

# An Optimization Framework for Opportunistic Maintenance of Offshore Wind Power System

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**Abstract**—A sound maintenance planning is of crucial importance for wind power farms, and especially for offshore locations. There is a large potential in cost savings by maintenance optimization to make the projects more cost-efficient. This paper presents an opportunistic maintenance optimization model for offshore wind power system. The model takes advantage of wind forecasts and corrective maintenance activities in order to perform preventive maintenance tasks at low costs. The approach is illustrated with an example to demonstrate the value of the optimization. In this example 43% of the cost to perform preventive maintenance could be saved using the proposed method.

**Index Terms**—Maintenance optimization, offshore wind power, opportunistic maintenance

## I. INTRODUCTION

High costs for maintenance planning and low availability are crucial problems today for the wind power operators. The installed capacity of wind power has been growing exponentially in the world during the recent years. For example, 56GW was installed in Europe at the end of 2007. The target of the European Wind Energy Association is to reach 180GW in 2020 [3]. The target is expected to be achieved with an increased share of offshore wind power, from 1.3% today to 20% in 2020 [1]. Offshore locations have the advantage of available space and high wind resources. However the maintenance costs are much higher due to hard-to-reach locations. This results in large uncertainties about the economical returns of the projects.

Maintenance costs can be reduced by the use of reliable wind turbine concepts and optimized maintenance decisions. The reliability of wind turbines and connection system has received a great attention in the last years, see for example [14], [5]. Condition monitoring and its costs have been discussed for example in [12] and [11],

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but few articles discuss models and methods in order to improve maintenance strategies and decision making. An approach based on Reliability Centered Maintenance and Asset Life-Cycle Analysis is proposed in [8] in order to select a suitable maintenance strategy. Quantitative maintenance optimization is discussed in [7] and the time delay model is proposed to derive optimal time to perform inspections on wind turbines. A method, called RCAM, is proposed in [9] for assessing quantitatively the optimal balance between corrective maintenance and preventive maintenance for distribution power system. Corrective maintenance is performed at failure i.e. stochastically; preventive maintenance includes every maintenance tasks performed in order to reduce the failure probability before a failure occurs [2]. RCAM could be applied to determine the right maintenance balance in wind power systems.

Maintenance activities at wind power systems consists of corrective maintenance, scheduled preventive maintenance and often condition based maintenance (based on e.g. vibration monitoring) for the large components (e.g. gearbox, bearings, generator and blades). The focus in the following is on preventive maintenance and how to reduce their costs. Preventive maintenance tasks are performed at fixed time intervals of 6 months, one year or 5 years. They includes e.g. visual inspections, changes of consumables (greasing, lubrication, oil filters), oil sampling and re-tightening of the bolts. The tasks and intervals are often based on manufacturer recommendations. Indirect costs for performing preventive maintenance tasks include production losses and transportation. Transportation costs are high for offshore environment since special vessels or helicopters are required to access the wind turbines. In general the preventive maintenance in a wind turbine is performed at a fixed day without taking into consideration wind forecasts or corrective maintenance. The preventive maintenance costs could be reduced if the tasks were performed when low power production is expected or when corrective maintenance is required in a wind turbine.

This article presents a linear integer optimization model to take advantage of such opportunistic occasions. The output of the model is an optimal planning of corrective and preventive maintenance tasks for the day in regard to production forecasts and required corrective maintenance. The optimization should be performed every working day by the maintenance manager. The model is somewhat

inspired by an opportunistic maintenance optimization model that was proposed for the aircraft industry [4]. The paper begins with a description of the system and underlying assumptions of the model. The mathematical model is then described. The model is further illustrated through an example to demonstrate the value of the optimization.

## II. MODEL DESCRIPTION

### A. Time framework

Wind power forecasting received a great focus during the last years for wind turbine control and operation scheduling. See [13] for an introduction to the subject and state-of-the-art. Forecasting models have been developed to predict wind power production up till 10 days. Chaos theory was used in [10] to show that the limit of weather predictability is around two weeks. Longer horizons are of interest for the purpose of maintenance planning. An alternative is to use seasonal forecasts based on wind power historical data for the horizon above the first 10 days.

In the proposed model, the time framework is separated into short and long horizon intervals according to the forecasting information available for each horizon. Wind power point forecasts are assumed available for the short horizon interval (SH) and seasonal forecasts for the long horizon interval (LH).

- The short horizon interval is discretized into  $N_{T_{short}}$  time steps, each consisting of one day. The set of time steps in this interval is defined as  $T_{short} = \{1, \dots, N_{T_{short}}\}$ . Ex:  $N_{T_{short}} = 10$ .
- The average hourly power production during one time step  $t$  is  $P_t, t \in T_{short}$
- The long horizon interval is discretized into  $N_{T_{long}}$  time steps, each consisting of one week. The set of time steps in this interval is defined as  $T_{long} = \{N_{T_{short}} + 1, \dots, N_{T_{short}} + N_{T_{long}}\}$ . Ex:  $N_{T_{short}} = 8$ .
- The expected power production during maintenance hours is estimated by a discretized distribution.  $L$  levels of production are defined. For each level  $k \in \{1, \dots, L\}$  a power production is associated, denoted  $P_k^{LH}$ , as well as a number of available working hours for each time step  $t \in T_{long}$ , denoted  $h_{kt}^{max}$ . An example is shown in Fig. 1.

### B. System description

The system consists of a set  $WT$  of  $N_{WT}$  wind turbines. Ex:  $N_{WT} = 5$ .

All the scheduled preventive maintenance tasks within the time horizon as well as corrective maintenance tasks

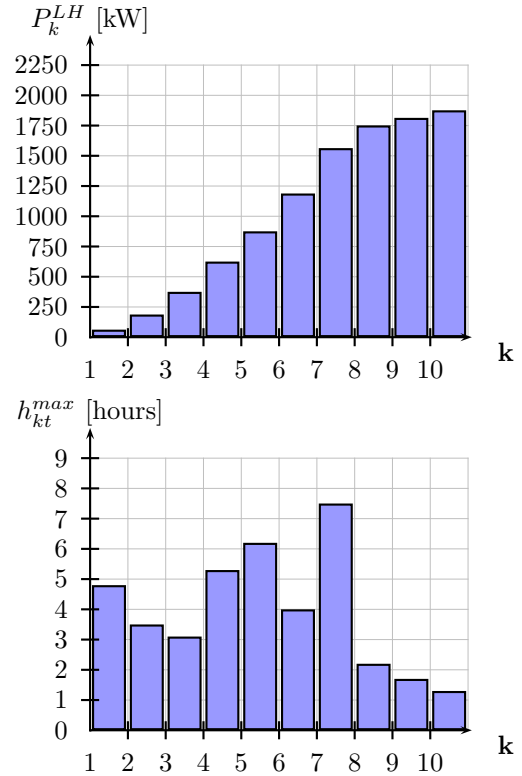


Fig. 1. For a given power loss level  $k \in \{1, \dots, L\}$  and time step  $t \in T_{long}$  corresponds a number of available maintenance hours  $h_{kt}^{max}$  at power loss  $P_k^{LH}$ .

required have to be defined. A set  $PM$  of preventive maintenance tasks that have to be performed within the horizon is defined. It includes subtasks of at least one hour. For each task  $j \in PM$  the time to perform the activity is  $\tau_j^{PM}$  hours. At the beginning of the optimization, the preventive maintenance task  $j$  in wind turbine  $i$  should be performed within the next  $w_{ij0}$  time steps.

A subset  $CM \subset WT$  is defined for the wind turbines requiring corrective maintenance. The expected time to perform the corrective maintenance activity  $i \in CM$  is  $\tau_i^{CM}$ . It is assumed that there is at most one corrective maintenance activity per wind turbine and it can be performed in at most a day. All the corrective maintenance activities are forced to be performed during the short horizon interval. The production losses if corrective maintenance is done at time step  $t$  is  $P_t^{CM}$ . Note that inspection after a failure occurs is modeled as a corrective maintenance task.

### C. Costs and time constraints

The costs assumed in the model are production losses (when the wind turbine is stopped due to a failure or maintenance) and transportation costs. The costs for production losses are given by the product of the electricity market price  $C_{el}$  and the electricity output, i.e  $P_t$  (in kWh) for the short horizon interval and  $P_k$  (in kWh) for the

long horizon interval. The price for performing a corrective maintenance task at day  $t \in T_{short}$  is the product of the induced losses  $P_t^{CM}$  with the electricity price. The transportation costs consist of fixed cost  $C_{tr}$  each day when transportation is required, they may include fuel, sailing crew and boat/helicopter location costs depending on the type of service contracted with the transport company.

The maintenance team works normally  $h$  hours per day during the short horizon. The working time includes the time to perform the maintenance tasks as well as the time for accessing the nacelle of one wind turbine, denoted  $\tau_w$ . Ex:  $h = 7$ . A penalty cost  $C_{pen}$  is to be paid for each supplementary working hour. During the long horizon, the available number of working hours is defined by  $h_{kt}^{max}$  and no supplementary hours are considered. (See Fig. 1 for an example)

For the long horizon period it is assumed that on average two preventive maintenance activities are done each time the wind turbine is accessed. Moreover it is assumed that the maintenance team works for  $h - 2 \cdot \tau_w$  hours each time the wind park is visited.

### III. MATHEMATICAL MODEL

#### A. Notation

##### Sets and indexes

- $T = T_{short} \cup T_{long}$  Set of time steps  
 $t \in T$  Index of time steps  
 $i \in WT$  Index of the wind turbines  
 $CM \subset WT$  Set of wind turbines requiring corrective maintenance  
 $PM$  Set of preventive maintenance tasks  
 $j \in PM$  Preventive maintenance tasks index  
 $k \in \{1, \dots, L\}$  Index of power loss levels for LH

##### Costs and production parameters

- $C_{pen}$  Penalty for supplementary maintenance hours [€/h]  
 $C_{el}$  Electricity cost [€/kWh]  
 $C_{tr}$  Site transportation cost [€]  
 $P_t$  Expected power loss for SH if maintenance is performed [kW],  $t \in T_{short}$   
 $P_k^{LH}$  Power loss levels for LH,  $k \in \{1, \dots, L\}$   
 $P_t^{CM}$  Power loss if a corrective maintenance task is done at step  $t$ ,  $t \in T_{short}$

##### Time parameters

- $w_{ij0}$  Number of time steps before preventive maintenance task  $j$  in wind turbine  $i$  should be performed ,  
 $t \in T, i \in WT, j \in PM$   
 $\tau_i^{CM}$  Time to do corrective maintenance in WT  $i$ ,  $i \in CM$   
 $\tau_j^{PM}$  Time to do preventive maintenance task  $j$ ,  $j \in PM$   
 $\tau_w$  Time to access the nacelle of a wind turbine  
 $h$  Available maintenance hours during the short horizon  
 $h_{kt}^{max}$  Available working hours at step  $t$  at power loss level  $k$ ,  $k \in \{1, \dots, L\}, t \in T_{long}$

##### Decision variables

$$x_{ijt} = \begin{cases} 1 & \text{if preventive maintenance task } j \text{ in wind} \\ & \text{turbine } i \text{ is performed at step } t \\ 0 & \text{otherwise,} \end{cases}$$

$t \in T_{short}, j \in PM, i \in CM$

$$y_{it} = \begin{cases} 1 & \text{if corrective maintenance task in wind} \\ & \text{turbine } i \text{ is performed at step } t \\ 0 & \text{otherwise,} \end{cases}$$

$t \in T_{short}, i \in CM$

##### Auxiliary binary variables

$$z_t = \begin{cases} 1 & \text{if the wind park is visited at step } t \\ 0 & \text{otherwise,} \end{cases}$$

$t \in T_{short}$

$$v_{it} = \begin{cases} 1 & \text{if the WT } i \text{ is visited at step } t \\ 0 & \text{otherwise,} \end{cases}$$

$t \in T_{short}, i \in WT$

##### Auxiliary non-negative variables

- $h_{tk}$  Maintenance hours used at power loss level  $k$  at step  $t$   
 $t \in T_{long}, k \in \{1, \dots, L\}$   
 $e_t$  Supplementary maintenance hours,  $t \in T_{short}$   
 $t \in T_{short}$

#### B. Objective function

$$\min \sum_{t \in T_{short}} \left[ \underbrace{\left[ \sum_{i \in CM} y_{it} \cdot P_t^{CM} \right]}_{\text{CM costs}} + \underbrace{\left[ \sum_i \sum_j x_{ijt} \cdot \tau_j^{PM} \cdot P_t \right]}_{\text{PM loss costs}} \right] \cdot C_{el}$$

$$+ \underbrace{z_t \cdot C_{tr}}_{\text{Transport cost}} + \underbrace{e_t \cdot C_{pen}}_{\text{Penalty working hours}}$$

$$+ \underbrace{\sum_{t \in T_{long}} \left[ \sum_k h_{tk} \cdot [P_{kt} \cdot C_{el} + \frac{C_{tr}}{h - 2 \cdot \tau_w}] \right]}_{\text{Long horizon PM loss and transport costs}}$$

### C. Constraints

Maintenance is performed if any of the preventive maintenance or corrective maintenance task is performed:

$$z_t \geq v_{it}, \quad i \in WT, t \in T_{short}.$$

Maintenance is performed in wind turbine  $i$  if any of the preventive maintenance or corrective maintenance activities in this wind turbine is performed:

$$\begin{aligned} v_{it} &\geq x_{ijt}, & i \in WT, j \in PM, t \in T_{short}, \\ v_{it} &\geq y_{it}, & i \in WT, t \in T_{short}. \end{aligned}$$

The next constraint force the corrective maintenance task  $i$  to be performed during the short term horizon:

$$\sum_{t \in T_{short}} y_{it} = 1, \quad i \in CM.$$

Each preventive maintenance task is performed during the remaining time steps allowed:

$$\sum_{t=1}^{w_{ij0}} x_{ijt} = 1, \quad i \in WT, j \in PM.$$

The number of maintenance working hours used is lower than the number of hours available. Otherwise a penalty is paid during the short horizon:

$$\begin{aligned} \sum_{ij} x_{ijt} \cdot \tau_j^{PM} + y_{it} \cdot \tau_i^{CM} + v_{it} \cdot \tau_w &\leq h + e_t, & t \in T_{short} \\ \sum_{ij} x_{ijt} \cdot [\tau_j^{PM} + \tau_w/2] &= \sum_{tk} h_{tk}, & t \in T_{long} \\ h_{tk} &\leq h_{tk}^{max}, & t \in T_{long}, \\ & & k \in \{1, \dots, L\}. \end{aligned}$$

## IV. ILLUSTRATIVE EXAMPLE

This example consists of five 3MW wind turbines with two preventive maintenance tasks to perform on each turbine. The tasks could be e.g. visual inspection of different components, oil sampling, greasing the bearings or changing the lubrication. A scenario of 60 days is used. It means that 60 optimizations are performed using the model previously described. It has been assumed that the first preventive maintenance task has to be performed within the first 20 days and the second preventive maintenance should be performed during the first 50 days:

$$\begin{aligned} N_{WT} &= 5, N_{T_{short}} = 10, N_{T_{long}} = 6, \\ w_{i10} &= 20, w_{i20} = 50, \tau_1^{PM} = 3, \tau_2^{PM} = 3. \end{aligned}$$

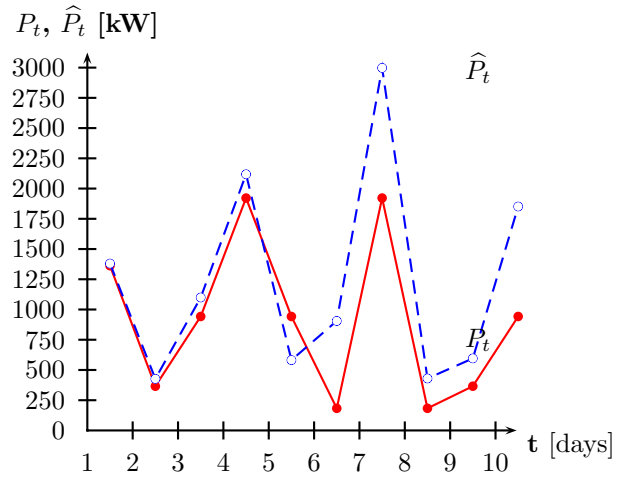


Fig. 3. Real power production  $P_t$  (full line) and forecast  $\hat{P}_t$  (dashed line) during the short horizon  $T_{short}$  for one optimization step.

Failures occur in wind turbines 1, 2 and 5 during the scenario. The failure scenario can be seen in Fig. 2 together with the scenario for the power production. The times to repair are given in table I.

TABLE I  
TIME TO PERFORM CORRECTIVE MAINTENANCE,  $\tau_i^{CM}$ , IN HOURS.

WT1	WT2	WT 5
3	2	3

The power scenario was based on real wind data during the summer time in the south of Sweden. Only the daily average wind speed was used. A discretized power curve was used to convert the wind data into power data. The wind power forecasts  $P_t$  for the short horizon are assumed to be known with growing uncertainty. The uncertainty is assumed normally distributed with variance growing from 1% of the rated capacity of a wind turbine for the first day to 20% for the 10<sup>th</sup> day. An error scenario  $\hat{P}_t$  is created at each optimization step. An example of power forecast versus real power production is shown in Fig. 3.

The corrective maintenance loss  $P_t^{CM}$  is calculated based on the wind power forecasts.

For the long horizon,  $L = 10$ , and the power production levels  $P_k^{LH}$  are described in Table II.

TABLE II  
POWER PRODUCTION LEVELS,  $P_k^{LH}$ .

$P_1$	$P_2$	$P_3$	$P_4$	$P_5$
100	300	600	900	1200
$P_6$	$P_7$	$P_8$	$P_9$	$P_{10}$
1500	2000	2500	2800	3000

The electricity price has been assumed to be 0.05€ per kWh (average electricity plus green certificate price in Sweden in 2007). The cost for transportation is assumed to be 500€ per day and would include the fuel and possible daily crew costs. The penalty cost for supplementary maintenance hours is assumed to be 100€ per hour for a team of two maintenance technicians.

$$C_{tr} = 500\text{€}, C_{el} = 0.05\text{€/kWh}, C_{pen} = 100\text{€/h}$$

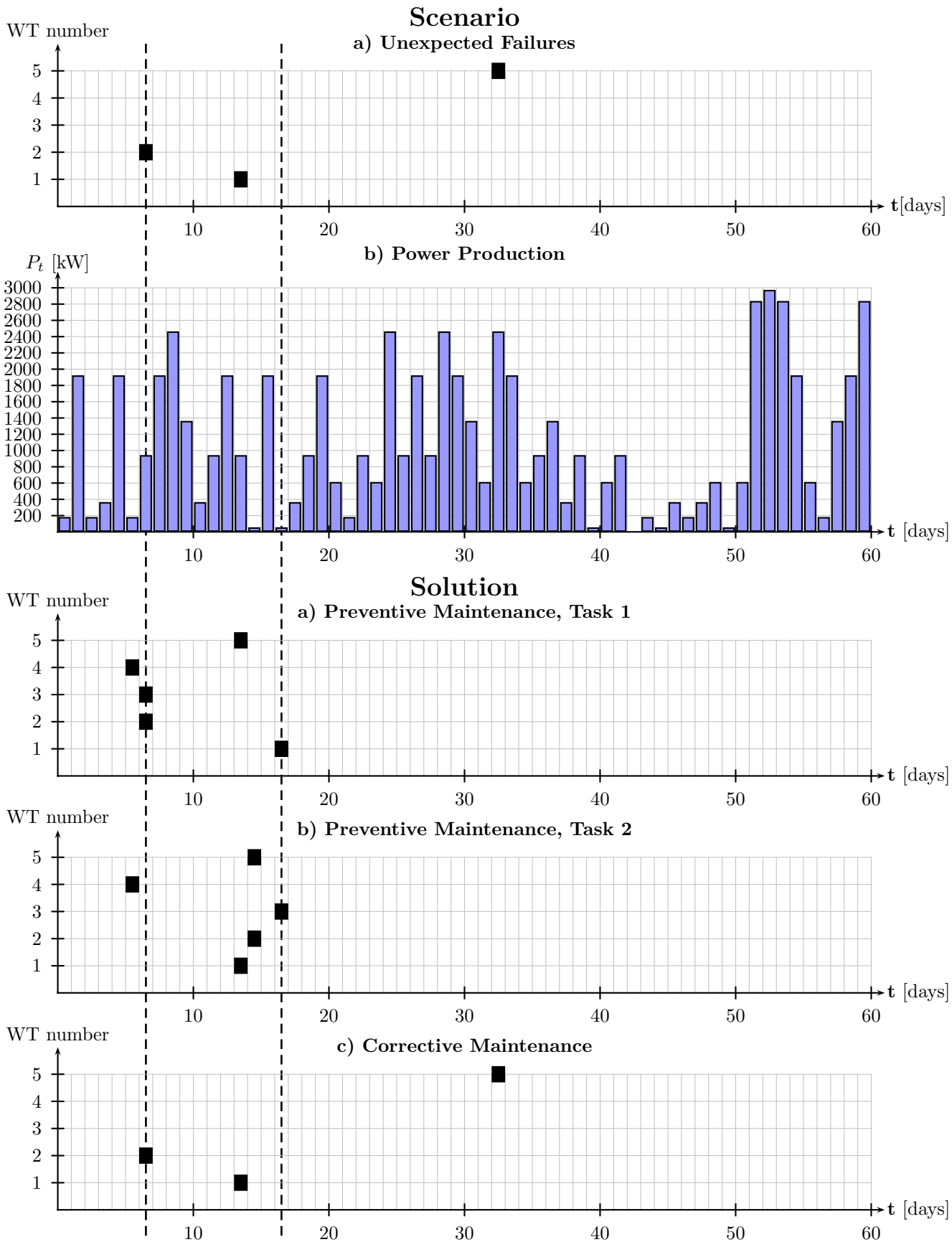


Fig. 2. Failure and power production scenarios and one optimization result for one simulation of the example. The dashed lines present the advised maintenance schedules for the day 7 and 16.

One maintenance team (two persons for safety reasons) operates on the wind power system. The team can work 7 hours per day in the wind power system. It takes 1/2 hour to access a wind turbine.

$$\tau_w = 0.5, h = 7$$

The long horizon forecasts for the number of available maintenance hours  $h_{kt}^{max}$  are assumed constant during the time step and based on statistics from the scenario. The corresponding histogram for  $h_{kt}^{max}$  is shown in Fig. 1.

## V. ANALYSIS

The model has been implemented with the commercial modeling language GAMS. The free MIP solver CoinGlpk was used to perform the optimization. MATLAB has been used as an interface to collect and analyse the results [6]. In order to capture the stochastic behavior of the forecasts, 50 simulations have been performed on the scenario with different stochastic forecasting errors.

## VI. RESULTS AND DISCUSSION

The solution of one simulation of the example is shown in Fig. 2. The maintenance manager performs an optimization of the maintenance schedule every day based on the present corrective maintenance tasks to be performed and available power forecasts. The result is a set of preventive and corrective maintenance tasks that are advised to be performed during the present day. Figure 2 shows the daily advised schedule and it is assumed that the advised tasks are performed during the day.

One can notice that preventive maintenance is only performed at low power production and if corrective maintenance is required. For example, at step 16 the wind power production is low and it is advised to perform preventive maintenance task 1 in wind turbine 1 and task 2 in wind turbine 3. At step 7, a failure occurs in wind turbine 2 and the solution indicates to perform preventive maintenance task 1 in both wind turbines 2 and 3. One can notice that the total time to perform all the maintenance activities is 8h. Also, the solution is to use one supplementary maintenance hour to avoid to have to access the same wind turbine later.

The average total maintenance cost for performing the preventive maintenance tasks was 2300€. It is assumed that the transportation costs should not be paid if corrective maintenance is performed at the same time. This cost can be compared with the case where all preventive maintenance tasks are performed without taking into consideration wind forecasts, e.g. during the first days of the scenario, one turbine a day. The maintenance cost without optimization is 4050€. It means that 43% of the cost could have been saved using the proposed approach.

This example demonstrates that it is possible to save major maintenance costs by taking advantage of low power forecasts and corrective maintenance opportunities to perform the preventive maintenance tasks. The implementation of the methodology requires that the maintenance schedule is flexible. The maintenance manager has to re-optimize the maintenance schedule in the morning of every working day and the maintenance tasks to be performed are available only after the optimization.

## VII. CLOSURE

### A. Conclusion

An optimization model was presented to take advantage of low wind power production and unexpected failures in order to perform preventive maintenance tasks at low costs. The opportunistic model was illustrated with an example. The result for this example was that 43% of the preventive maintenance cost could be saved.

### B. Future Work

The model will be further developed to include probabilistic forecasts of power production and to consider unaccessibility to the wind turbines in case of bad weather. A case study is planned to demonstrate the value of the model in real life.

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