

2021 OPERATIONAL AUDITS

**R3 Operational Audit Committee
Report to Region 3 ExCom
15 November 2021**

Bill Harrison, Chair

2021 OPERATIONAL AUDIT COMMITTEE MEMBERS

Chair -- Bill Harrison

Member 1 -- Bill Marshall

Member 2 -- Damith Wickramamayake

Member 3 -- Steve Kemp

Member 4 -- Bill Ratcliff

2021 AUDITS OF TWO COMMITTEES

1. Region 3 Nominations and Appoints (N&A) Committee

- **Smaller Committee: Chaired by Immediate Past Director**
- **Major activities occur every two years with change in R3 Director**
- **N&A activities are closed, sensitive and confidential**

2. Region 3 Conference Committee

- **Large Committee: Highly visible and financially important to R3**
- **Difficult Operations: Different local arrangements and technical program committees every year**
- **Difficult audit – report includes current committee chair rebuttal**

N&A - FINDINGS AND RECOMMENDATIONS

- 1. The OAC found no audit deficiencies to report.**
- 2. The four-to-six-year audit period should not apply the N&A Committee.**
- 3. The confidential nature of N&A activities precludes or limits an in-depth review of N&A activities.**
- 4. We recommend the N&A Committee consider results of the Region 3 IDEA (Inclusion, Diversity, and Equity in Action) Ad Hoc Committee in making future nominations and appointments.**
- 5. We recommend the N&A Committee collect and retain numbers of nominations, data in terms of gender, age, skills, and other data relative to diversity.**

CONFERENCE COMMITTEE AUDIT

The final report on the Conference Committee Operational Audit will be presented at the January ExCom meeting.