

# Compressed Sensing and Machine Learning for Radar Imaging

Müjdat Çetin

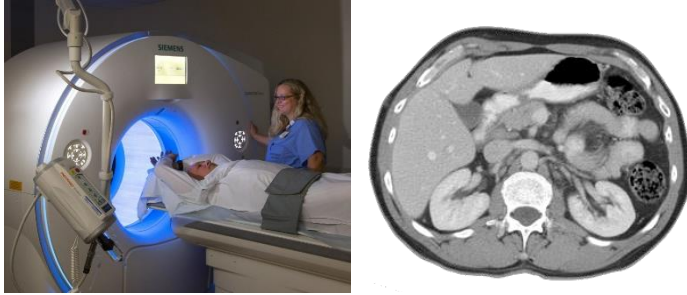
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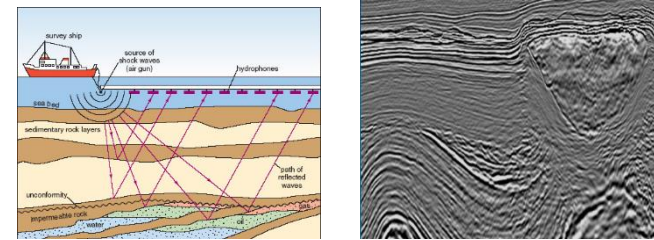
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Emre Güven, Alper Güngör, W. Clem Karl.

# Computational Imaging

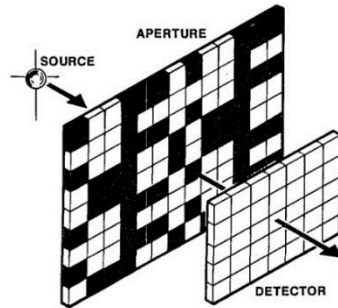
Computed tomography



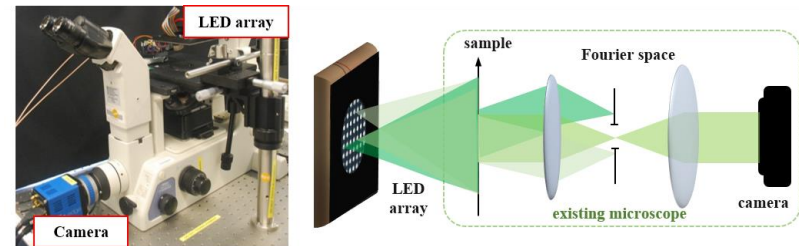
Seismic imaging



Coded-aperture imaging



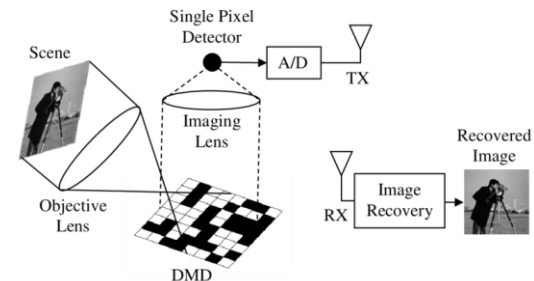
Computational microscopy



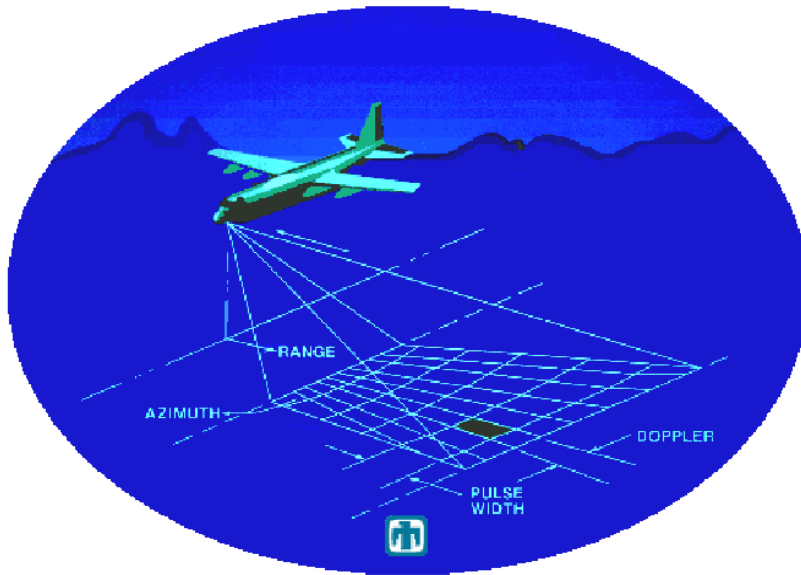
Light-field / plenoptic imaging



Single-pixel imaging



# Radar Imaging Basics



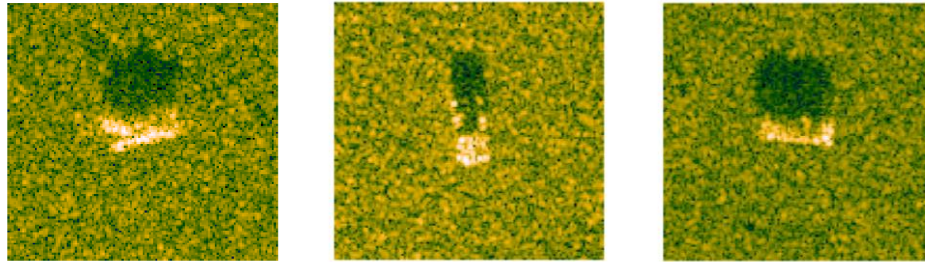
- All-weather
- Day and night operation
- Superposition of response from scatterers – tomographic measurements

- Synthetic aperture radar (SAR)
- Computational imaging problem:  
Obtain a spatial map of reflectivity from radar returns

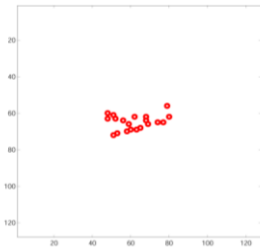
# Outline

- Sparsity and compressed sensing for radar imaging
- Machine learning for radar imaging

# Initial motivation for our work

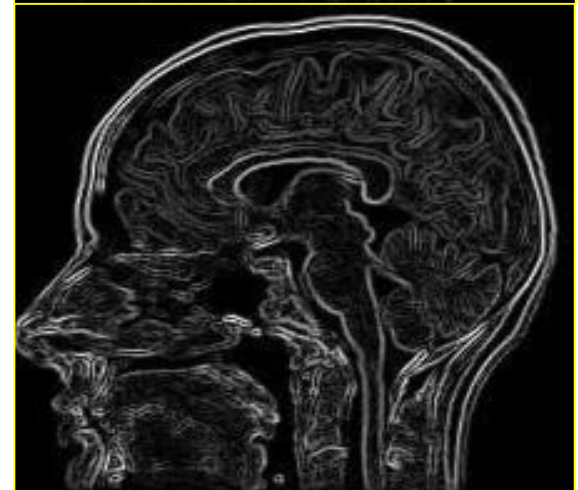
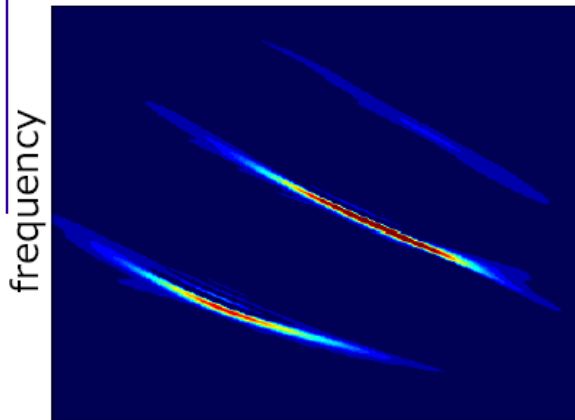
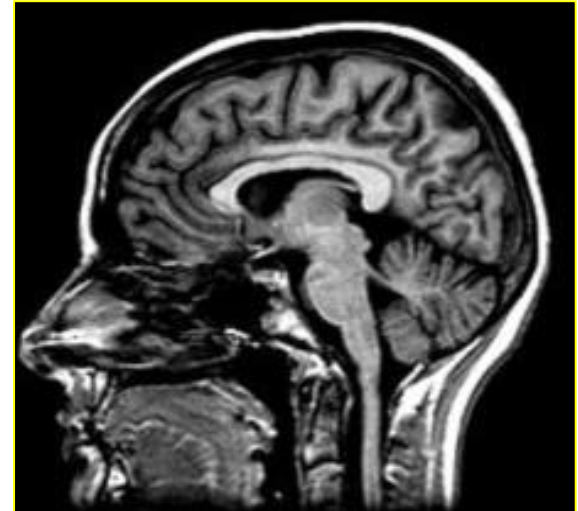
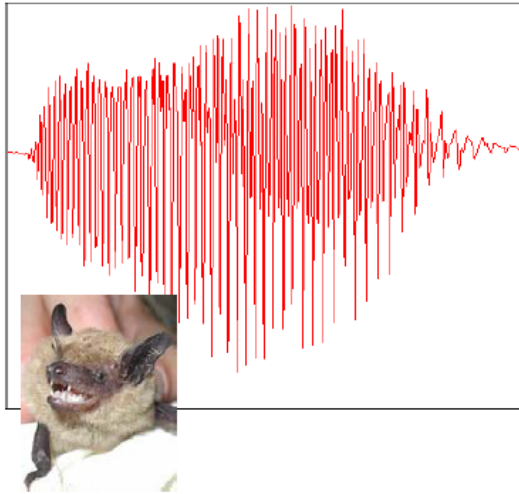


Some challenges for automatic decision-making from SAR images:

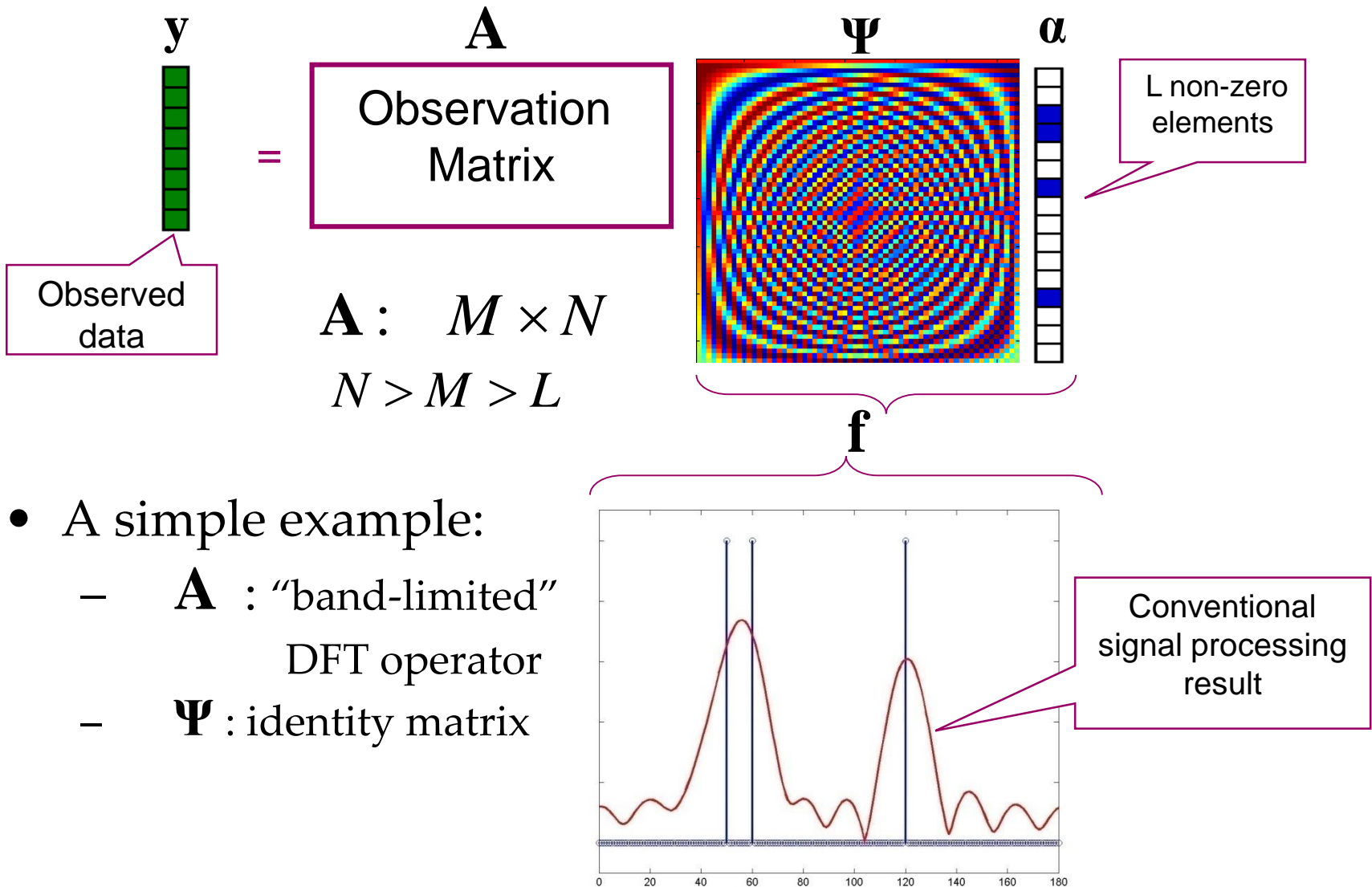


- Accurate localization of **dominant scatterers**
  - Limited resolution
  - Clutter and artifact energy
- **Region separability**
  - Speckle
  - Object boundaries
- Low SNR, limited apertures

# Sparsity and Compressibility of Signals



# Underdetermined Linear Inverse Problems



# Underdetermined Linear Inverse Problems, Sparsity, Compressed Sensing

- Basic problem: find an estimate of  $\mathbf{f}^*$ , where

$$\mathbf{y} = \mathbf{A}\mathbf{f}^* \quad (\mathbf{A} : M \times N, N > M) \quad \left[ \overleftarrow{\mathbf{A}} \overrightarrow{\mathbf{A}} \right]$$

- Underdetermined -- non-uniqueness of solutions
- Additional information/constraints needed for a unique solution
- If we know  $\mathbf{f}^*$  is sparse (*i.e.*, has few non-zero elements)?

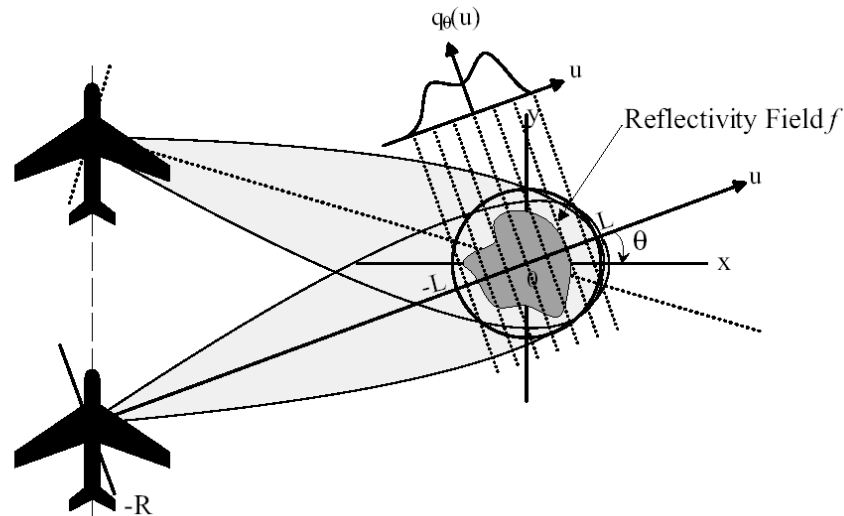
$$\hat{\mathbf{f}}_{\ell_0} = \arg \min \|\mathbf{f}\|_{\ell_0} \quad \text{subject to } \mathbf{y} = \mathbf{A}\mathbf{f}$$

Number of non-zero elements in  $\mathbf{f}$

- Intractable combinatorial optimization problem
- **Past work on sparse signal representation (including ours) has produced principled and feasible alternatives**
  - $\ell_p$  relaxations or greedy methods



# SAR Ground-plane Geometry



- Scalar 2-D complex reflectivity field  $f(x, y)$
- Transmitted chirp signal:  $s(t) = \Re [e^{j(\omega_0 t + \alpha t^2)}]$ ,  $|t| \leq \frac{T_p}{2}$
- Received, demodulated return from circular patch:

$$r_\theta(t) = \underbrace{\int_{|u| \leq L} \underbrace{q_\theta(u)}_{\substack{\text{Projection of} \\ \text{field } f(x, y)}} \exp \left\{ -j \frac{2}{c} \left[ \omega_0 + 2\alpha \left( t - \frac{2R}{c} \right) \right] u \right\} du}_{\text{Band-limited Fourier transform of } q_\theta(u)}$$

# SAR Observation Model

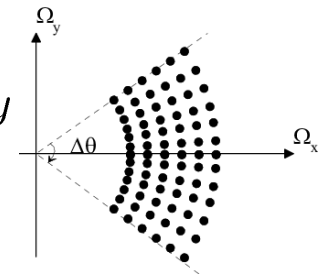
- Observations are related to projections of the field:

$$r_{\theta}(t) = \int_{|u| \leq L} \underbrace{g_{\theta}(u)}_{\substack{\text{Projection of field} \\ f(x, y) \text{ at angle } \theta}} \exp \left\{ -j \underbrace{\frac{2}{c} \left[ \omega_0 + 2\alpha \left( t - \frac{2R}{c} \right) \right]}_{\Omega(t)} u \right\} du$$

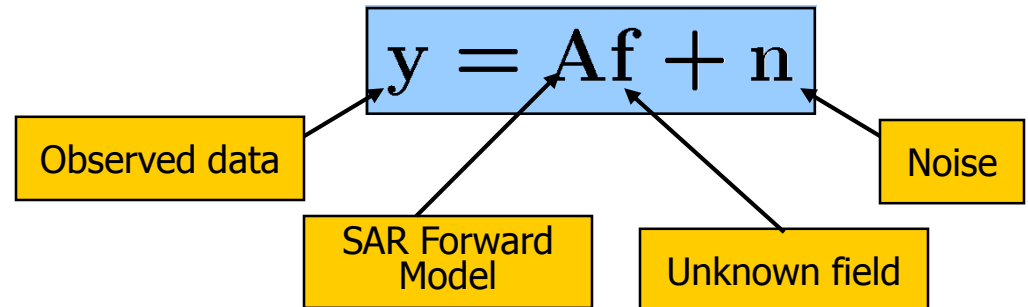
Spatial frequency

- SAR observations are band-limited slices from the 2-D Fourier transform of the reflectivity field:

$$\begin{aligned} r_{\theta}(t) &= \iint_{x^2 + y^2 \leq L^2} f(x, y) \exp \{ -j \Omega(t) (x \cos \theta + y \sin \theta) \} dx dy \\ &= F [\Omega(t) \cos \theta, \Omega(t) \sin \theta] \end{aligned}$$



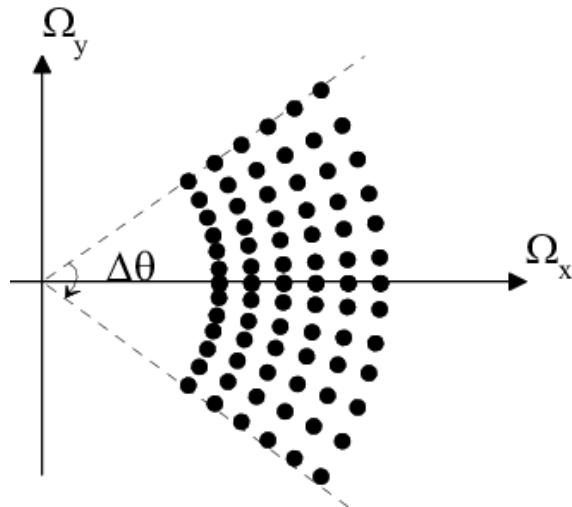
- Discrete tomographic SAR observation model:**  
(combining all measurements)



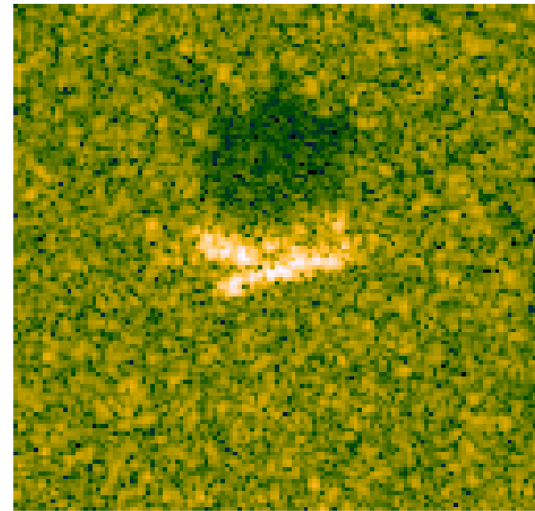
# Conventional Image Formation

- Given SAR returns, create an estimate of the reflectivity field  $f$

Support of observed data  
in the spatial frequency domain



Sample Conventional Image



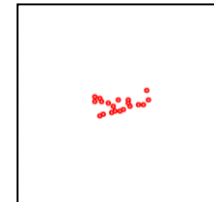
Polar format algorithm:

- Each pulse gives slice of 2-D Fourier transform of field
- Polar to rectangular resampling
- 2-D inverse DFT

# Sparsity-Driven Radar Imaging – basic version

$$J(\mathbf{f}) = \|\mathbf{y} - \mathbf{A}\mathbf{f}\|_2^2 + \lambda \|\mathbf{L}|\mathbf{f}|\|_p^p$$

- Bayesian interpretation: MAP estimation problem with heavy-tailed priors  $p(\mathbf{f} | \mathbf{y}) \propto p(\mathbf{y} | \mathbf{f})p(\mathbf{f})$
- Complex-valued data and image
- Magnitude of complex-valued field admits sparse representation
- No informative prior on reflectivity phase
- Typical choices for  $\mathbf{L}$ :
  - identity (*point-enhanced imaging*)
  - gradient (*region-enhanced imaging*)
- Optimization problem structure is different from common sparse representation problems



## One way to solve the optimization problem

- **Alternating Direction Method of Multipliers (ADMM)**
- An augmented Lagrangian method developed in 1970s, with roots in 1950s -- rediscovered recently!
- Contains ideas involving dual decomposition, method of multipliers, proximal methods, variable splitting
- **Enables decoupling terms related to data and priors**
- Suited to distributed optimization

## A basic ADMM for $l_1$ minimization

- Cost function:  $J(\mathbf{f}) = \frac{1}{2} \|\mathbf{y} - \mathbf{A}\mathbf{f}\|_2^2 + \lambda \|\mathbf{f}\|_1$
- Augmented Lagrangian with variable splitting:

$$L_\rho(\mathbf{f}, \mathbf{g}, \mathbf{u}) = \frac{1}{2} \|\mathbf{y} - \mathbf{A}\mathbf{f}\|_2^2 + \lambda \|\mathbf{g}\|_1 + \rho \mathbf{u}^T (\mathbf{f} - \mathbf{g}) + \frac{\rho}{2} \|\mathbf{f} - \mathbf{g}\|_2^2$$

- Iterative solution:

Data

$$\mathbf{f}^{k+1} = (\mathbf{A}^T \mathbf{A} + \rho \mathbf{I})^{-1} (\mathbf{A}^T \mathbf{y} + \rho (\mathbf{g}^k - \mathbf{u}^k))$$

Prior

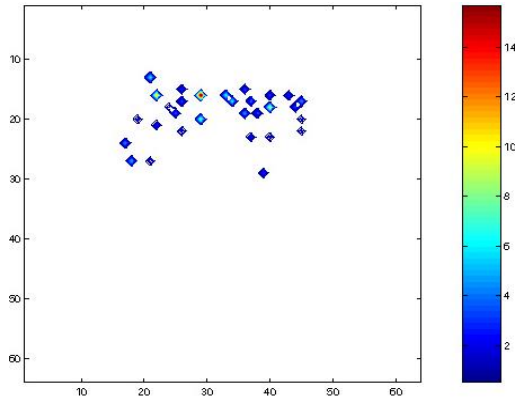
$$\mathbf{g}^{k+1} = S_{\lambda/\rho} (\mathbf{f}^{k+1} + \mathbf{u}^k)$$

$$\mathbf{u}^{k+1} = \mathbf{u}^k + (\mathbf{f}^{k+1} - \mathbf{g}^{k+1})$$

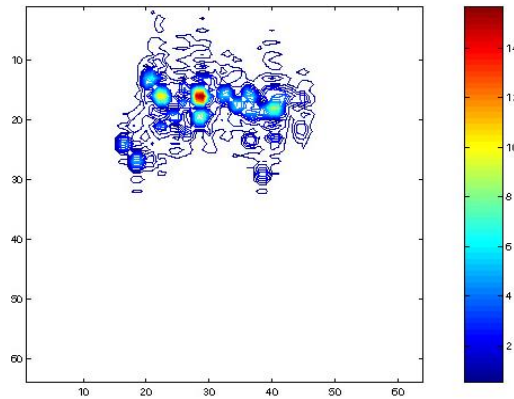
# Point-Enhanced Imaging

## Synthetic scene

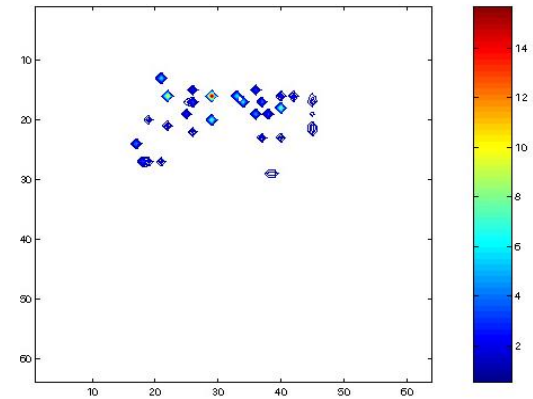
Original



Conventional

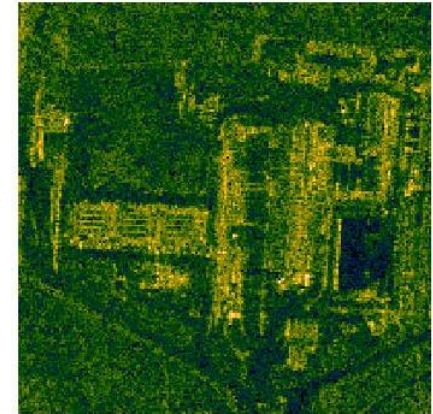
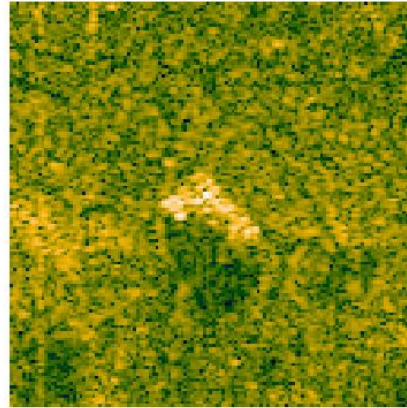
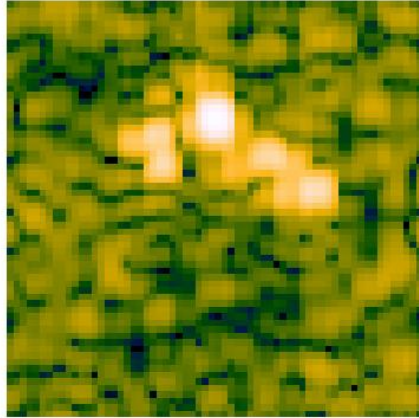


Point-Enhanced



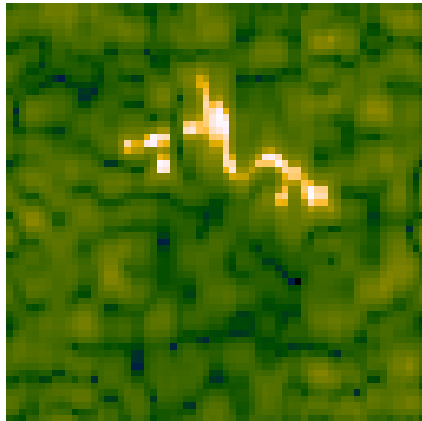
# Sparsity-Driven SAR Imaging Results

Conventional

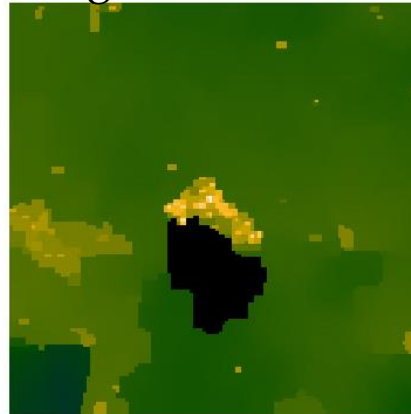


Sparsity-driven

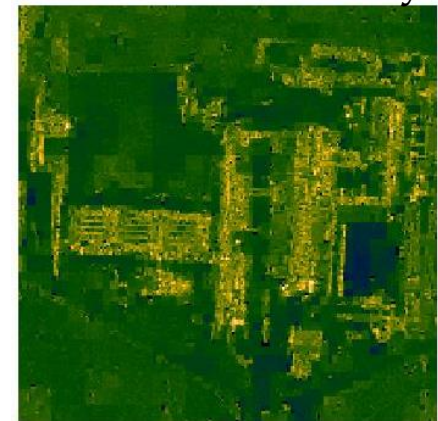
Point-enhanced



Region-enhanced



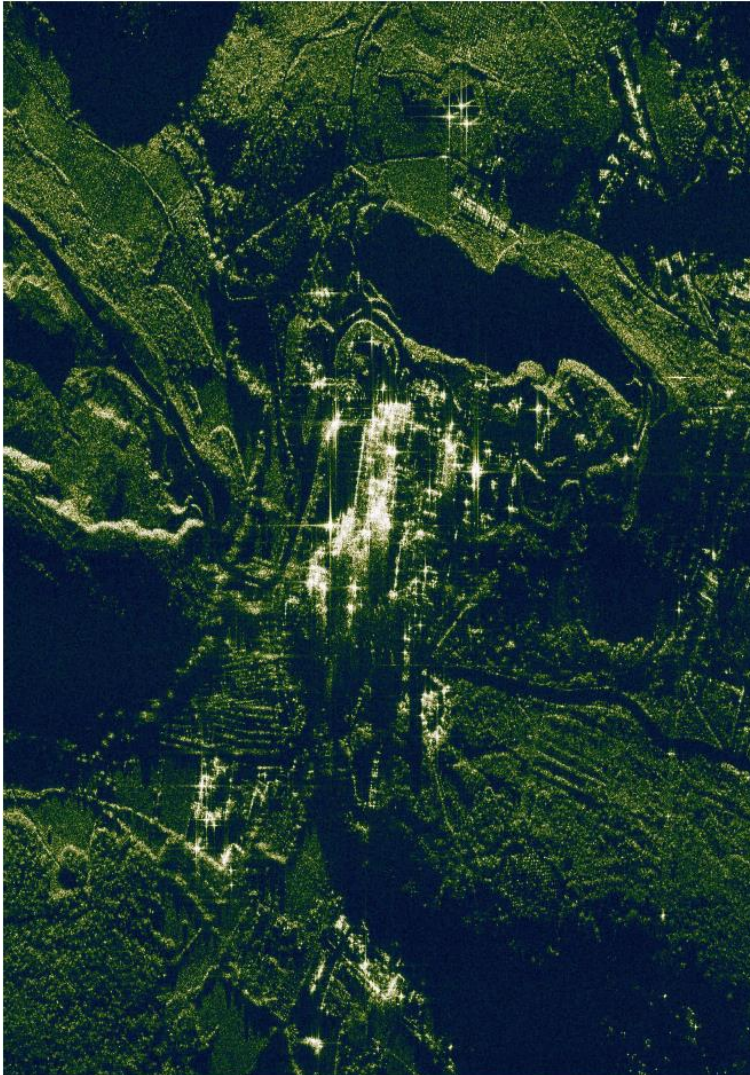
Wavelet dictionary



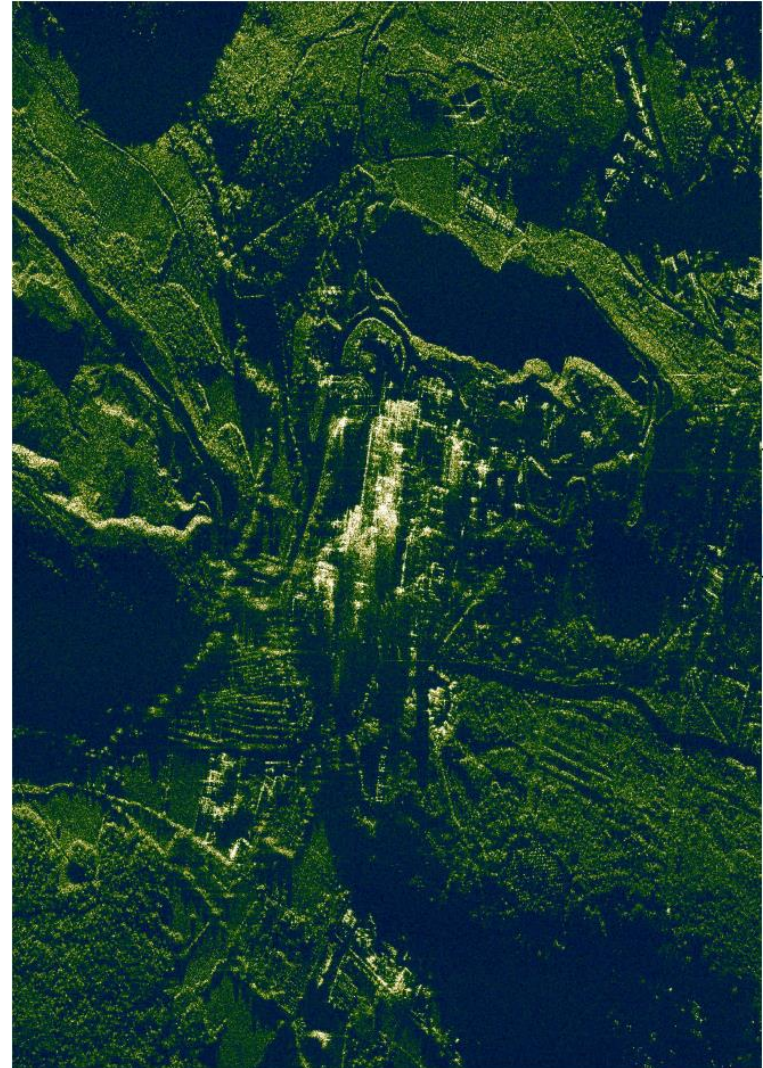


# Sparsity-Driven SAR Imaging Results

Conventional

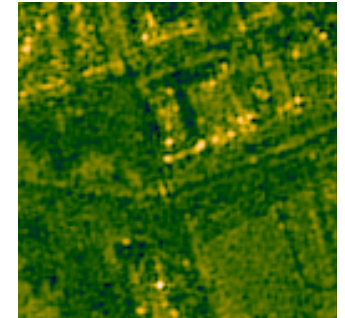
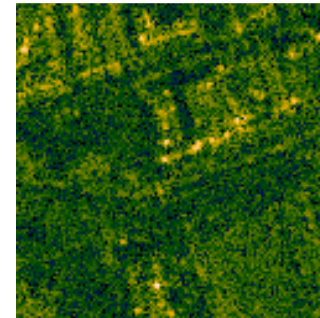
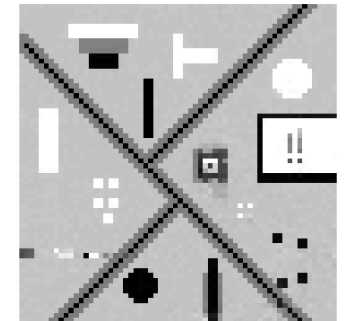
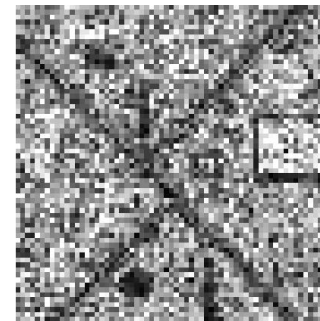
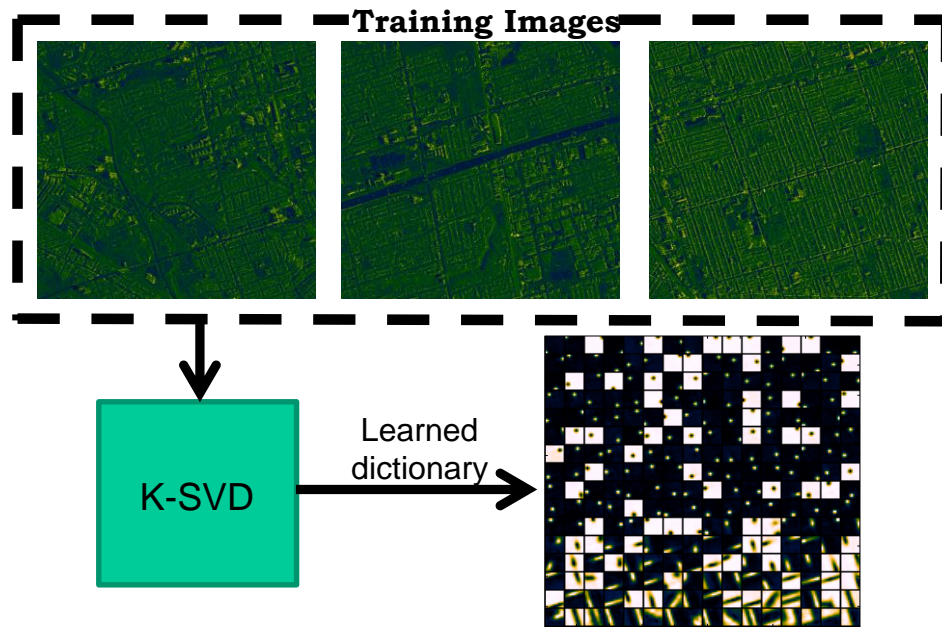


Sparsity-driven, ADMM-based



# Dictionary Learning for Sparsity-Driven SAR Imaging

Conventional    Learning-based



# Deep Learning-based Priors for SAR Imaging

- A new SAR image reconstruction framework utilizing Plug-and-Play (PnP) priors
  - Optimization-based reconstruction - regularized inversion, MAP estimation
  - Decoupling the data and the prior through ADMM
  - Deep learning-based prior

# Problem Formulation

- Discretized SAR observation model:

$$\mathbf{y} = \mathbf{A}\mathbf{f} + \mathbf{n}$$

- Retrieve  $\mathbf{f}$  using a regularized cost function:

$$\hat{\mathbf{f}} = \underset{\mathbf{f}}{\operatorname{argmin}}\{\mathcal{D}(\mathbf{f}) + \lambda\mathcal{R}(\mathbf{f})\}$$

where  $\mathcal{D}(\mathbf{f}) = \|\mathbf{y} - \mathbf{A}\mathbf{f}\|_2^2$  and  $\mathcal{R}(\mathbf{f})$  is the regularizer

## Problem Formulation

- Prior information or regularization constraints on the magnitude of  $\mathbf{f}$
- Rewrite  $\mathbf{f} = \mathbf{\Theta}|\mathbf{f}|$  where  $\mathbf{\Theta}$  is a diagonal matrix containing the phase of  $\mathbf{f}$  in the form  $e^{j\phi(\mathbf{f})}$
- Cost function becomes:

$$\{|\hat{\mathbf{f}}|, \hat{\mathbf{\Theta}}\} = \underset{|\mathbf{f}|, \mathbf{\Theta}}{\operatorname{argmin}} \|\mathbf{y} - \mathbf{A}\mathbf{\Theta}|\mathbf{f}|\|_2^2 + \lambda \mathfrak{R}(\mathbf{f})$$

## Variable Splitting and ADMM

- Introduce an auxiliary variable with a constraint:

$$\{|\hat{\mathbf{f}}|, \hat{\Theta}, \hat{\mathbf{h}}\} = \underset{|\mathbf{f}|, \Theta, \mathbf{h}}{\operatorname{argmin}} \|\mathbf{y} - \mathbf{A}\Theta|\mathbf{f}|\|_2^2 + \lambda \mathfrak{R}(\mathbf{h})$$

$$s. t. |\mathbf{f}| - \mathbf{h} = 0$$

- Augmented Lagrangian (in scaled form):

$$\{|\hat{\mathbf{f}}|, \hat{\Theta}, \hat{\mathbf{h}}, \hat{\mathbf{u}}\} = \underset{|\mathbf{f}|, \Theta, \mathbf{h}, \mathbf{u}}{\operatorname{argmin}} \|\mathbf{y} - \mathbf{A}\Theta|\mathbf{f}|\|_2^2 + \lambda \mathfrak{R}(\mathbf{h})$$

$$+ \frac{\rho}{2} \|\mathbf{h} - |\mathbf{f}| + \mathbf{u}\|_2^2 + \frac{\rho}{2} \|\mathbf{u}\|_2^2$$

# Variable Splitting and ADMM - details

- Let  $\boldsymbol{\theta} \in \mathbb{C}^{N \times 1}$  be a vector containing the diagonal elements of the phase matrix  $\Theta$
- Invoke the constraint that the magnitudes of the elements of  $\boldsymbol{\theta}$  should be 1, since they contain phases in the form  $e^{j\phi(\mathbf{f})}$
- Let  $\mathbf{B}$  be a matrix whose diagonal elements contain the reflectivity magnitudes
- Let  $\tilde{\mathbf{f}} = \hat{\mathbf{h}} - \mathbf{u}$  and  $\tilde{\mathbf{h}} = |\hat{\mathbf{f}}| + \mathbf{u}$

# Variable Splitting and ADMM

- Each iteration of the ADMM algorithm performs the following steps enabling the use of a Plug-and-Play (PnP) prior approach:

**Data**  $\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmin}} \|\mathbf{y} - \mathbf{A}\mathbf{B}\boldsymbol{\theta}\|_2^2 + \lambda_{\boldsymbol{\theta}} \sum_{i=1}^N (|\boldsymbol{\theta}_i| - 1)^2$

**Data**  $|\hat{\mathbf{f}}| = \underset{|\mathbf{f}|}{\operatorname{argmin}} \|\mathbf{y} - \mathbf{A}\boldsymbol{\Theta}|\mathbf{f}|\|_2^2 + \frac{\rho}{2} \||\mathbf{f}| - \tilde{\mathbf{f}}\|_2^2$

**Prior**  $\hat{\mathbf{h}} = \underset{\mathbf{h}}{\operatorname{argmin}} \lambda \mathfrak{R}(\mathbf{h}) + \frac{\rho}{2} \|\tilde{\mathbf{h}} - \mathbf{h}\|_2^2$

$$\hat{\mathbf{u}} = \mathbf{u} + |\hat{\mathbf{f}}| - \hat{\mathbf{h}}$$

where  $\lambda_{\boldsymbol{\theta}}$  is a hyperparameter



# Convolutional Neural Network (CNN)-based Prior

- Architecture: modified version of the network used in [1]
- 20 convolutional modules
- First and even numbered modules: 64  $3\times 3$  filters with padding 1, stride 1
- Remaining modules: 64  $5\times 5$  filters with padding 2, stride 1
- Each module has batch normalization and ReLU layers

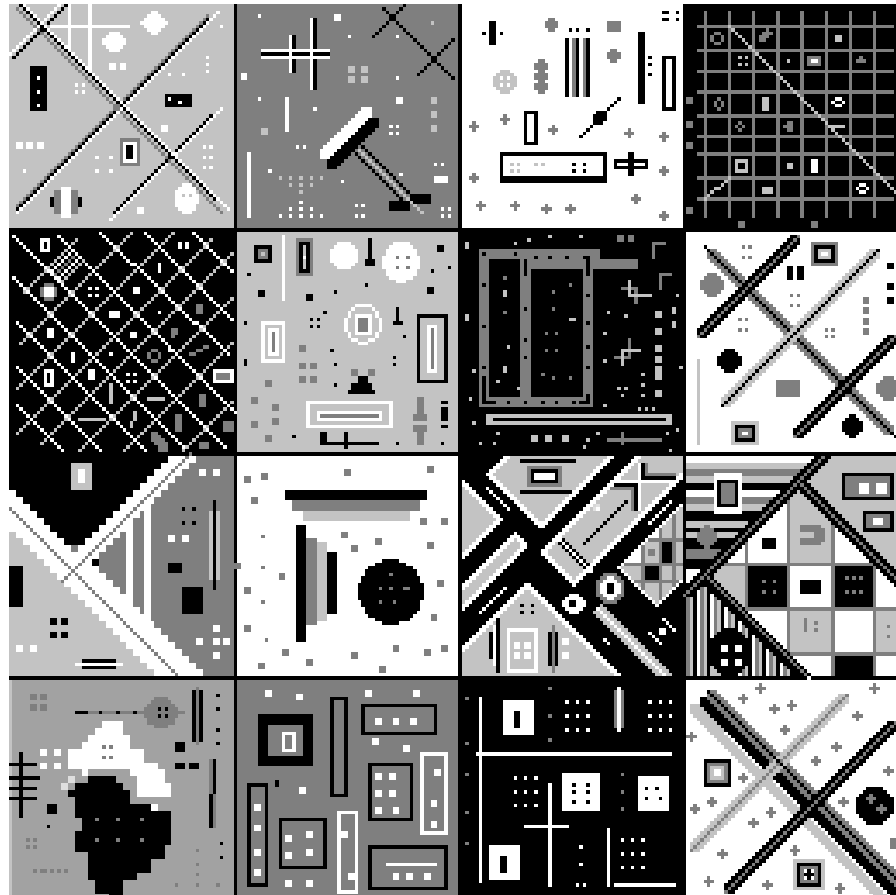
[1] K. Zhang, W. Zuo, Y. Chen, D. Meng, and L. Zhang, "Beyond a Gaussian denoiser: Residual learning of deep CNN for image denoising," *IEEE Transactions on Image Processing*, 26(7):3142-3155, 2017.

# Training the CNN - Synthetic Scenes

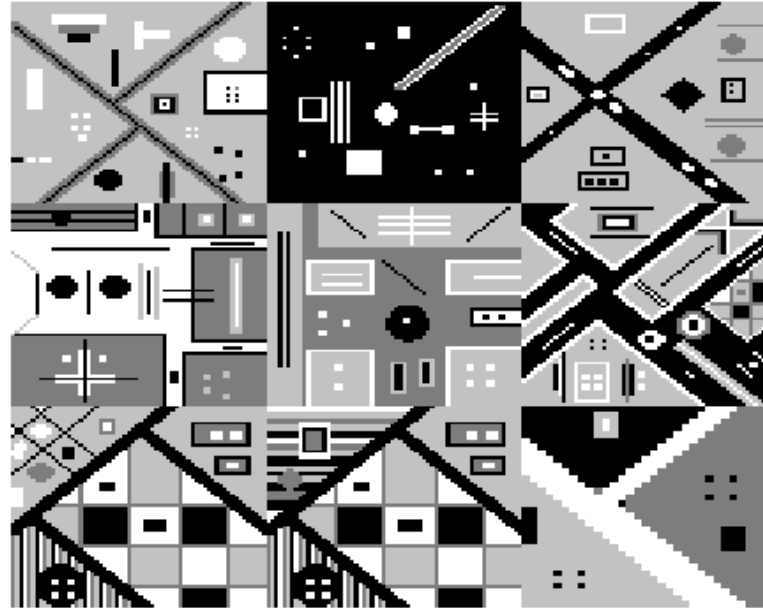
Using 64×64 ground truth images for CNN training:

- Add random phase to training images and obtain the phase histories.
- Apply complex-valued additive noise to the phase histories.
  - Magnitude uniformly distributed over  $[0, \sigma_y]$ , where  $\sigma_y$  is the standard deviation of the magnitude of the phase history data
  - Phase uniformly distributed over  $[-\pi, \pi]$
- Perform conventional reconstructions.
- Extract 16×16 overlapping patches from these conventional images and their corresponding ground truths, to construct input-output pairs.
- Augment the pairs of images through rotation by  $[90^\circ, 180^\circ, 270^\circ]$ .
- Train the network using these augmented pairs of images, with image reconstructed from noisy data as input and ground truth image as output.

# Synthetic Data Experiments --Training Set



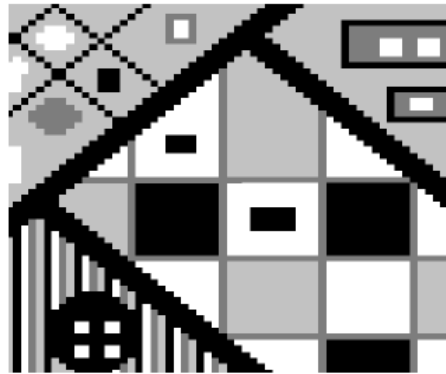
# Test Set



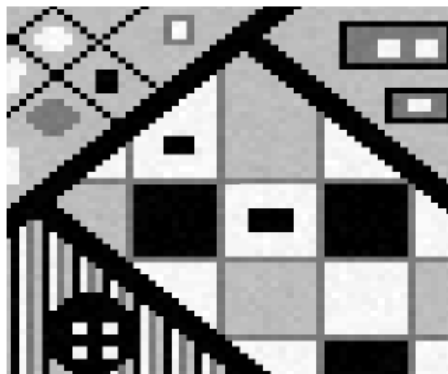
- 5 different phase history data availability levels:  
100%, 87.89%, 76.56%, 56.25%, 25%
- 2 different noise levels ( $\sigma_n \in \{0.1, 1\}\sigma_y$ )
- Rectangular band-limitation for data reduction

# Qualitative Results: Image 7, $\sigma_n = 0.1\sigma_y$ and full data

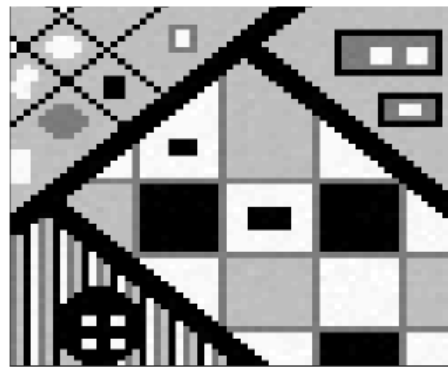
Ground truth



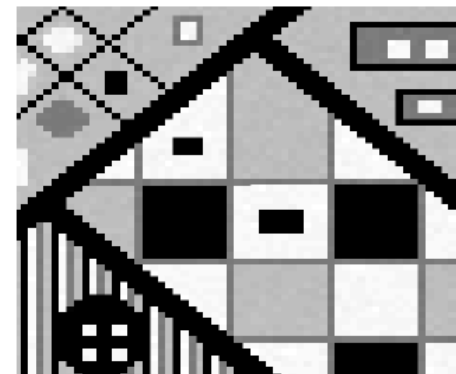
FFT-based



FE-based [3]

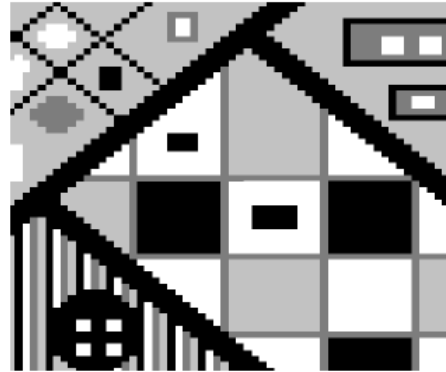


PnP-based

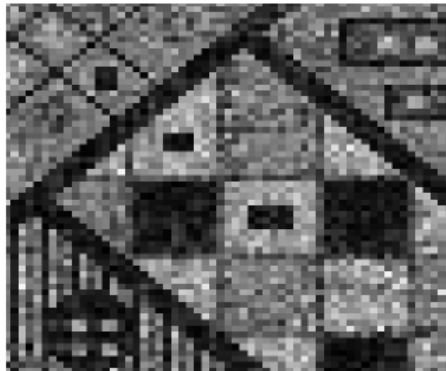


# Qualitative Results: Image 7, $\sigma_n = 0.1\sigma_y$ and 87.89% data

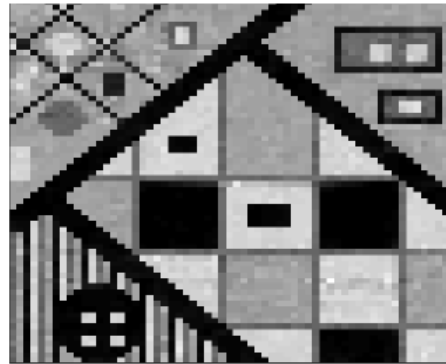
Ground truth



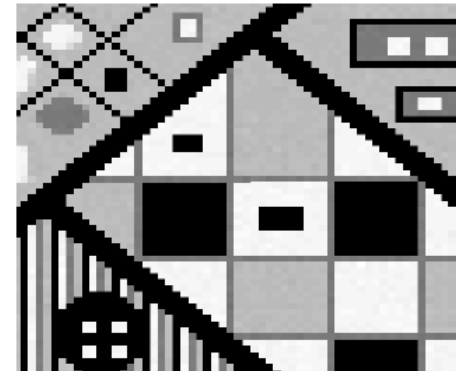
FFT-based



FE-based [3]

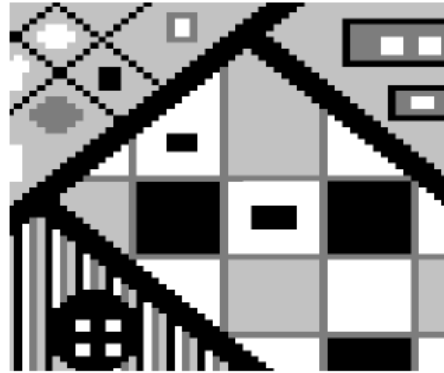


PnP-based



# Qualitative Results: Image 7, $\sigma_n = 0.1\sigma_y$ and 76.56% data

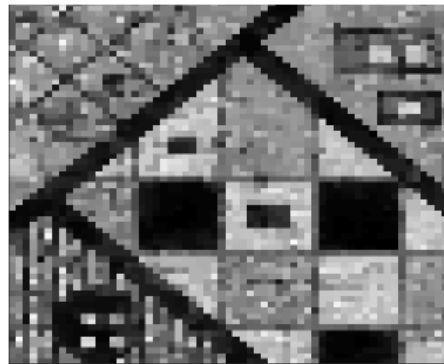
Ground truth



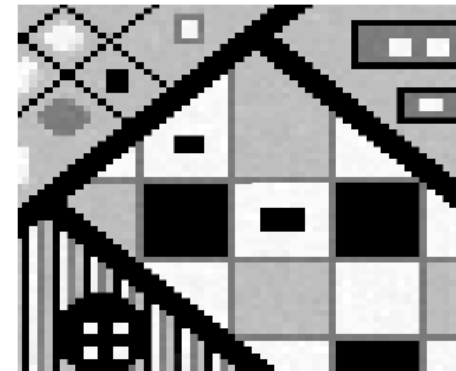
FFT-based



FE-based [3]

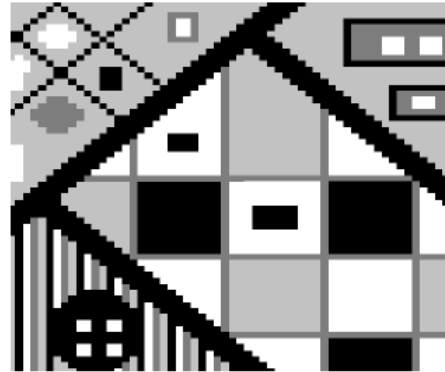


PnP-based

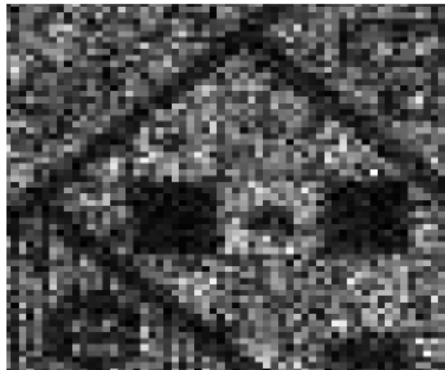


# Qualitative Results: Image 7, $\sigma_n = 0.1\sigma_y$ and 56.25% data

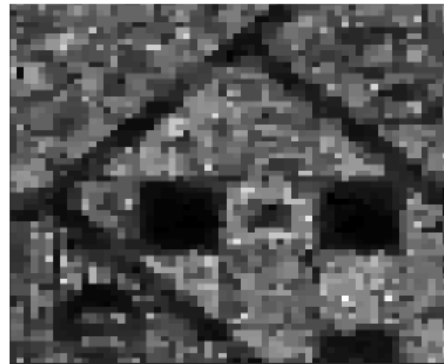
Ground truth



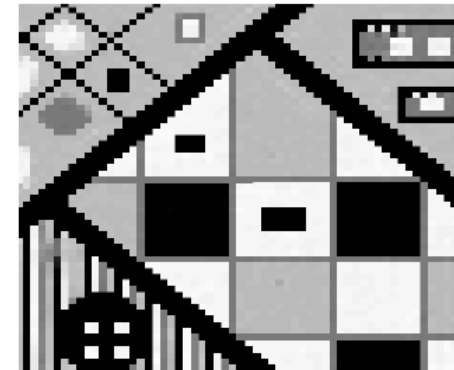
FFT-based



FE-based [3]



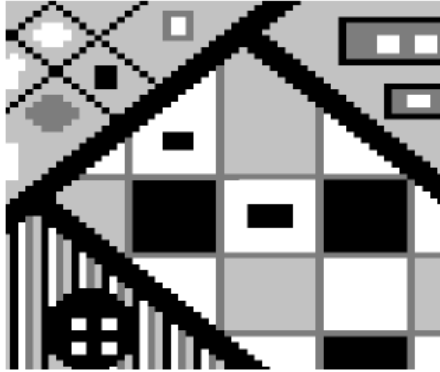
PnP-based



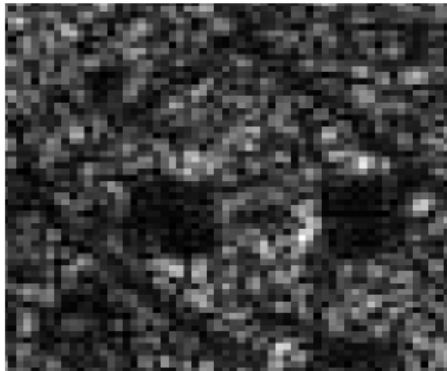


# Qualitative Results: Image 7, $\sigma_n = 0.1\sigma_y$ and 25% data

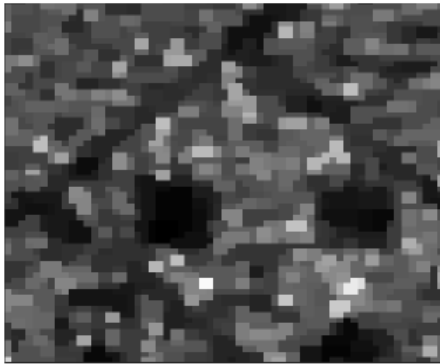
Ground truth



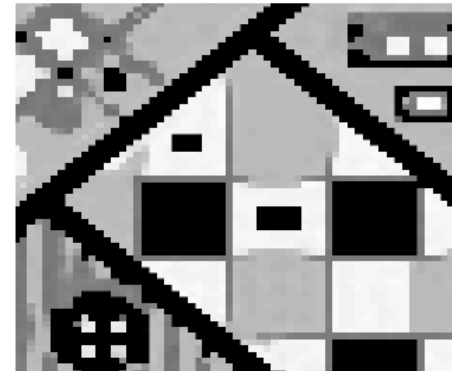
FFT-based



FE-based [3]



PnP-based



# Quantitative Results

Table: SNRs for selected images at various noise & data availability levels

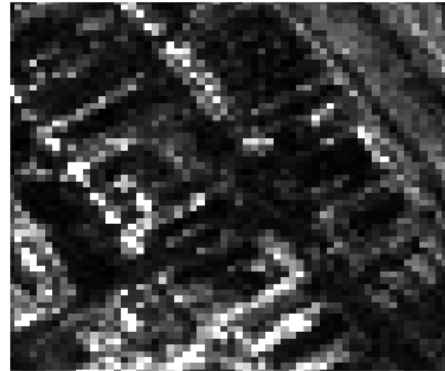
Available Data	Method	SNR (dB)	
		$0.1 \sigma_y$ , Image 7	$\sigma_y$ , Image 2
100%	FFT-based Reconstruction	36.539	14.980
	Feature-enhanced Regularization [3]	36.968	15.069
	Proposed Framework	<b>38.075</b>	<b>23.235</b>
87.89%	FFT-based Reconstruction	10.960	8.883
	Feature-enhanced Regularization [3]	22.484	13.502
	Proposed Framework	<b>36.754</b>	<b>22.460</b>
76.56%	FFT-based Reconstruction	8.659	6.878
	Feature-enhanced Regularization [3]	12.402	10.518
	Proposed Framework	<b>35.465</b>	<b>18.730</b>
56.25%	FFT-based Reconstruction	6.393	4.432
	Feature-enhanced Regularization [3]	7.886	5.568
	Proposed Framework	<b>25.199</b>	<b>9.604</b>
25%	FFT-based Reconstruction	3.951	2.481
	Feature-enhanced Regularization [3]	4.692	2.770
	Proposed Framework	<b>13.579</b>	<b>2.955</b>

# Preliminary Results on Real Scenes from TerraSAR-X

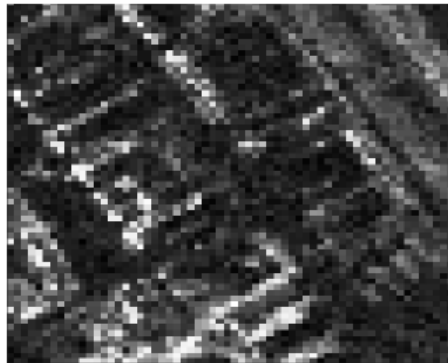
- Training based on the Netherlands *Rotterdam Harbor Staring Spotlight SAR image* ( $1041 \times 1830$ )
  - Split into 448 non-overlapping  $64 \times 64$  “windows”
  - 1075648 overlapping  $16 \times 16$  patches extracted from windows
  - Patches augmented with rotations of  $90^\circ$ ,  $180^\circ$ ,  $270^\circ$
- Test set: 751 selected windows extracted from the *Panama High Resolution Spotlight SAR image* ( $2375 \times 3375$ )

## Qualitative Results: Image 608, $\sigma_n = \sigma_y$ , full data

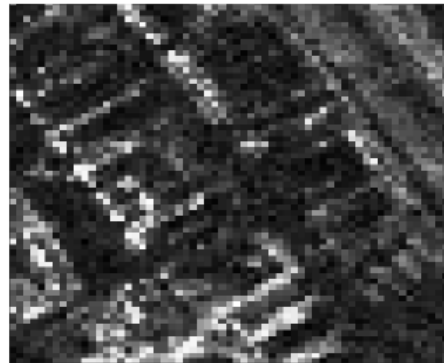
Reference image



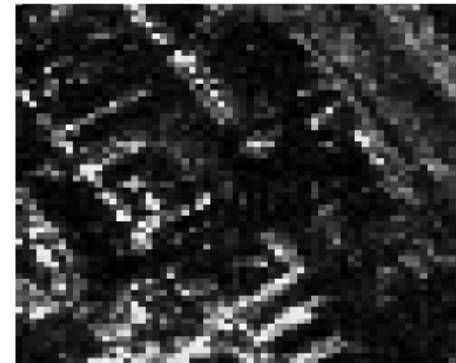
FFT-based



FE-based [3]

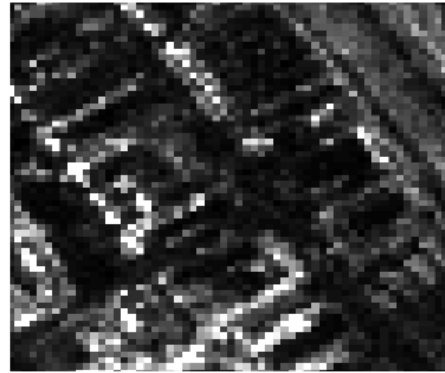


PnP-based

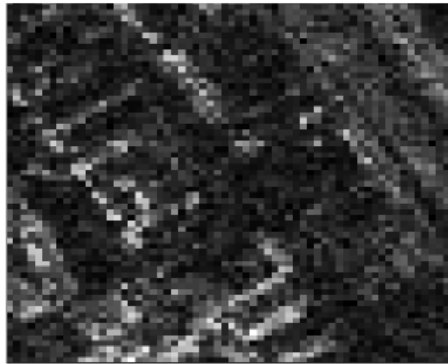


## Qualitative Results: Image 608, $\sigma_n = \sigma_y$ , 87.89% data

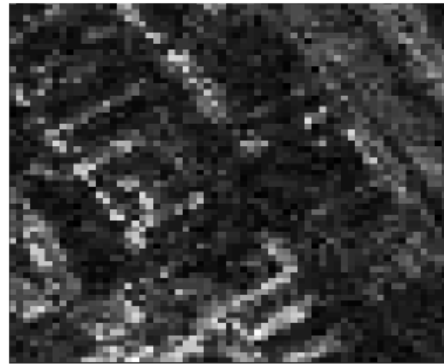
Reference image



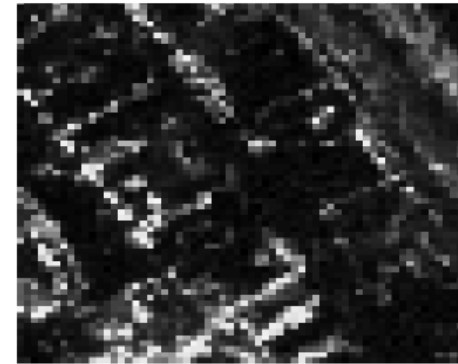
FFT-based



FE-based [3]



PnP-based



# Qualitative Results: Image 608, $\sigma_n = \sigma_y$ , 76.56% data

Reference image



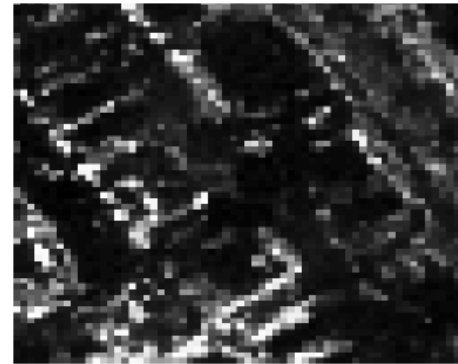
FFT-based



FE-based [3]

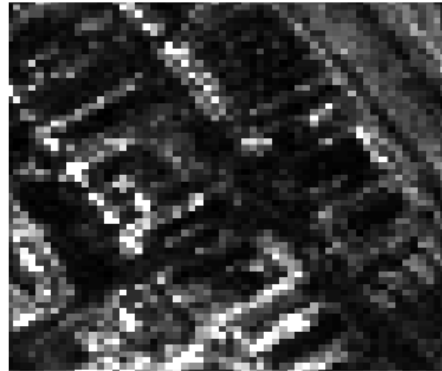


PnP-based

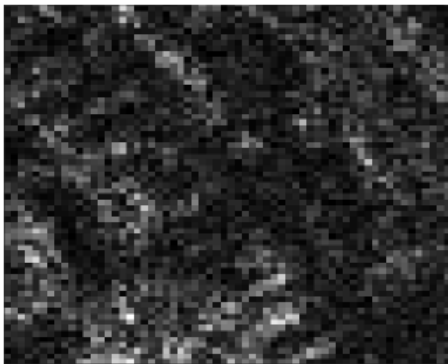


## Qualitative Results: Image 608, $\sigma_n = \sigma_y$ , 56.25% data

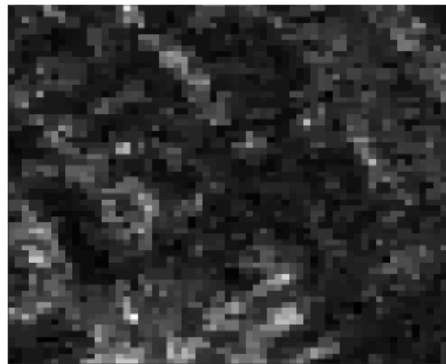
Reference image



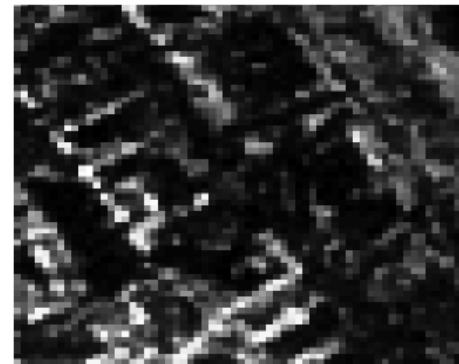
FFT-based



FE-based [3]

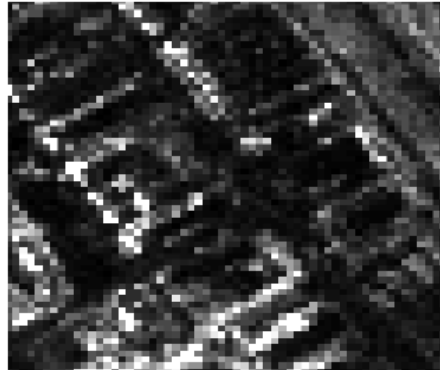


PnP-based



## Qualitative Results: Image 608, $\sigma_n = \sigma_y$ , 25% data

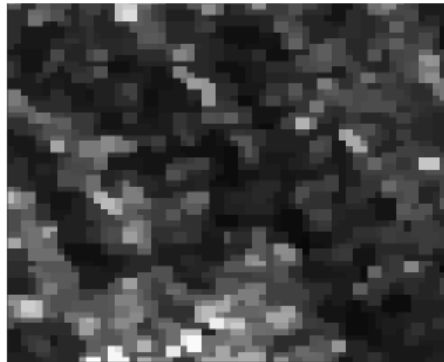
Reference image



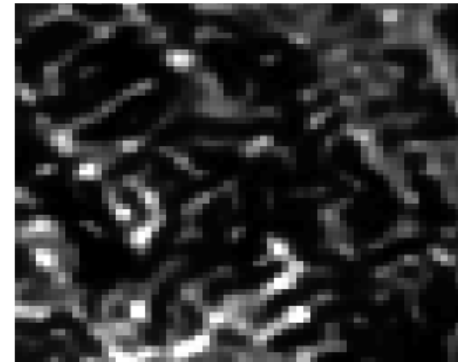
FFT-based



FE-based [3]



PnP-based

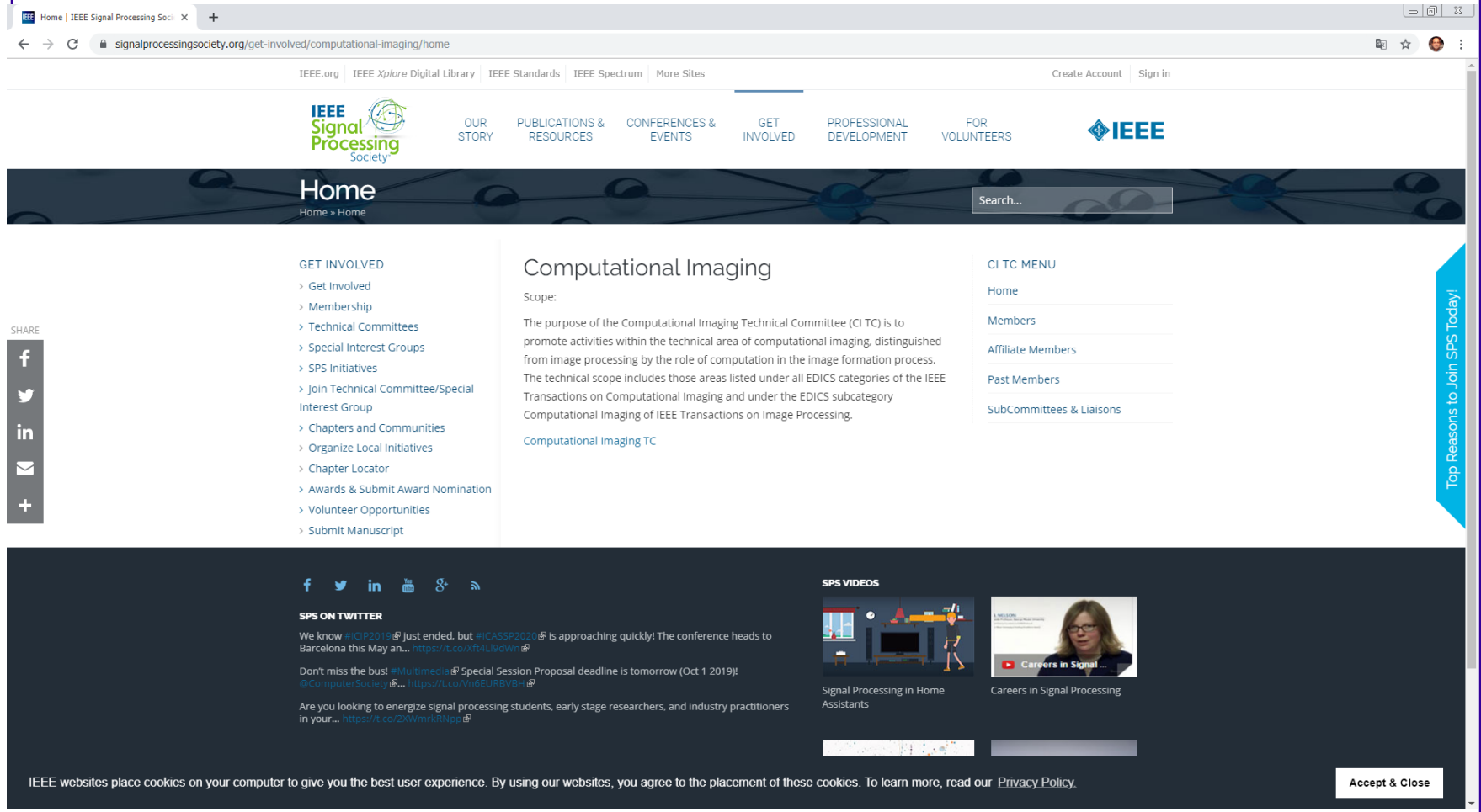




# Conclusion

- A line of inquiry that lies at the intersection of several domains:
  - Radar sensing
  - Computational imaging
  - Signal representation, compressed sensing
  - Machine learning
- Sparsity is a useful asset for radar imaging especially in nonconventional data collection scenarios (e.g., when the data are sparse, irregular, limited)
- Deep learning methods may have the potential to learn complicated spatial patterns and enable their incorporation as priors into computational radar imaging

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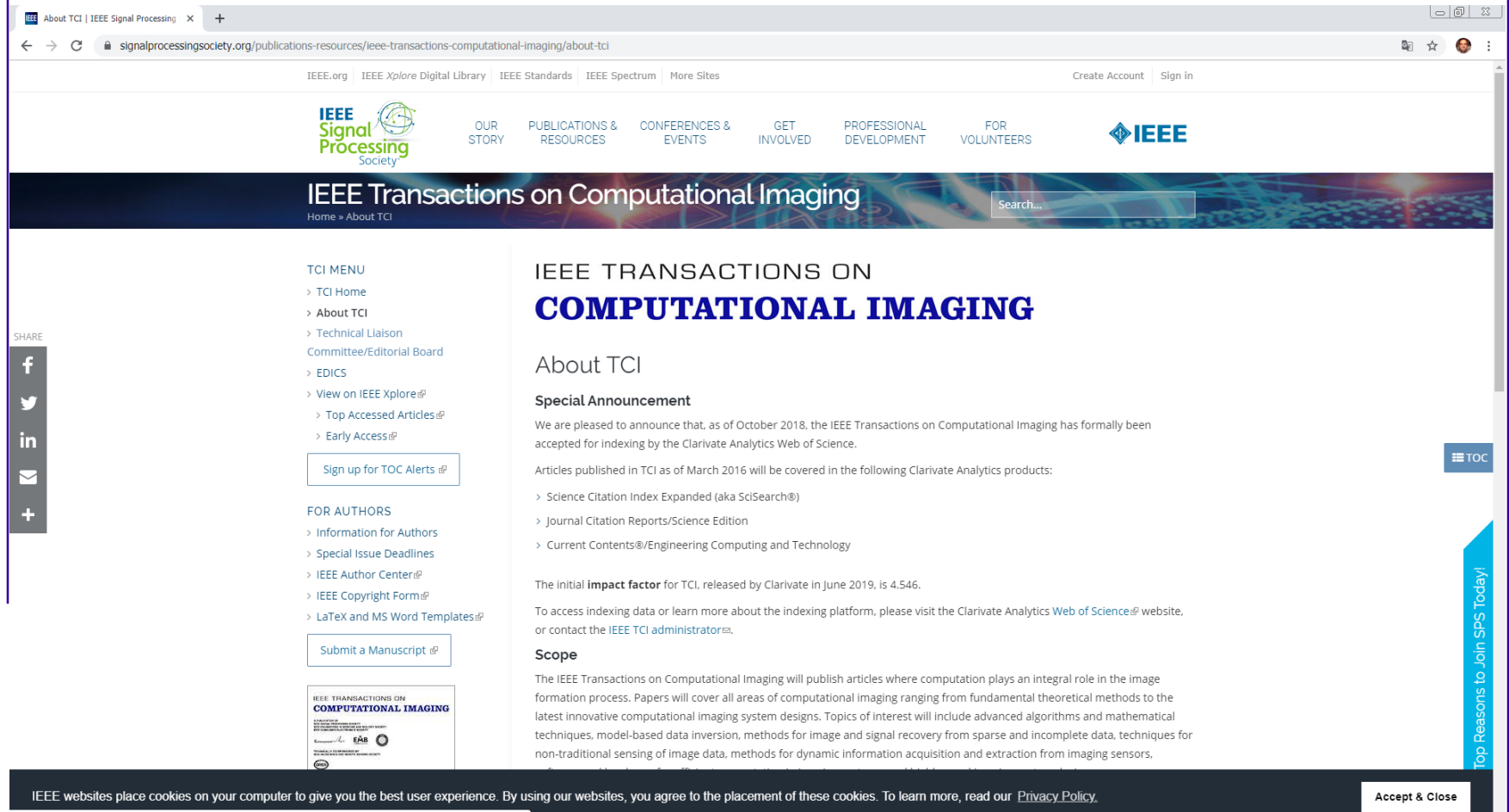
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