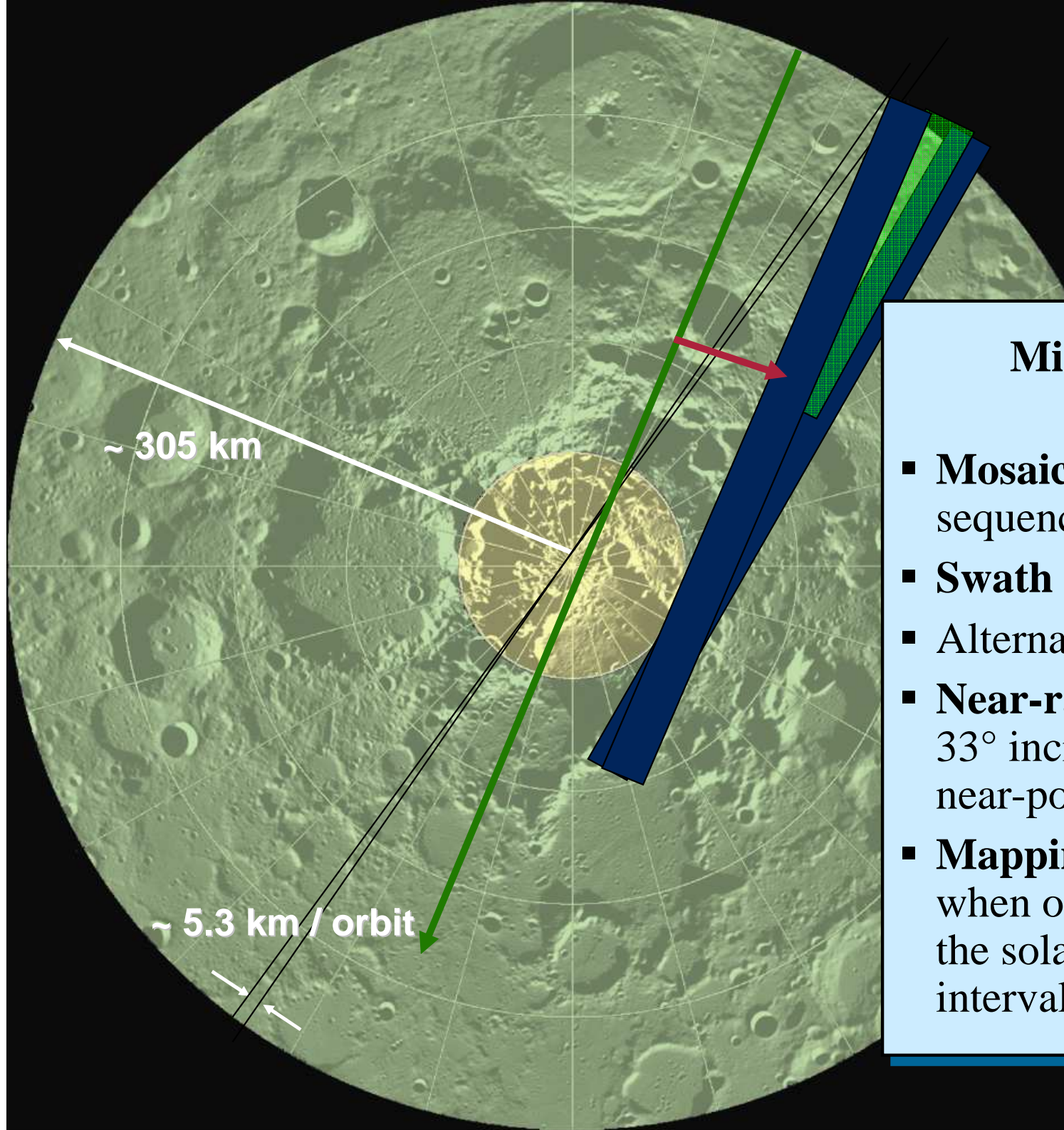
- **Antenna: 1.8 m x 0.7**
- **Mass: ~9 kg**
- **DC Power: 85 W**
- **Tx power (Avg): 11 W**

**Externally mounted, covered by thermal blanketing**

**Typical operating temperatures:**

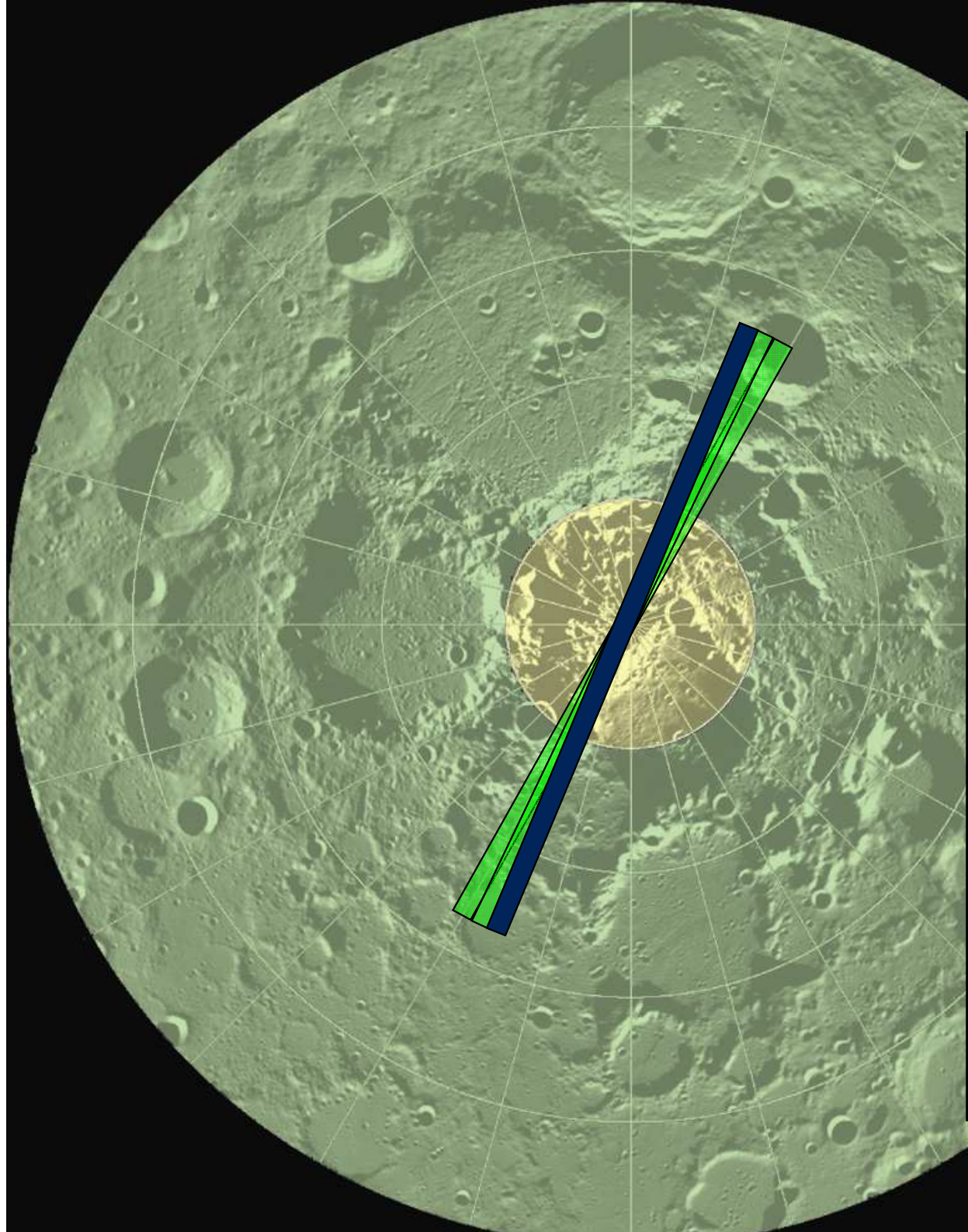
**Antenna: -30° C**

**Electronics: + 35° C**



## Mini-SAR Mapping

- **Mosaic** assembled from a sequence of ~338 orbit strips
- **Swath width** 8 km
- Alternate long and short passes
- **Near-range** minimum is set by  $33^\circ$  incidence & altitude => near-polar image **gap**
- **Mapping seasons** of ~ 40 days when orbit plane orthogonal to the solar illumination (6 month intervals)



## Mini-SAR Scatterometry

- **Essential for self-calibration**
- *Nominal technique to fill in polar gap:  $85^{\circ}$ - $90^{\circ}$ - $85^{\circ}$*
- *Notional Swath Width: 10 km*
- *Antenna pointed in nadir direction*
- *Full polar mosaic acquired over 14 days*

# Spacecraft Velocities and the AV Scaling Factor

“Mini”?: SAR Antenna Area and (usually) Mass are proportional to AV

Body	Mass (kg)	Radius (km)	<u>Altitude</u> (km)	<u>V<sub>sc</sub></u> (m/s)	<u>AV</u> (km <sup>2</sup> /s)
Earth	5.97E+24	6380	800	7466	6000
Venus	4.87E+24	6052	300	7151	2200
Mars	6.4E+23	3397	400	3353	1600
Titan	1.35E+23	2575	200	1801	360
Ganymede	1.4E+23	2631	100	1849	185
Calisto	1.08E+23	2400	100	1697	170
Moon	7.35E+22	1737	100	1634	160
Europa	4.8E+22	1569	100	1385	140
Enceladus	1.08E+20	504	100	109	11

Universal gravity constant  $G = 6.67 \times 10^{-11} \text{ Nm}^2\text{kg}^{-2}$

$$V_{sc} = \sqrt{M_p G / (R_p + h)}$$

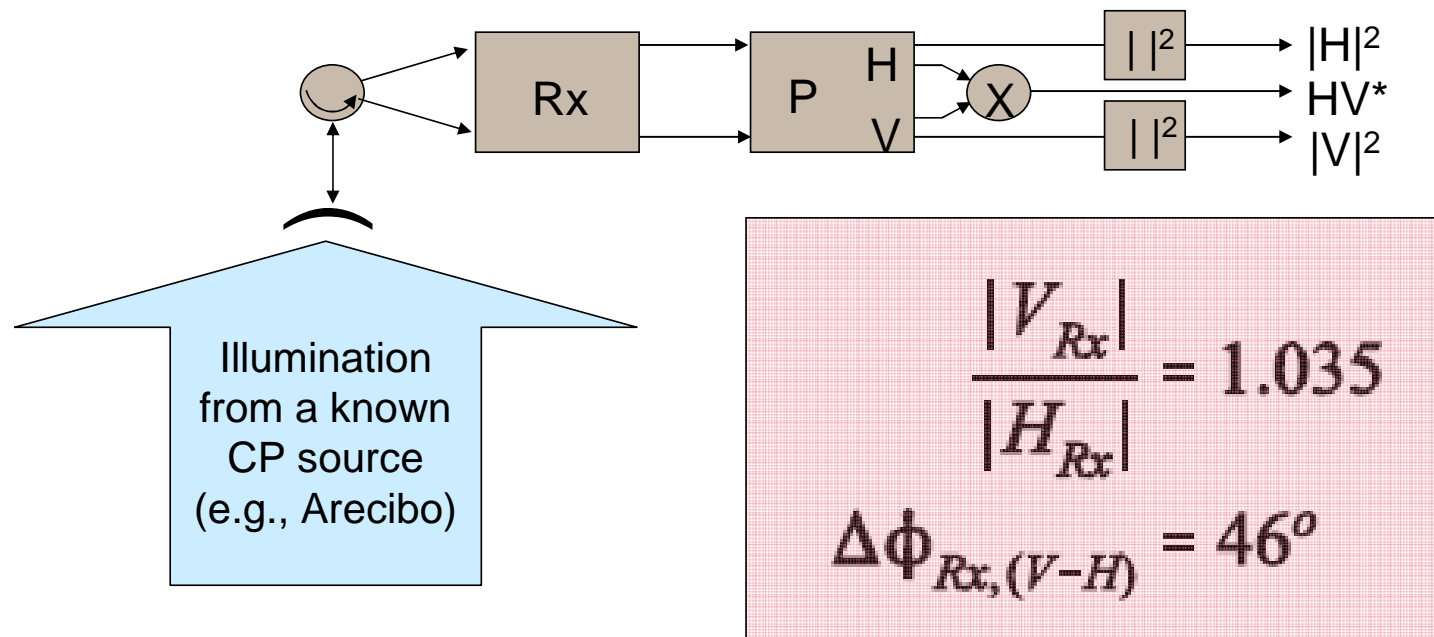
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# Relative Calibration Results: Arecibo\*

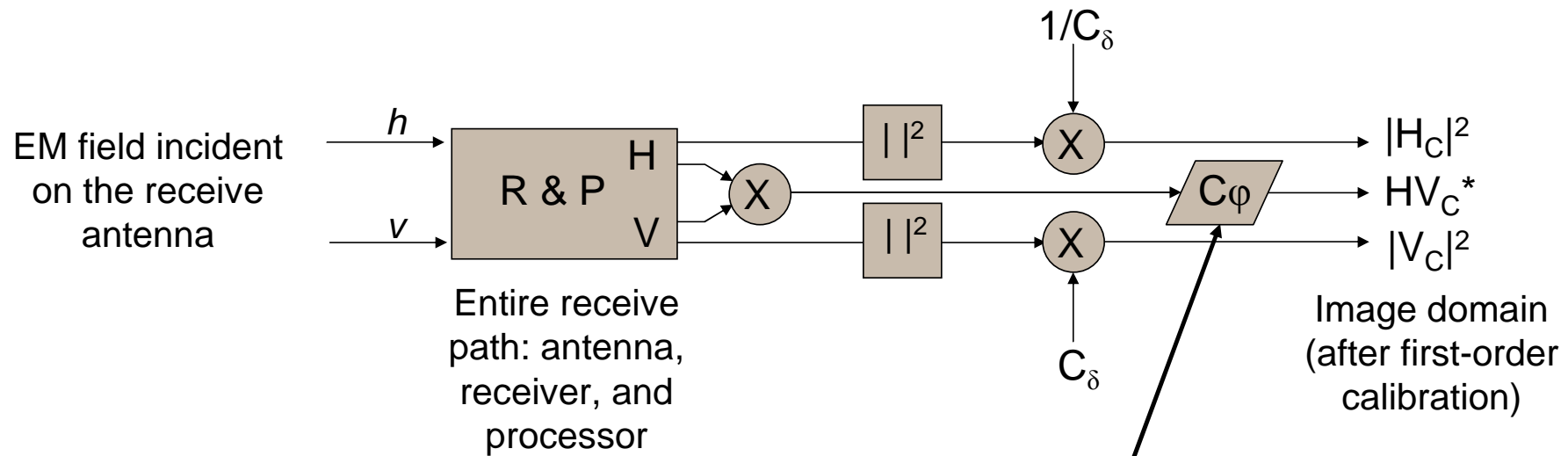
Known source: Excite radar receive chain by circular polarization ( 90-degree H/V phase &  $|H| = |V|$  )



\* H Sequeira, "Calibration Experiment with Arecibo Radio Telescope (ART)", JHUAPL Internal Report SER-09-010, 05 March 2009.

# Results: Receive chain calibration coefficients

Objective: find  $C_\delta$  and  $C_\phi$  to “perfectly” balance the receiver



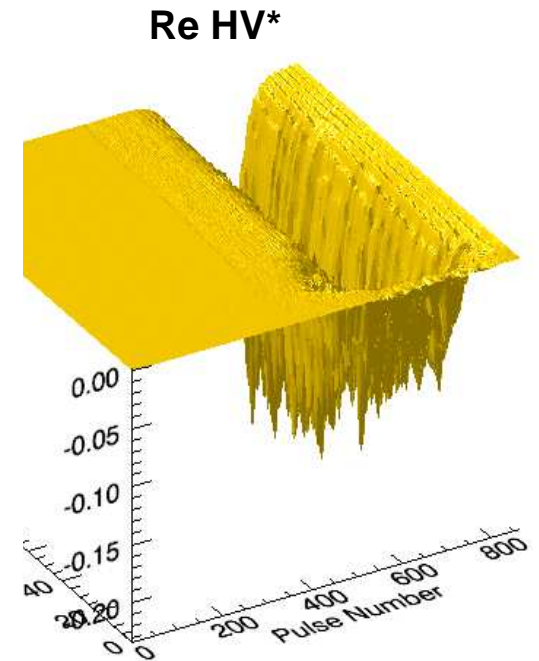
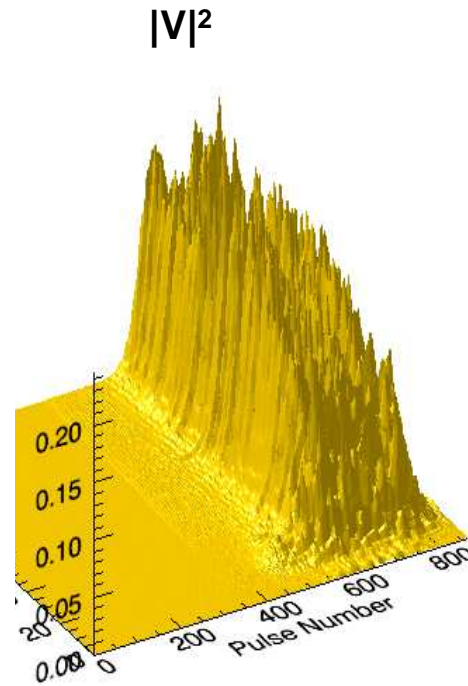
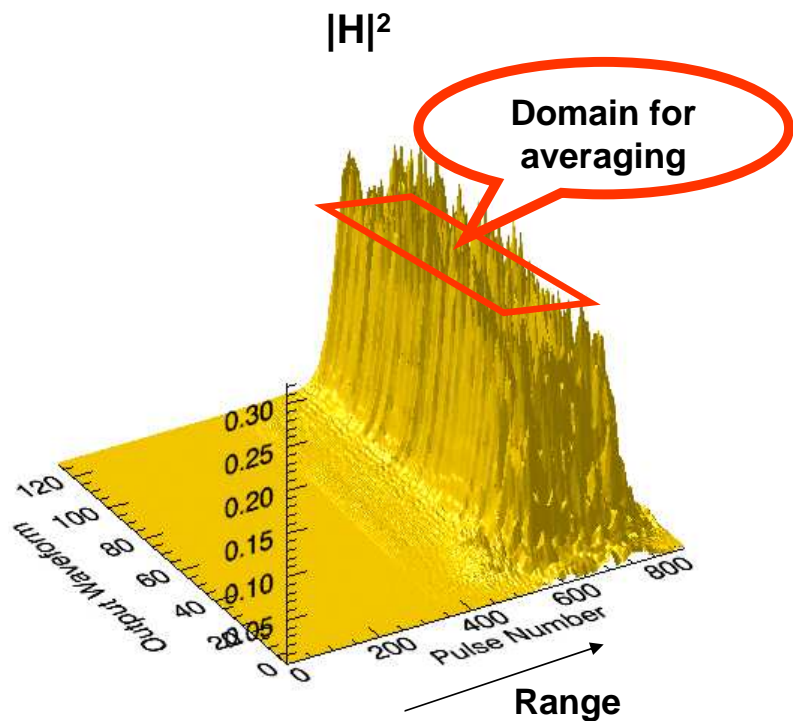
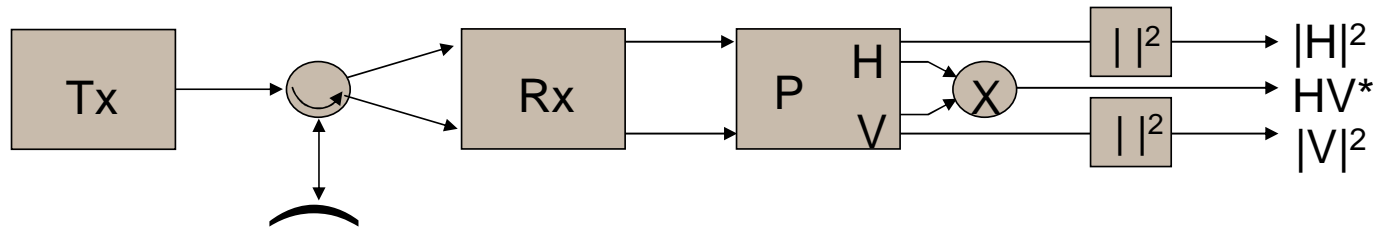
$$C_\delta = \frac{1}{(V_{Rx}/H_{Rx})} = \mathbf{0.966}$$

$$C_\phi = \Delta\phi_{Rx,(V-H)} = \mathbf{46^\circ}$$

*Arecibo measurements*

$$\begin{aligned} \text{Re } HV_C^* &= \text{Re } HV^* \cos C_\phi - \text{Im } HV^* \sin C_\phi \\ \text{Im } HV_C^* &= \text{Re } HV^* \sin C_\phi + \text{Im } HV^* \cos C_\phi \end{aligned}$$

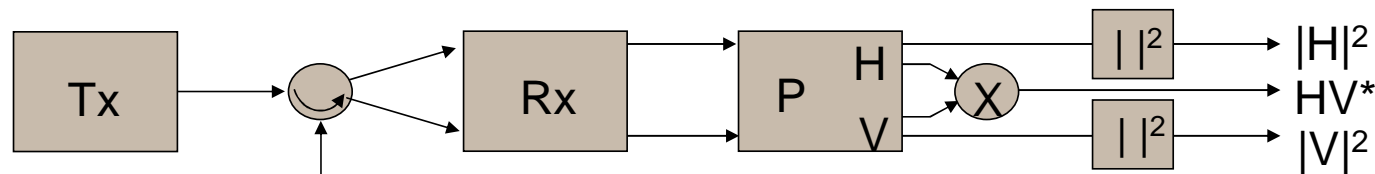
# Relative Cal<sup>n</sup> Results: Scatterometer\*\* (1/2)



\*\* C. Selby, Scatterometry Data Analysis Results for Zeroth Order Mini-RF Calibration, JHU/APL Internal Report, SIS-09-007, April 20, 2009.

## Results: Scatterometer\*\* (2/2)

**Nadir viewing: Backscatter on average will be a reflection of the transmitted field, thus opposite sense circularly polarized ( 90-degree H/V phase &  $|H| = |V|$  )**



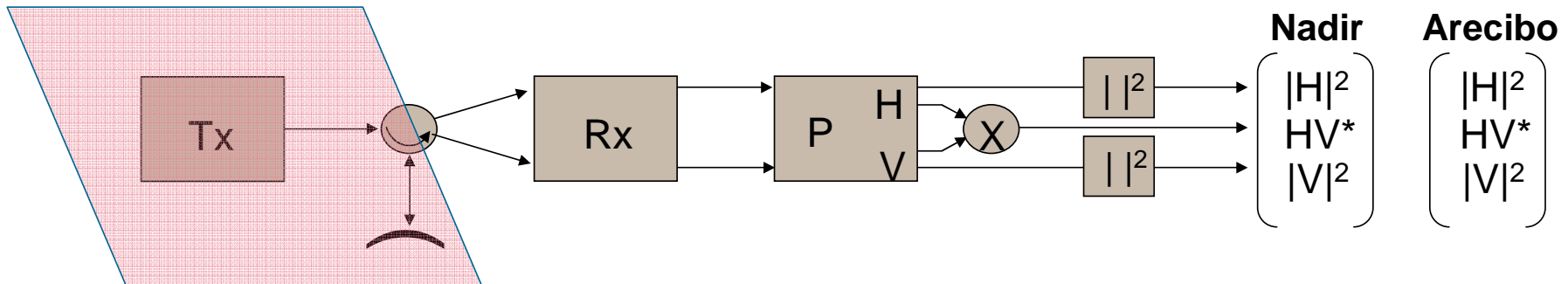
Raw signal domain,  
backscatter from the  
"scatterometer"  
mode

$$\delta_{E-E} = 0.88$$

$$\theta_{E-E} = 146^\circ$$

\*\* C. Selby, Scatterometry Data Analysis Results for Zeroth Order Mini-RF Calibration, JHU/APL Internal Report, SIS-09-007, April 20, 2009.

# Results: Transmitted Axial Ratio\*\*\*



Derived transmitter  
amplitude imbalance  $A$   
and relative phase  $\delta$

$$A = \frac{|V_{Tx}|}{|H_{Tx}|} = 0.85$$

$$\delta = \phi_{Tx} = -100^\circ$$

Leads to an estimate of  
the axial ratio

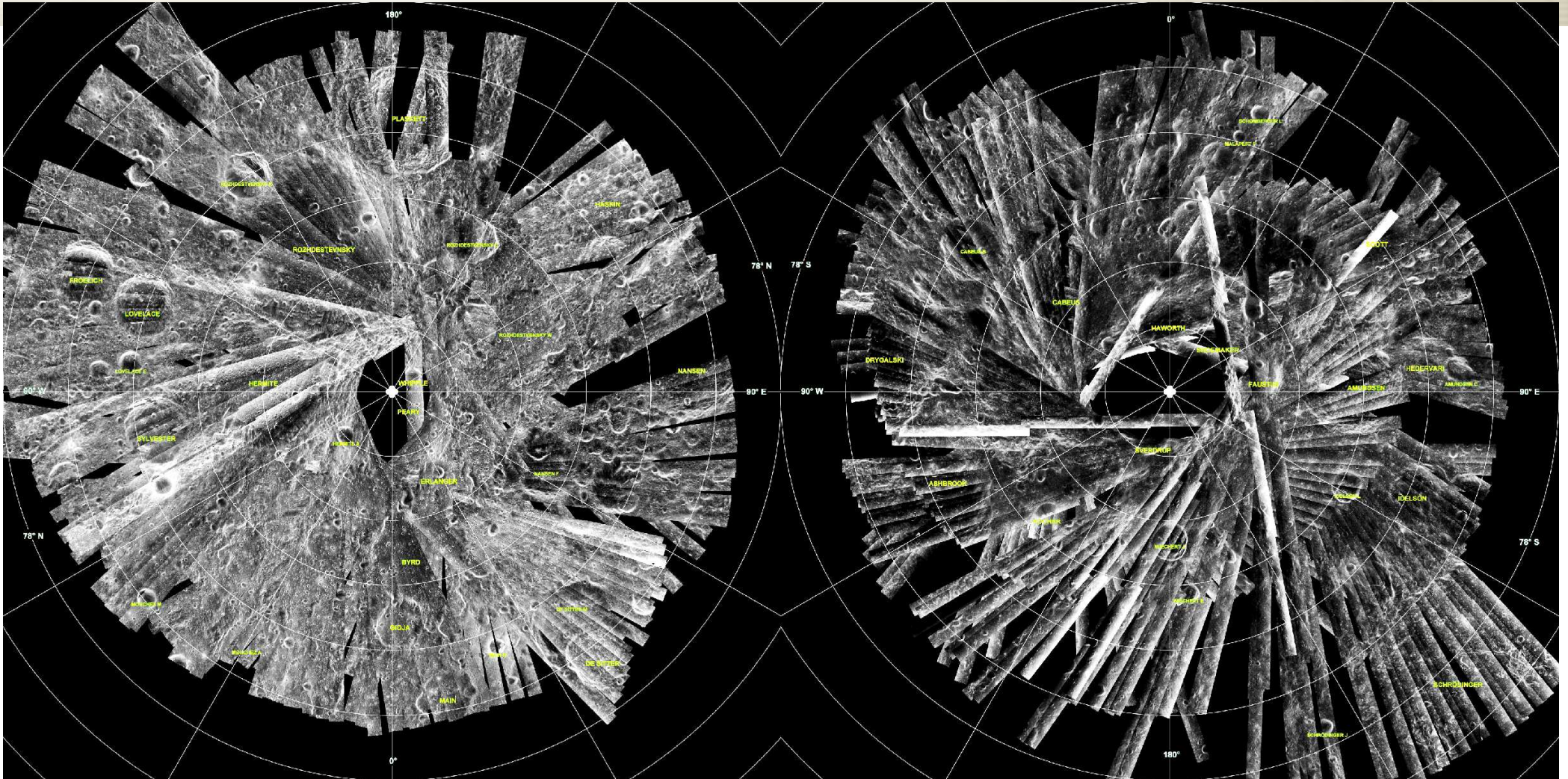
$$AR = 10 \log[\cot^2(\chi)] = 2.08 \text{ dB}$$

using

$$\chi = \frac{1}{2} \sin^{-1} \left[ \left( \frac{2A}{1+A^2} \right) \sin \delta \right]$$

\*\*\*R. K. Raney, "End-to-end Forerunner relative calibration (top level)", JHUAPL Internal Report SRO-09M-11, 27 March 2009

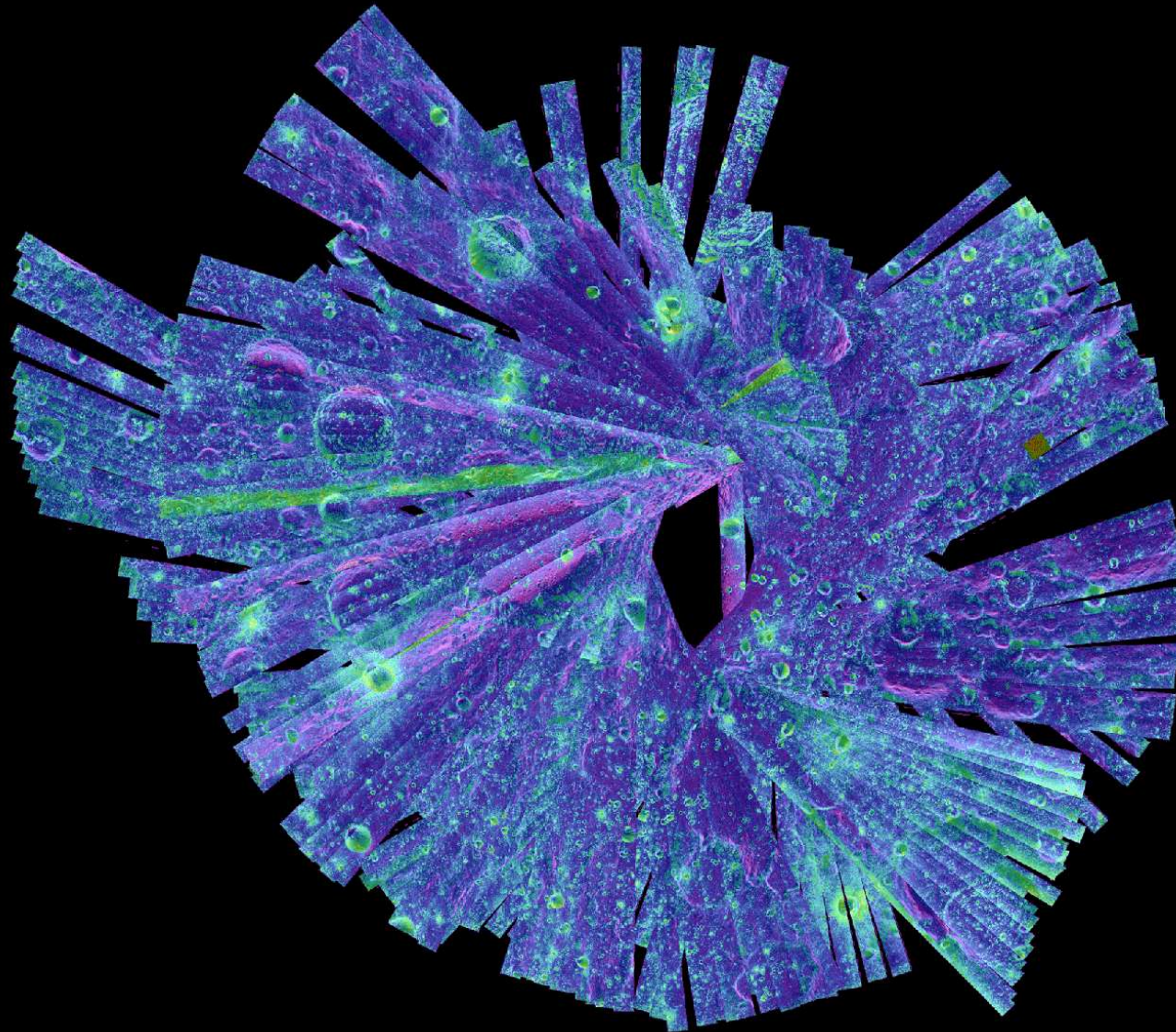
# Mini-SAR Coverage as of April 16, 2009



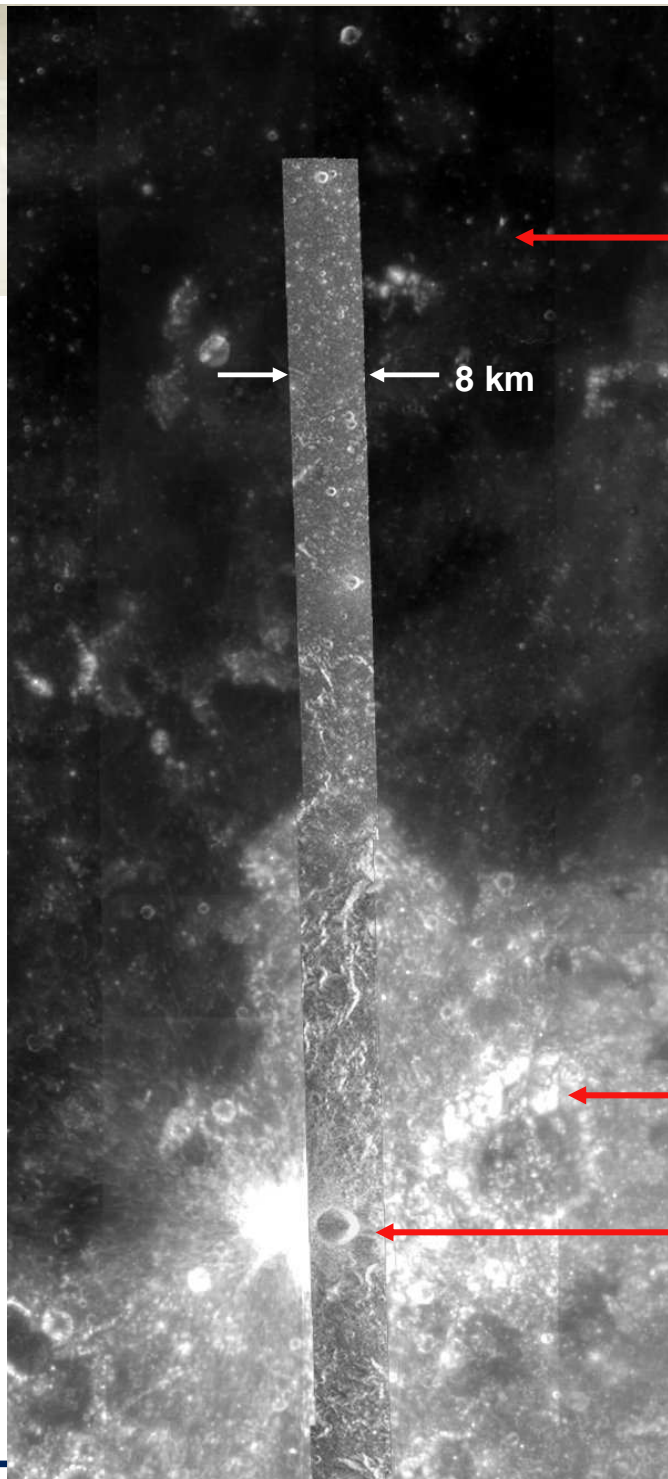
North Pole

South Pole

# North Polar CPR Map (*before calibration*)



# Circular Polarization Ratio Image



Mare Tranquillitatis

Mini-SAR Equatorial  
Calibration, 20 Jan. 2009

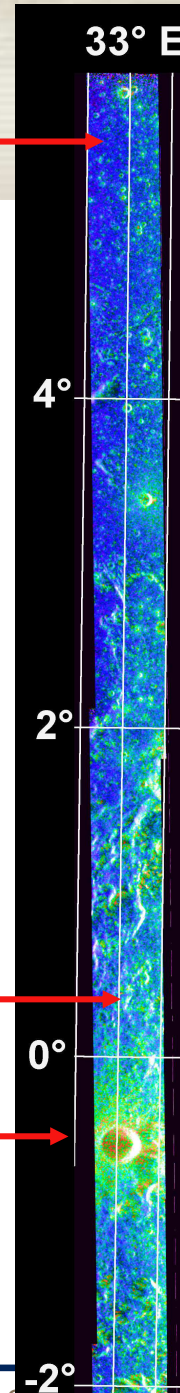
Objective: Collect SAR strip  
such that viewing geometry  
matched that of Earth-  
based radar imaging

Data successfully gathered  
along longitude 33° E, from  
6° S to 6° N latitude

Nectaris basin highlands

Censorinus A

Courtesy, Dr. Paul Spudis, the  
Mini-SAR Science Team, and  
the Chandrayaan-1 Mission



CPR Scale

Blue < 0.3

Yellow > 0.8



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# General Observations

- **Mini-RF operating well on Chandrayaan-1; very good first imaging season**
- **Next season starts in August 2009**
- **Coordination of mission operations through the Indian Space Research Organization (ISRO) is improving**
- **Initial Stokes parameter analyses very promising—*“The first orbiting radar astronomy observatory”***
- **Mini-RF and Chandrayaan-1 science teams planning first set of papers, and initial release of data products**
- **Mini-RF data products will be submitted to the Planetary Data System (PDS)**
- **Lunar Reconnaissance Orbiter (LRO) ready for launch, with very good pre-launch Mini-RF I&T results**

# Observations on Relative Calibration

- Hybrid-polarity radar architecture supports relative self-calibration (*not possible with any other polarization plan*)
- Objective: calibration coefficients to render the receive chain “perfect” to 1<sup>st</sup> order (*equal H and V gains & zero V – H phase*)
- Nadir view (scatterometry mode) essential for relative polarimetric calibration
- For Mini-RF on Chandrayaan-1, an external circularly-polarized illumination source (Arecibo) was required
- Additional calibration steps to account for...
  - Antenna H and V pattern (range and azimuth) mis-match; Gain and/or phase variations with temperature; Spectral variations, etc
- Advisable to repeat nadir and external observations to build confidence in the resulting first-order calibration coefficients