

Survey and Trends in Nonlinear Transistor Modeling Methodologies

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Presentation Outline

- Introduction
- I-V modeling
- Nonlinear Charge Modeling
- Non Quasi-Static Effects & Dispersion Modeling
- Electro-Thermal Modeling
- Advanced Measurements
- NVNA data and advanced dynamical FET modeling
- Symmetry Considerations
- Summary & Conclusions

Introduction

All models are wrong, but some are useful.

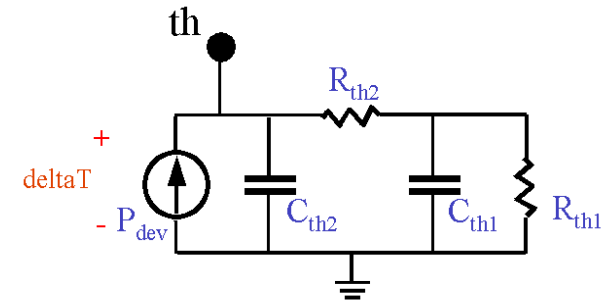
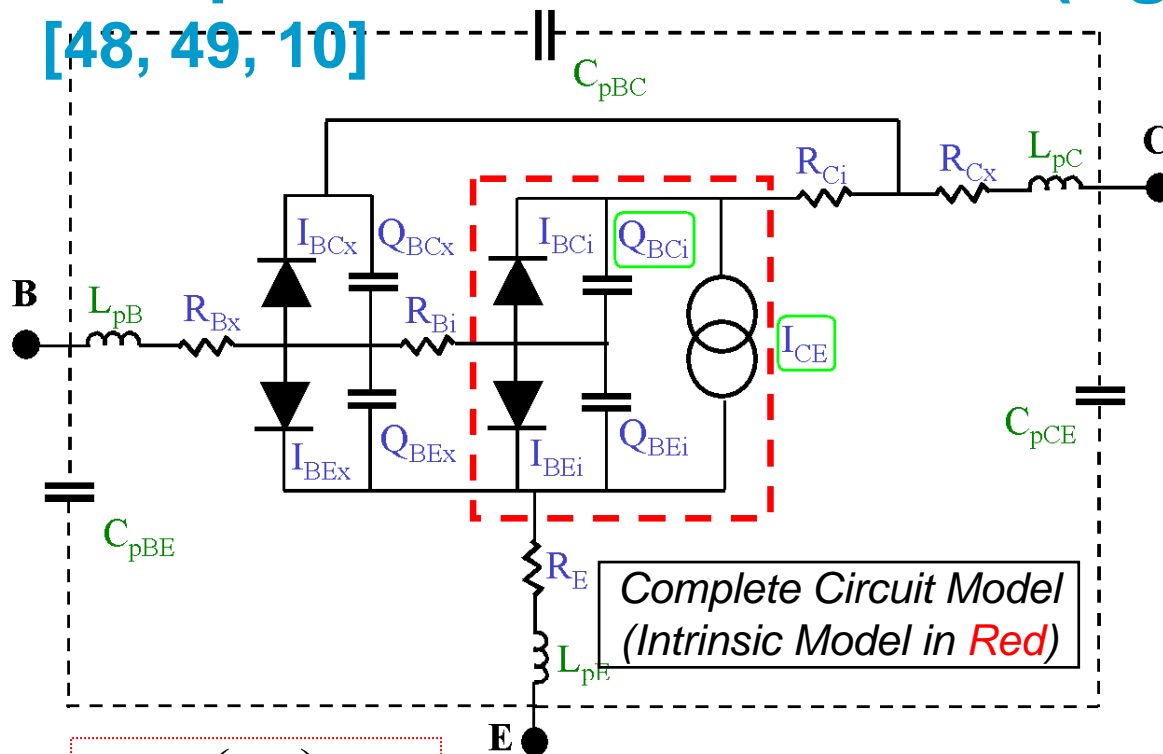
- statistician George Box

“All models are approximations.
Some models are useful.”

- attributed to Mike Golio and others

Compact Transistor Models (AgilentHBT model)

[48, 49, 10]



Thermal Subcircuit (Two-Poles)

Coupled nonlinear ordinary differential equations in the time domain

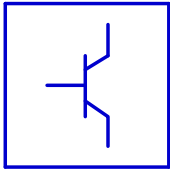
Equivalent Circuit with nonlinear elements

$$I_{CE} = \frac{\left(\frac{I_{cf}}{q3}\right) - I_{cr}}{d}$$

$$I_{crit1} = IKDC3 \left(1 - \frac{V_{BCI} - V_{JC}}{VKDC}\right)$$

$$q3 = \frac{\sqrt{\left(\frac{1}{IKDC2}(I_{cf} - I_{crit1})\right)^2 + \left(\frac{IKDC1}{IKDC2}\right)^2} + \left[\left(\frac{1}{IKDC2}(I_{cf} - I_{crit1})\right) - \left(\frac{IKDC1}{IKDC2}\right)\right]}{2} + 1 - q3_o$$

Agilent HBT Model Parameters (over 100)



AgilentHBT_Model
HBTM1

Tnom=25.0	Nrh=2.0	Gkdc=0.0	Abcx=0.75	Fextc=0.8	Lpc=0.0 H	Egc=1.5 V	Rth1=1000.0
Re=2.0 Ohm	Isc=1.0e-13 A	Ik=1.0 A	Tfb=1.0e-12 sec	Tkrk=1.0e-12 sec	Lpe=0.0 H	Xtir=3.0	Cth1=5.0e-10
Rci=1.0 Ohm	Nc=2.0	Cje=4.0e-14 F	Fextb=0.2	lkrk=0.025 A	Xrb=0.0	Xtic=3.0	Xth1=0.0
Rcx=5.0 Ohm	Abel=0.0	Vje=1.3 V	Tfc0=2.0e-12 sec	lkrtr=1.0e-06 A	Xrc=0.0	Xtirh=4.0	Rth2=0.0
Rbi=15.0 Ohm	Vaf=500.0 V	Mje=0.3	Tcmin=5.0e-13 sec	Vkrk=3.0 V	Xre=0.0	Xtik3=0.0	Cth2=0.0
Rbx=5.0 Ohm	Var=1000.0 V	Cemax=1.0e-13 F	ltc=0.006 A	Vkrk2Inv=0.2	Tvje=0.0	Eaa=0.0 V	Xth2=0.0
Is=1.0e-25 A	I _{sa} =1.0e+10 A	Vpte=1.0 V	ltc2=0.008 A	Gkrk=4.0	Tvpe=0.0	Eab=0.0 V	Kf=0.0
Nf=1.0	Na=1.0	Mjer=0.05	Vtc0Inv=0.3	Vktr=1.0 V	Tvjc=0.0	Xtfb=0.0	Af=1.0
I _{sr} =1.0e-15 A	I _{sb} =1.0e+10 A	Abex=0.0	Vtr0=2.0 V	Vk _{mx} =1.0 V	Tvpc=0.0	Xtcmin=0.0	Ffe=1.0
Nr=2.0	Nb=1.0	Cjc=5.0e-14 F	Vmx0=2.0 V	Fexke=0.2	Tnf=0.0	Xtfc0=0.0	Kb=0.0
I _{sh} =1.0e-27 A	Ikdc1=1.0 A	Vjc=1.1 V	VtcminInv=0.5	Tr=1.0e-09 sec	Tnr=0.0	Xitc=0.0	Ab=1.0
Nh=1.0	Ikdc2Inv=0.0	Mjc=0.3	Vtrmin=1.0 V	Cpce=1.0e-15 F	Ege=1.55 V	Xitc2=0.0	Fb=1.0 Hz
I _{se} =1.0e-18 A	Ikdc3=1.0 A	Ccmax=9.0e-14 F	Vmxmin=1.0 V	Cpbe=1.0e-15 F	Xtis=3.0	Xtkrk=0.0	I _{max} =10.0 A
Ne=2.0	Vkdclnv=0.1	Vptc=3.0 V	Vtclnv=0.1	Cpbc=1.0e-15 F	Xtih=4.0	Xikrk=0.0	AllParams=
I _{srh} =1.0e-15 A	Nkdc=3.0	Mjcr=0.03	Vtc2Inv=0.1	Lpb=0.0 H	Xtie=3.0	Xvkrk=0.0	

Resistances: 5
 DC Currents: 26
 Depletion Charge: 14
 Delay Charge: 25

Parasitics: 6
 Temp., DC & R's: 22
 Temp., Charges: 12
 Noise: 6

Transistor Modeling

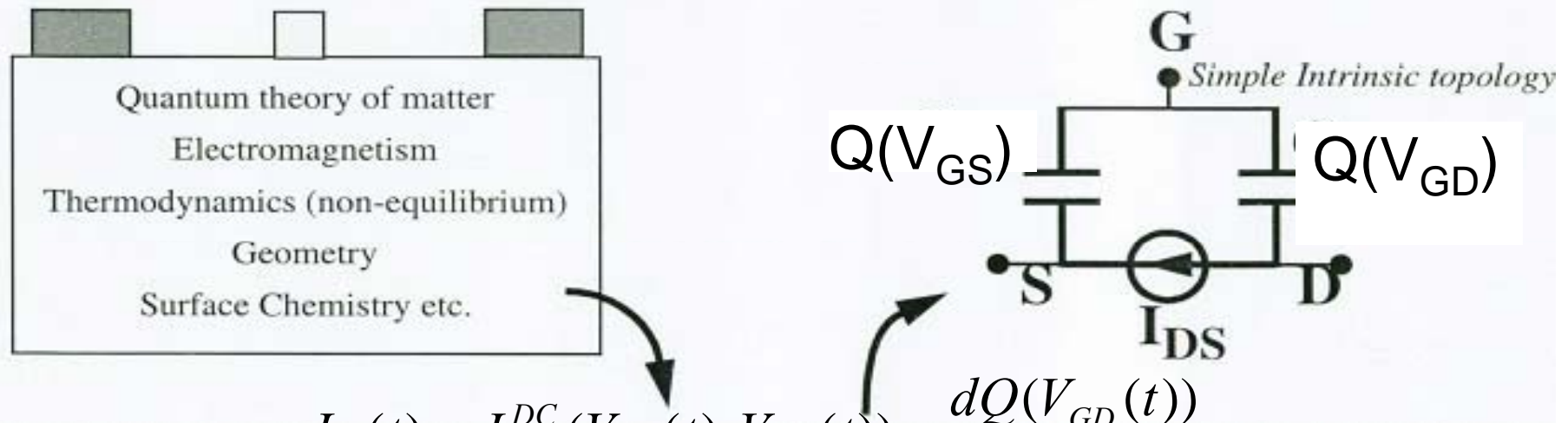
- Compact Models: Equivalent circuit models for IC design formulated in the time-domain. Examples are BSIM models for MOSFET, Angelov model for GaAs FETs, Gummel-Poon models for bipolars, AgilentHBT model for III-V HBTs
- “Compact” models can be complex (> 100 parameter values)
- Parameters typically extracted from DC and S-pars
Irony for a nonlinear model
 - Some devices may not be able to be characterized under DC and static operating conditions (power, temperature)
 - Advanced models may not be identifiable from only DC and S-parameter data.
 - No direct evidence that these nonlinear models will reproduce large-signal behavior

Device Requirements and Modeling Implications

- Linearity: Harmonic & Intermod. Distortion; ACPR; AM-AM; AM-PM
- Efficiency: PAE; Fundamental Output Power; Self-biasing
- Memory: Slow thermal effects, slow trapping phenomena
- Modeling Challenges from
 - Device physics (III-V transport, trapping dynamics)
Complex signals, multiple time-scale dynamics
Amplifier, switch, and mixer applications
Wide variety of device designs in many material systems
- Accuracy required over
 - Bias, frequency, and temperature; power;
- Different types of models may be required at different stages in the development of a technology

Physical Models to Circuit (compact) Models [16,17]

Shockley: Physical PDEs and approximations such as *field-independent mobility, gradual channel approximation, etc.:*
Derive *terminal dynamics and constitutive relations:*



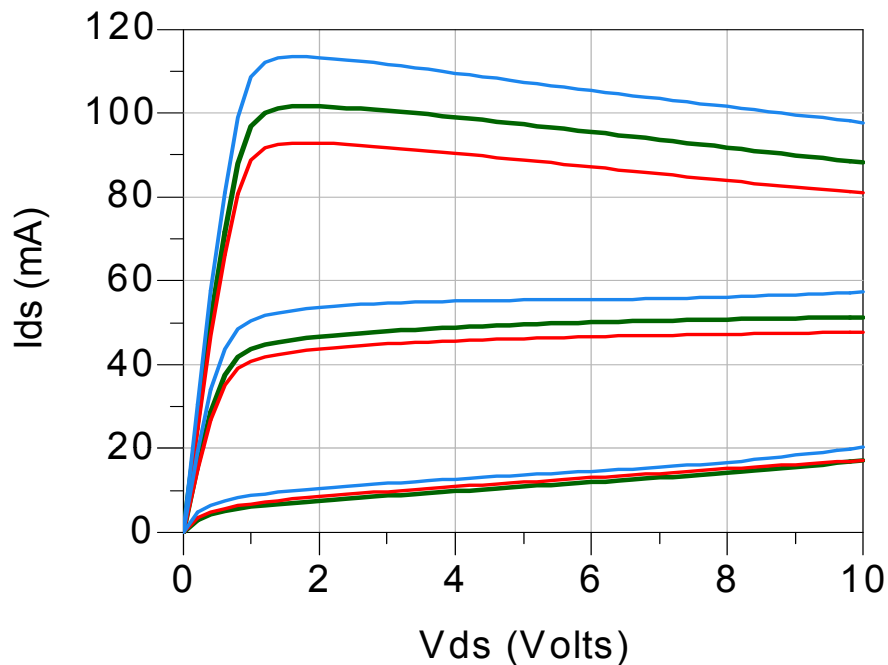
$$I_D(t) = I_D^{DC}(V_{GS}(t), V_{DS}(t)) - \frac{dQ(V_{GD}(t))}{dt}$$

$$I_G(t) = \frac{dQ(V_{GS}(t))}{dt} + \frac{dQ(V_{GD}(t))}{dt}$$

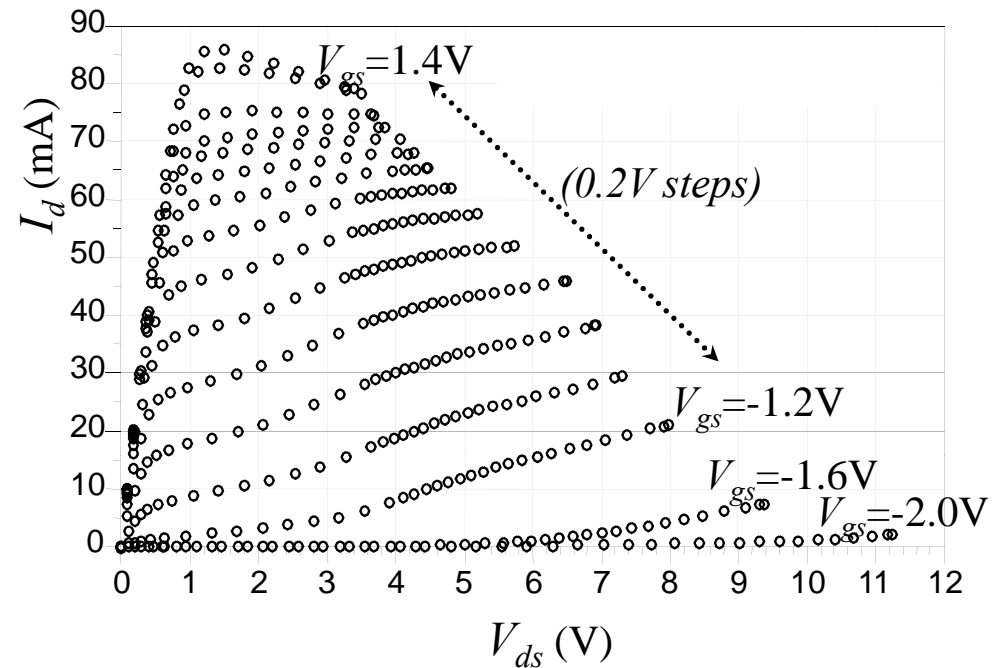
$$I_D^{DC}(V_{GS}, V_{DS}) = \frac{W \mu q N_D a}{\epsilon L} \left(V_{DS} - \frac{2}{3} \left[\sqrt{\frac{2\epsilon}{q N_D a^2}} \left((V_{DS} + \phi - V_{GS})^{3/2} - (\phi - V_{GS})^{3/2} \right) \right] \right)$$

$$Q(V) = -WL \sqrt{2q\epsilon N_D (\phi - V)} \quad (\text{up to a constant})$$

Typical characteristics of real devices not ideal



MESFET 3 temperatures



pHEMT

Typical Features of real device often not captured by simple physics-based models

Non-zero, and sometimes negative, output conductance

Drain-voltage dependent "pinch-off voltage"

Higher drain current at *lower* ambient temperature (near V_p)

Measurement-Based (Empirical) Modeling

“The Device Knows Best”

Electrons know where to go, even if the modelers don't!

Use device data as much as possible in the model

Useful for circuit design when good measurements are available, and when no good (fast, robust, extractable) physical models are available

- Empirical models (fitting closed-form functions to data)
- Table-based models with spline interpolation
- Neural-network based models

Experiment Design:

measure the device I-V (and Q-V)

Model Identification

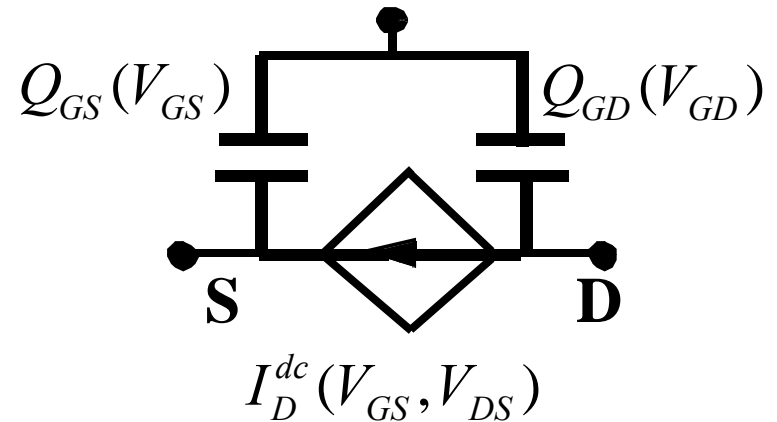
fit the empirical expressions to data (parameter extraction)
or store data and interpolate

Empirical Models

The same dynamics (equivalent circuit topology) **G**

$$I_D(t) = I_D^{DC}(V_{GS}(t), V_{DS}(t)) - \frac{dQ_{GD}(V_{GD}(t))}{dt}$$

$$I_G(t) = \frac{dQ_{GS}(V_{GS}(t))}{dt} + \frac{dQ_{GD}(V_{GD}(t))}{dt}$$



Large-Signal Equivalent Circuit

Modified Constitutive Relations for easy fitting (Curtice Cubic[7])

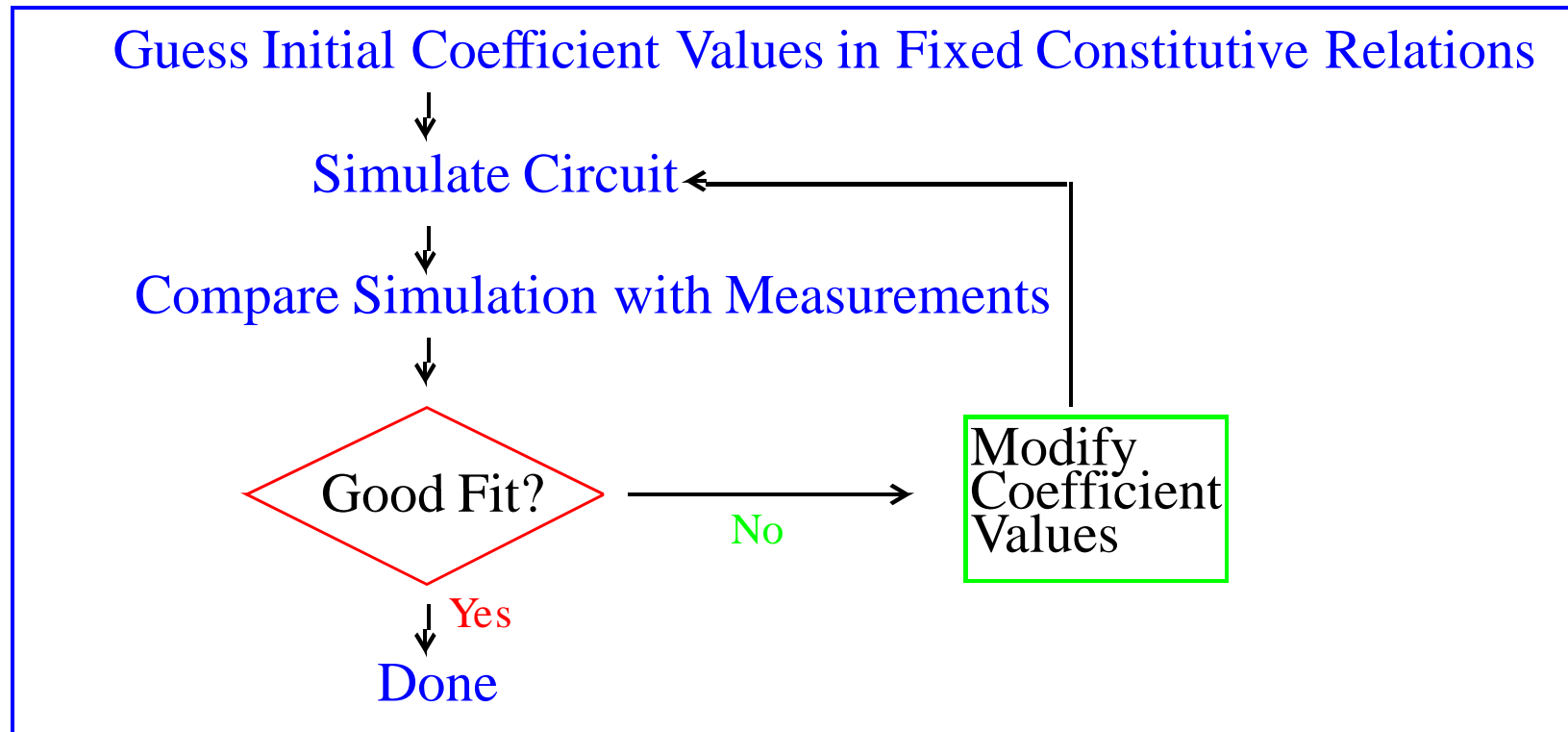
$$I_D^{DC}(V_{GS}, V_{DS}) = \left(A_0 + A_1 V_1 + A_2 V_1^2 + A_3 V_1^3 \right) \tanh(\gamma V_{DS})$$

$$Q_{GS}(V) = -\frac{C_{j0}\phi}{\eta + 1} \left(1 - \frac{V}{\phi} \right)^{\eta + 1} \quad Q_{GD}(V) = C_{GD0} V$$

Experiment Design: Measure DC I-V curves

Model Identification (1): minimize error

$$I_D^{DC}(V_{gs}, V_{ds}) = \left(A_0 + A_1 V_1 + A_2 V_1^2 + A_3 V_1^3 \right) \cdot \tanh(\gamma V_{ds})$$



Issues with parameter extraction

Optimization-based parameter extraction can be:

- Slow (simulate circuit and update parameters hundreds of times)
- Sensitive to initial parameter values
- Non-repeatable
- Can get stuck in local minima of optimizer cost function
- Require user interaction
- Good parameter values depend on good data

• May never achieve good fit
(constitutive relations may not be flexible enough)
Changes to constitutive relations -> changes to extraction routines

Parameter Extraction: What can go wrong

(Curtice Cubic example also see [30])

$$I_D^{DC}(V_1, V_2) = \left(A_0 + A_1 V_1 + A_2 V_1^2 + A_3 V_1^3 \right) \tanh(\gamma V_2)$$

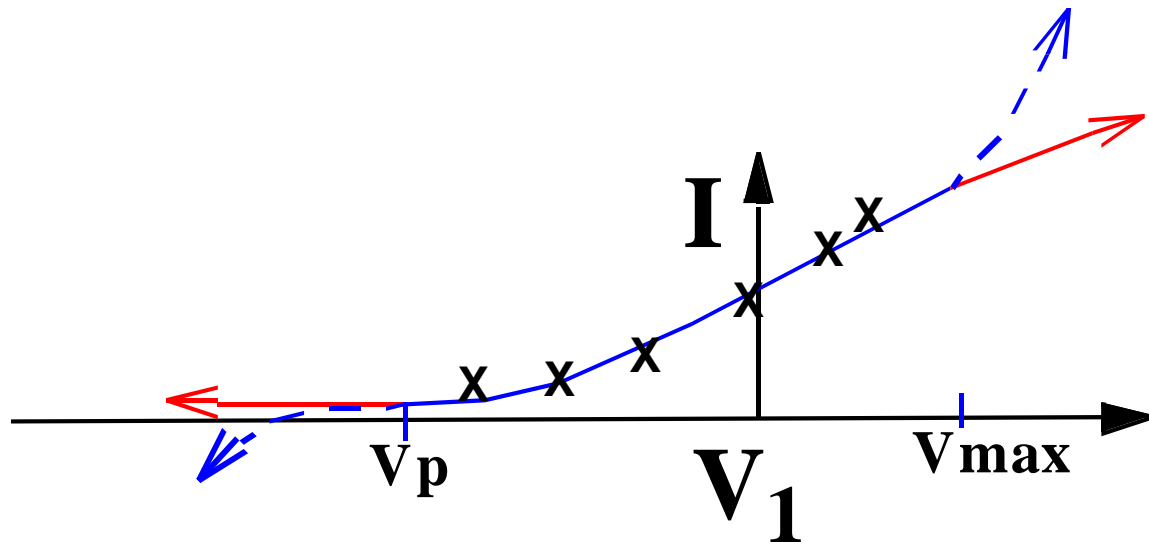
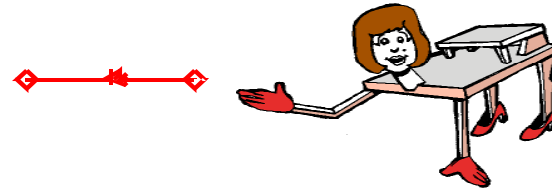
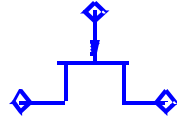
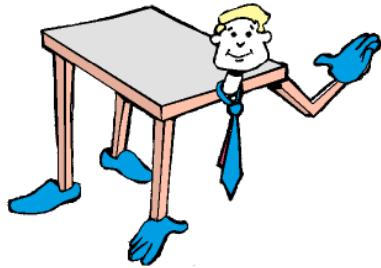
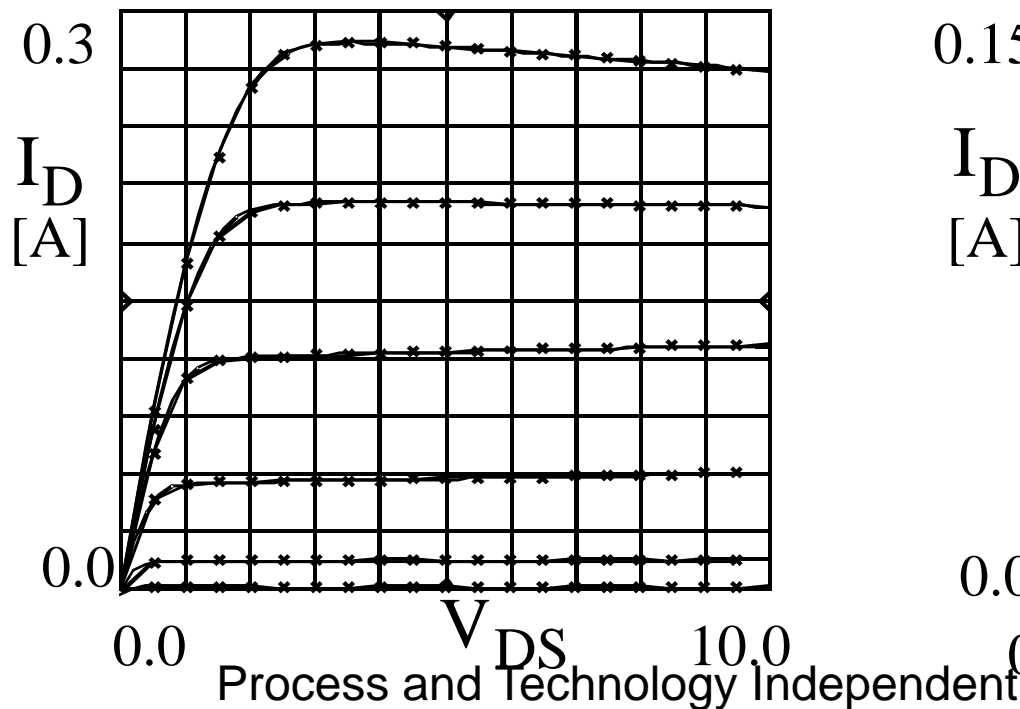


Table-Based Models: Accurate and General [3,17,21]



Measure, transform data, tabulate, interpolate, scale

Vertical Power Si MOSFET



GaAs pHEMT

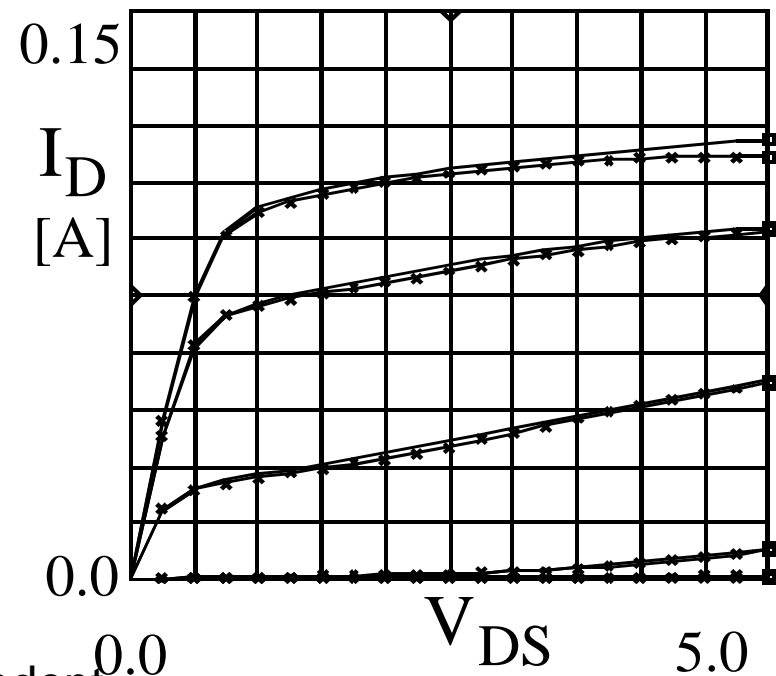


Table Models

Constitutive Relations are interpolated from data

Table 1

<u>Vgs</u>	<u>Vds</u>	<u>Id_DC</u>
-5	-0.3	7.14E-08
-5	-0.2	7.55E-08
-5	-0.1	7.98E-08
...

Table 2

<u>Vgs</u>	<u>Vds</u>	<u>Qd</u>
-5	-0.3	-1.20E-13
-5	-0.2	-1.13E-13
-5	-0.1	-1.08E-13
...

$$I_d(t) = \text{Interpolate}\{\text{Table1}, [V_{gs}(t), V_{ds}(t), I_{d_dc}]\}$$

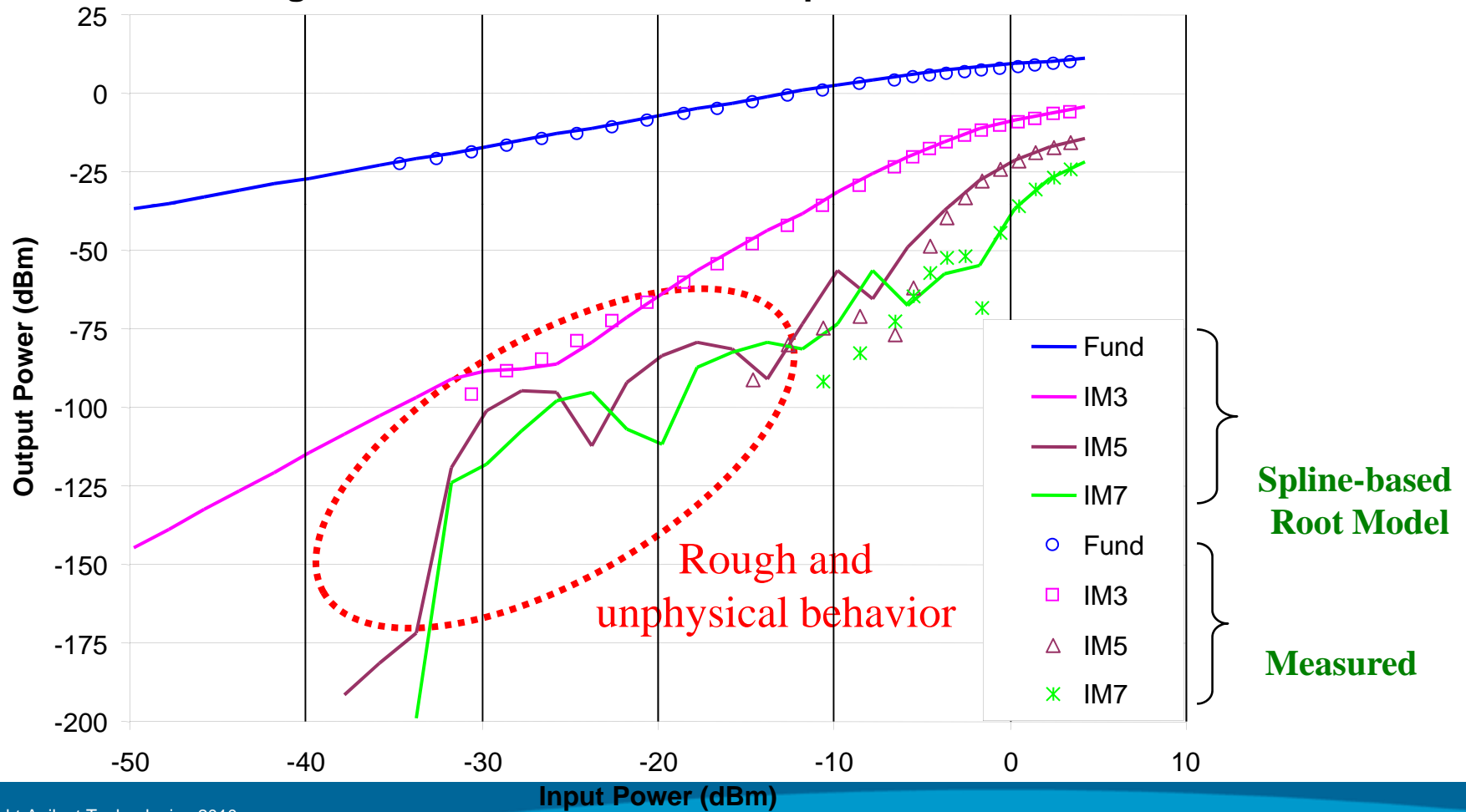
$$+ \frac{d}{dt} \text{Interpolate}\{\text{Table2}, [V_{gs}(t), V_{ds}(t), Q_d]\}$$

Works well for dc, S versus bias & freq., med-high power signals

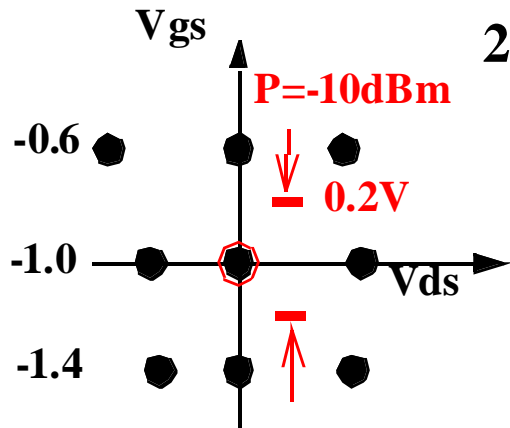
Warning: Interpolation algorithms may limit table models! [43]

Two-tone Intermodulation

Original *HPFET Model* with ADS splines vs Measured

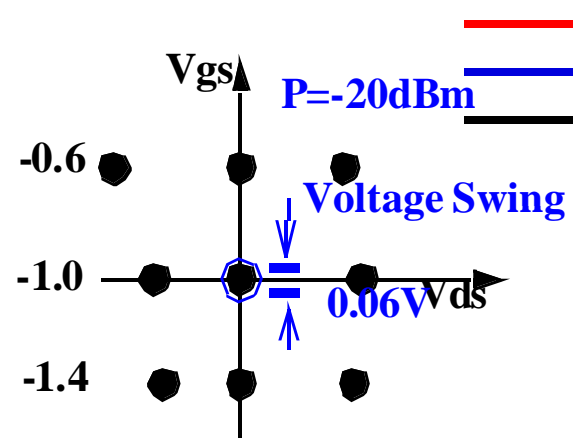
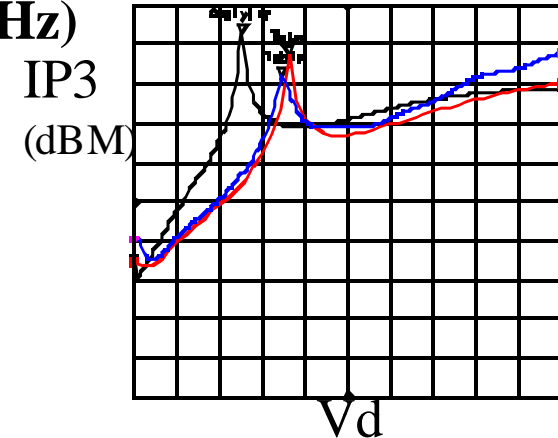


Naïve Splines Limit Distortion Accuracy [17, 8]



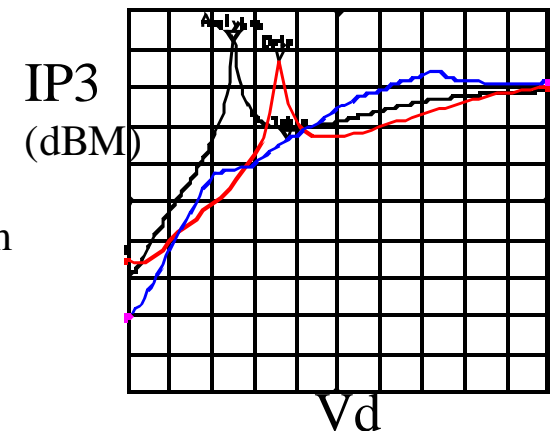
2-tones @ 100MHz (+1MHz)
Si NJFET
Table Model

(a) $V_g = -1V$ Power = -10dBm



— Data
— HPFET table model
— Curtice analytic model

(b) $V_g = -1V$ Power = -20dBm

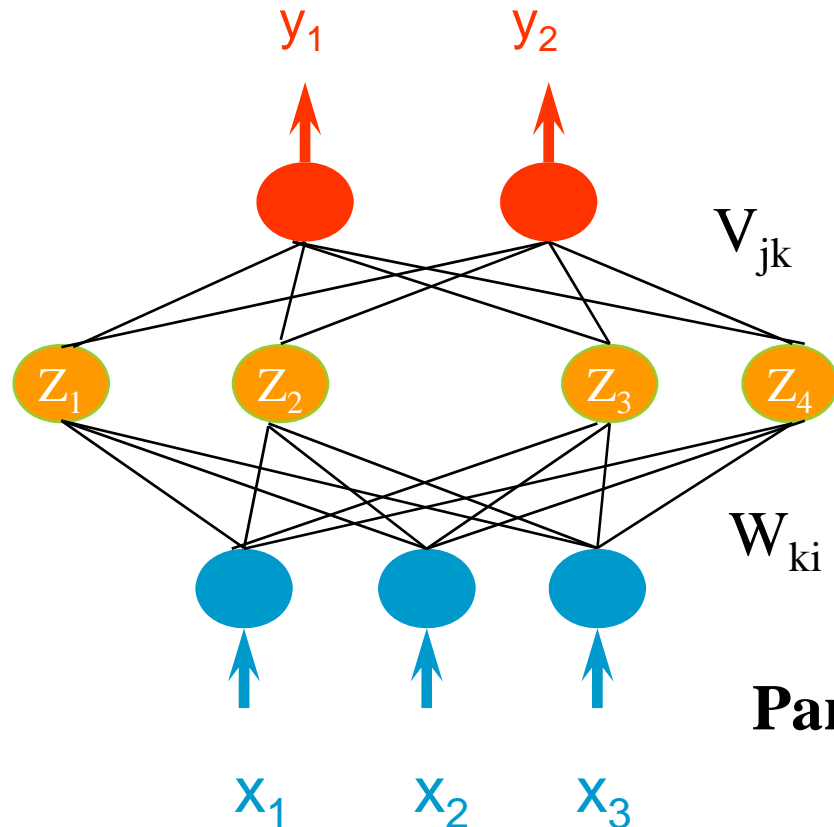


Simple Cubic Splines

- Third order derivative vanishes at symmetry points
- Low order polynomial can't predict high-order distortion at low amplitudes
interpolation model is better when signal size ~ data spacing

Spline Alternatives: Artificial Neural Networks

$$y_i = F_i(x_1, x_2, x_3)$$



Outputs

$$y_j = \sum_k V_{jk} Z_k$$

Hidden Neuron Output

$$Z_k = \tanh(\sum W_{ki} x_i)$$

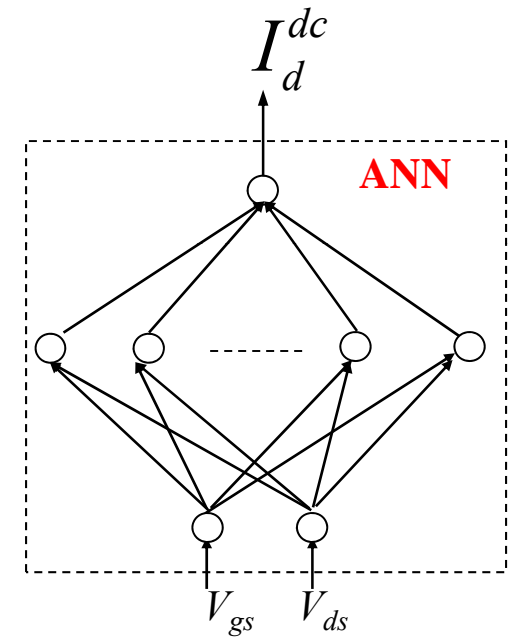
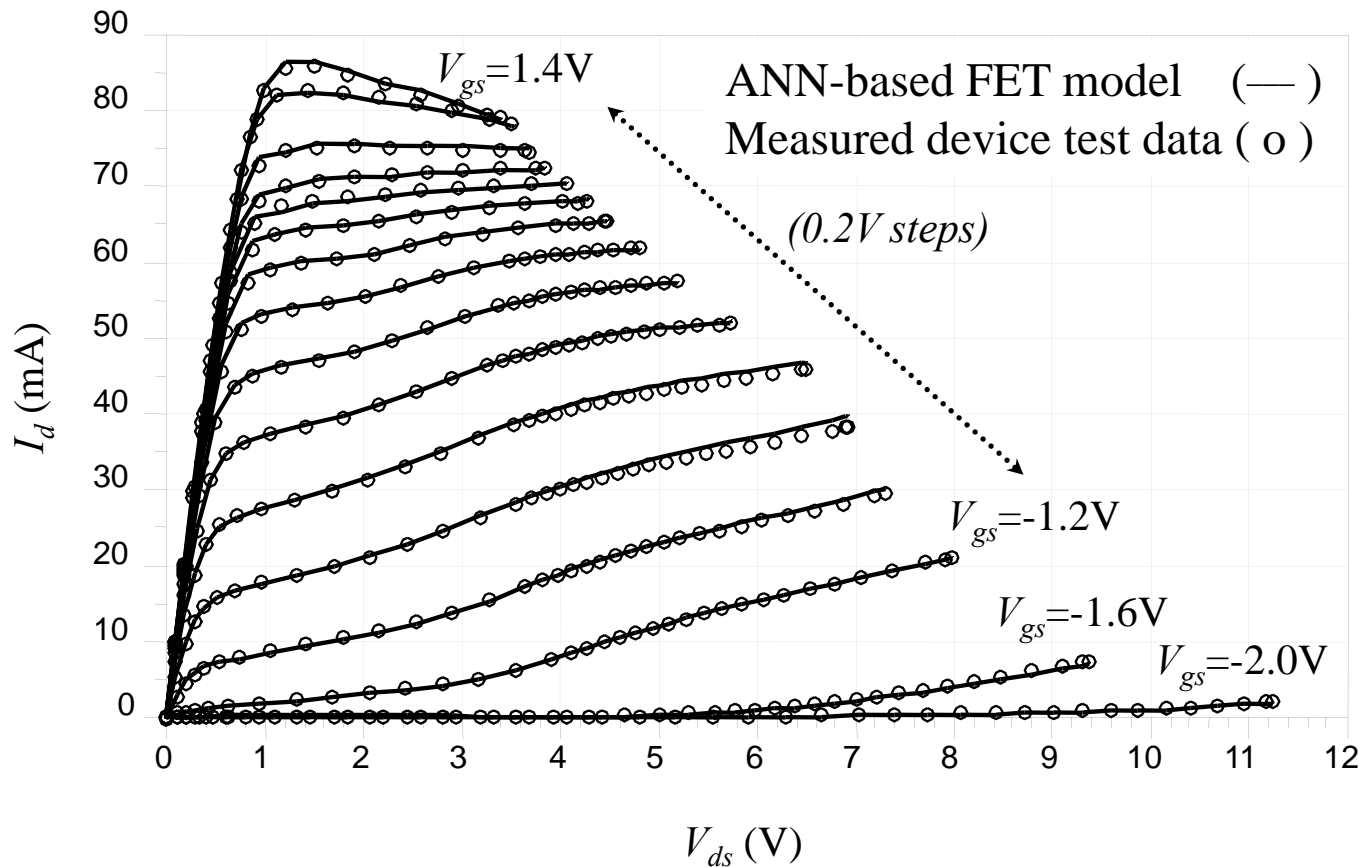
Parameters $w = [W_{ki}, V_{jk}]$

Inputs

- Universal Approx. Thm: Can fit any nonlinear function of many variables
- Infinitely differentiable: *better for distortion than naive splines*
- Easy to train (identify) using standard third-party tools (MATLAB)

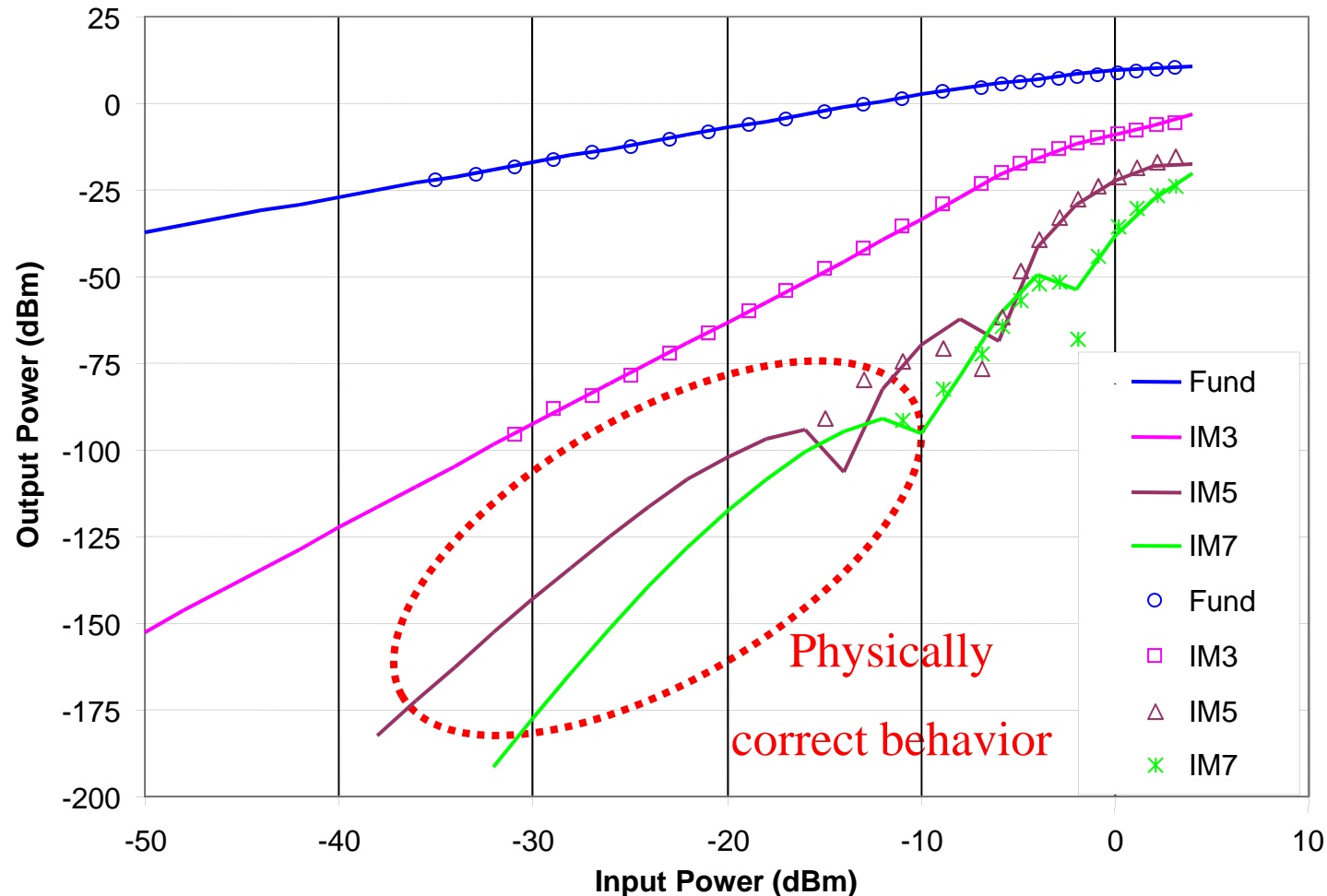
NeuroFET: FET Model using ANNs [43]

Constitutive Relations are ANNs!



NeuroFET Distortion Validation (2-tone) [43]

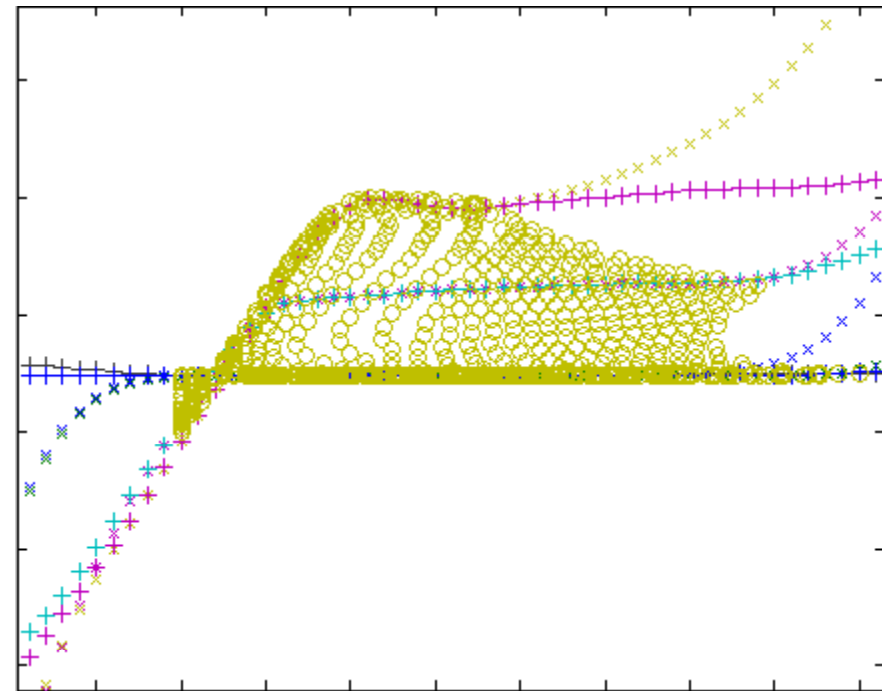
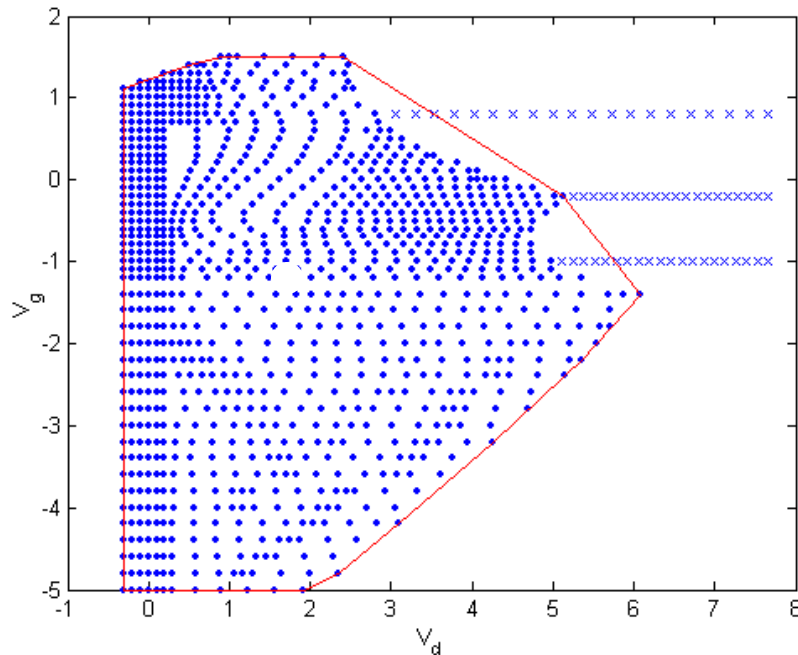
ANN-Based FET vs Measured



Alternatives to ANNs are “Smoothing Splines” [5]
but they don’t have all the advantages

Global Domains for Measurement-based Models

Enables nonlinear simulation from discrete, bounded, measured data
ANNs inside, Intelligent Extrapolation outside [44]



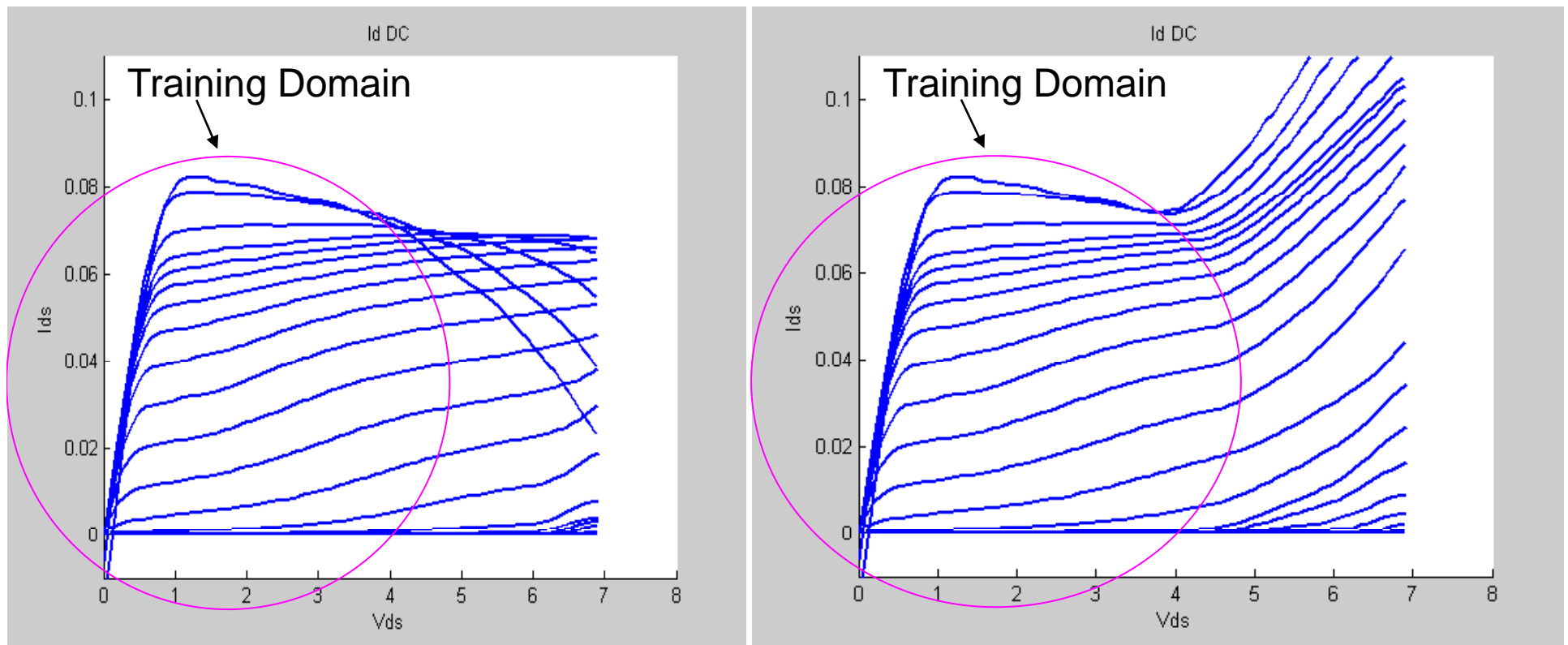
Two orders of continuity at boundary
Asymptotically \sim exponential

+ simpler algorithm
x robust algorithm

Required for robust convergence

Guided Extrapolation Algorithm *Compiled into Model*

Improves DC convergence, HB, TA range of use [45]

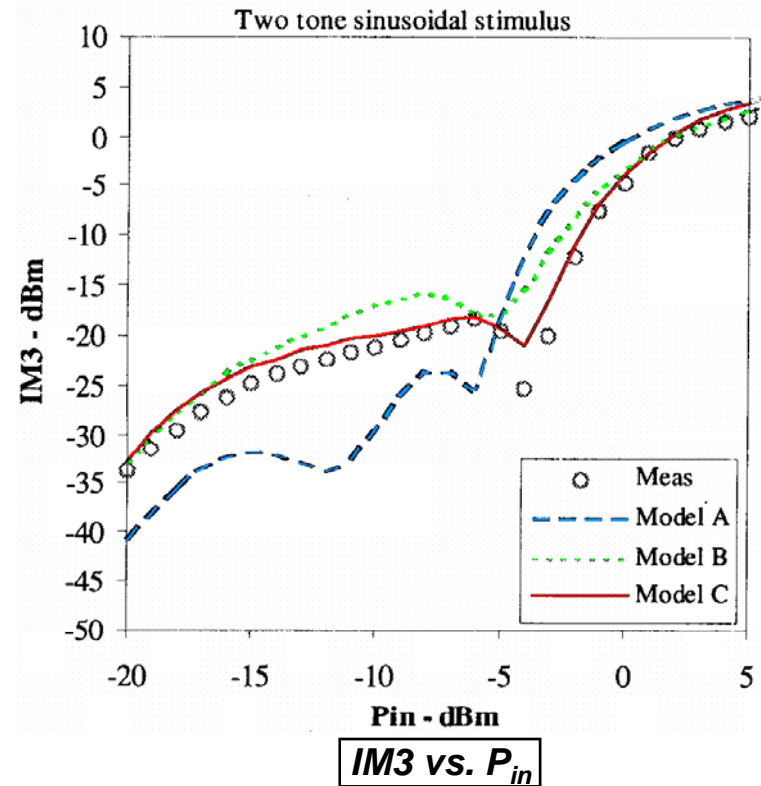
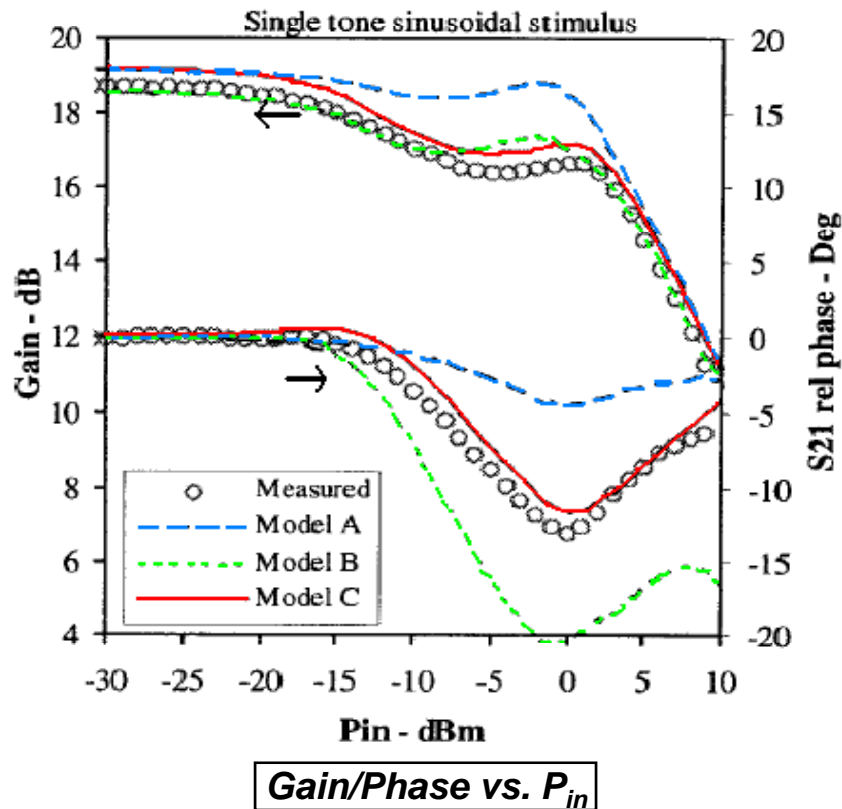


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- **Nonlinear Charge Modeling and Related Issues**
- Non Quasi-Static Effects & Dispersion Modeling
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- Advanced Measurements for Experiment Design & Model Identification
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Artificial Neural Network applications given throughout

Charge Modeling: Key to Distortion at high frequencies [4]

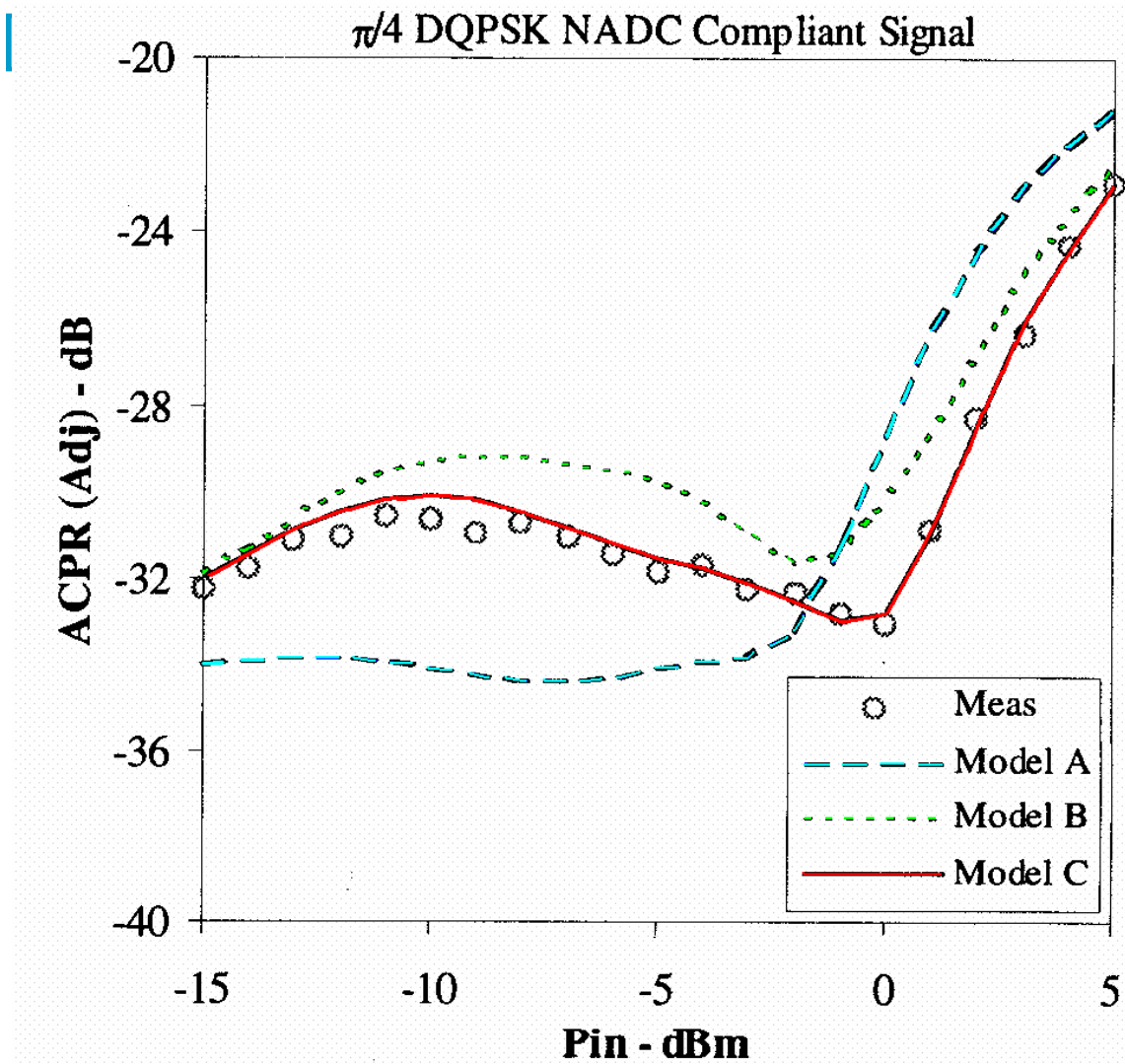


Model A = Shockley Model B = Statz[32] Model C = HP/Agilent FET [33]

- All three models use the same DC analytical equations

[4] J. Staudinger, M.C. De Baca, R. Vaitkus, "An examination of several large signal capacitance models to predict GaAs HEMT linear power amplifier performance," *Radio and Wireless Conference*, Aug. 1998 pp343-346.

Good Charge Model Required to Predict ACPR



Model A = Shockley junction capacitances

Model B = Statz/Raytheon gate terminal charge conserving but not terminal charge conserving at drain

Model C = HPFET (Root model) terminal charge conserving model at both gate and drain by direct integration of measured admittances and spline interpolation

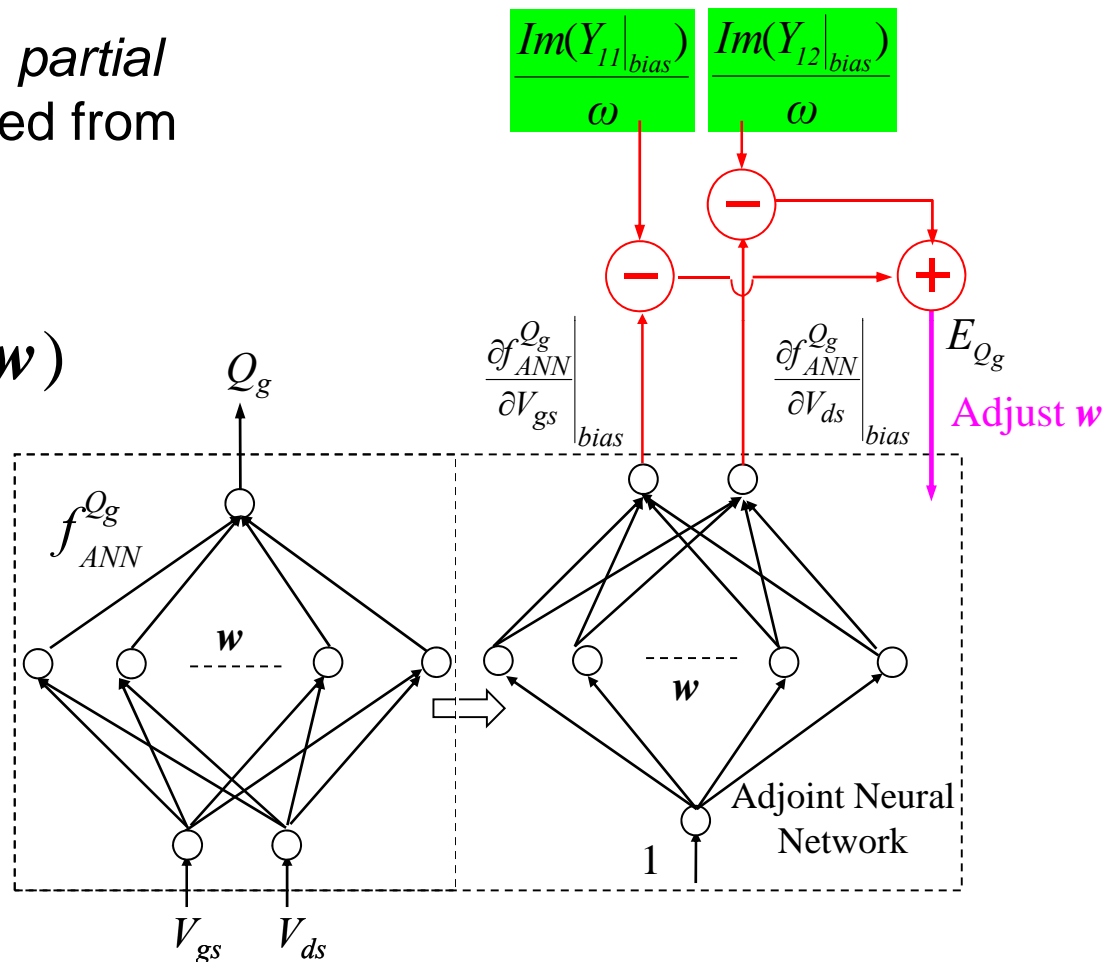
Adjoint Neural Network Training for Qg

Train Adjoint network on *partial derivative data* derived from S (Y) parameters

$$Q_g = f_{ANN}^{Q_g}(V_{gs}, V_{ds}, \mathbf{w})$$

$$I_g(t) = \frac{dQ_g}{dt}$$

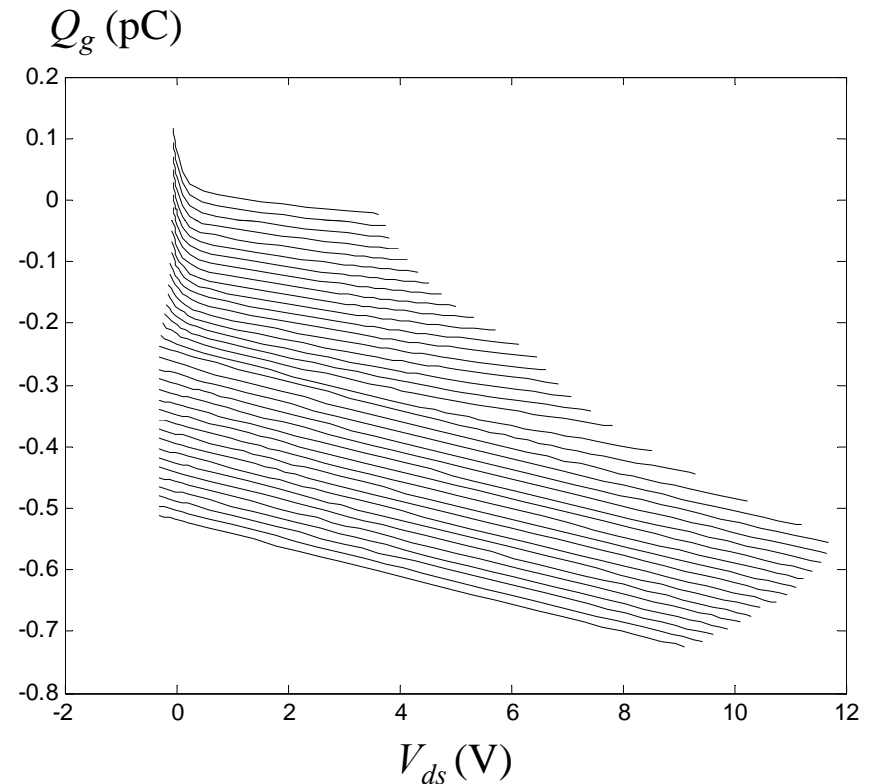
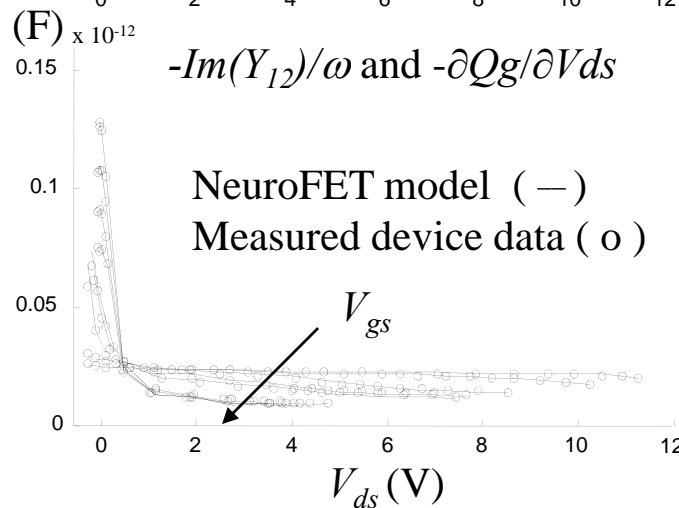
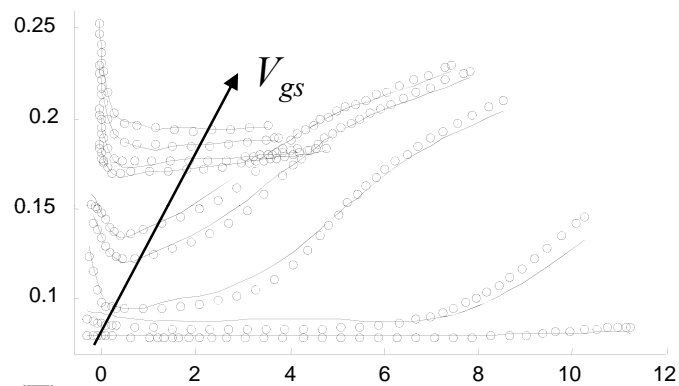
Jianjun Xu, M.C.E. Yagoub, Runtao Ding and Q.J. Zhang,
 “Exact adjoint sensitivity analysis for neural based microwave modeling and design,”
IEEE Transactions on Microwave Theory and Techniques, vol. 51, pp.226-237, 2003.



Adjoint Neural Network Approach to Charge Modeling

Charge Q_g obtained by Adjoint Training Methods [27,43]
 (Generate an ANN function given *partial derivative data*)

(F) $\times 10^{-12}$ $Im(Y_{11})/\omega$ and $\partial Q_g/\partial V_{gs}$



Another experimental validation of *terminal charge conservation* at the gate for GaAs pHEMT

Advantages of Adjoint ANN over contour Integration

- More uniform approximation of terminal charges than implementations of contour integration
- Applies to scattered data. No gridding necessary.
- Results in infinitely differentiable charge function rather than finite-order spline representation
- More easily deals with complicated boundary of data domain
- More easily generalizes to higher number of terminals

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Artificial Neural Network applications given throughout

Dynamic electro-thermal (self-heating) model

$$I_d(t) = I_d(V_{ds}(t), V_{gs}(t), T(t))$$
$$Q_g(t) = Q_g(V_{ds}(t), V_{gs}(t), T(t))$$

Temperature evolution equation based on dissipated power

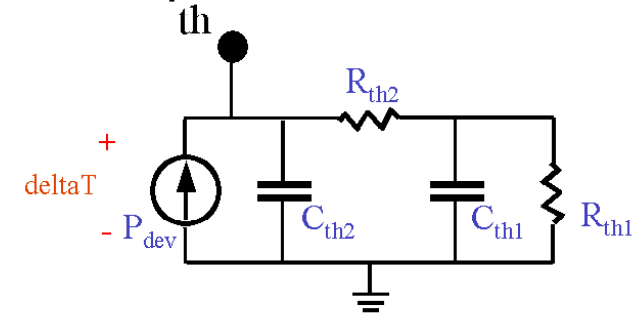
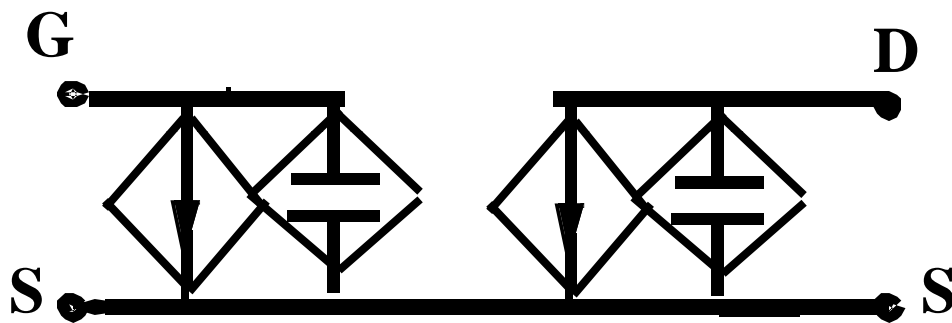
$$\tau \frac{dT}{dt} + \Delta T = R_{TH} (I_D(t)V_{DS}(t) + I_G(t)V_{GS}(t))$$

This example is a simplified to 1st order ODE
Heat propagates via diffusion Eqn. (PDE)

- Alternatively estimate T(t) as linear filter in frequency domain [34]
Trade off “fractional pole” response for nonlinearity

Dynamic electro-thermal (self-heating) model

Currents, Voltages, and Temperature calculated by the simulator *self-consistently* using *coupled electrical and thermal equivalent circuits*



Thermal Equivalent Circuit

$$T = T_{amb} + \text{delta}T$$

$$Q_G(V_{GS}(t), V_{DS}(t), T(t)) \quad Q_D(V_{GS}(t), V_{DS}(t), T(t))$$

$$I_G(V_{GS}(t), V_{DS}(t), T(t)) \quad I_D(V_{GS}(t), V_{DS}(t), T(t))$$

Can approximate distributed nature of heat propagation by many sections

Electrical Equivalent Circuit

T =device junction temperature

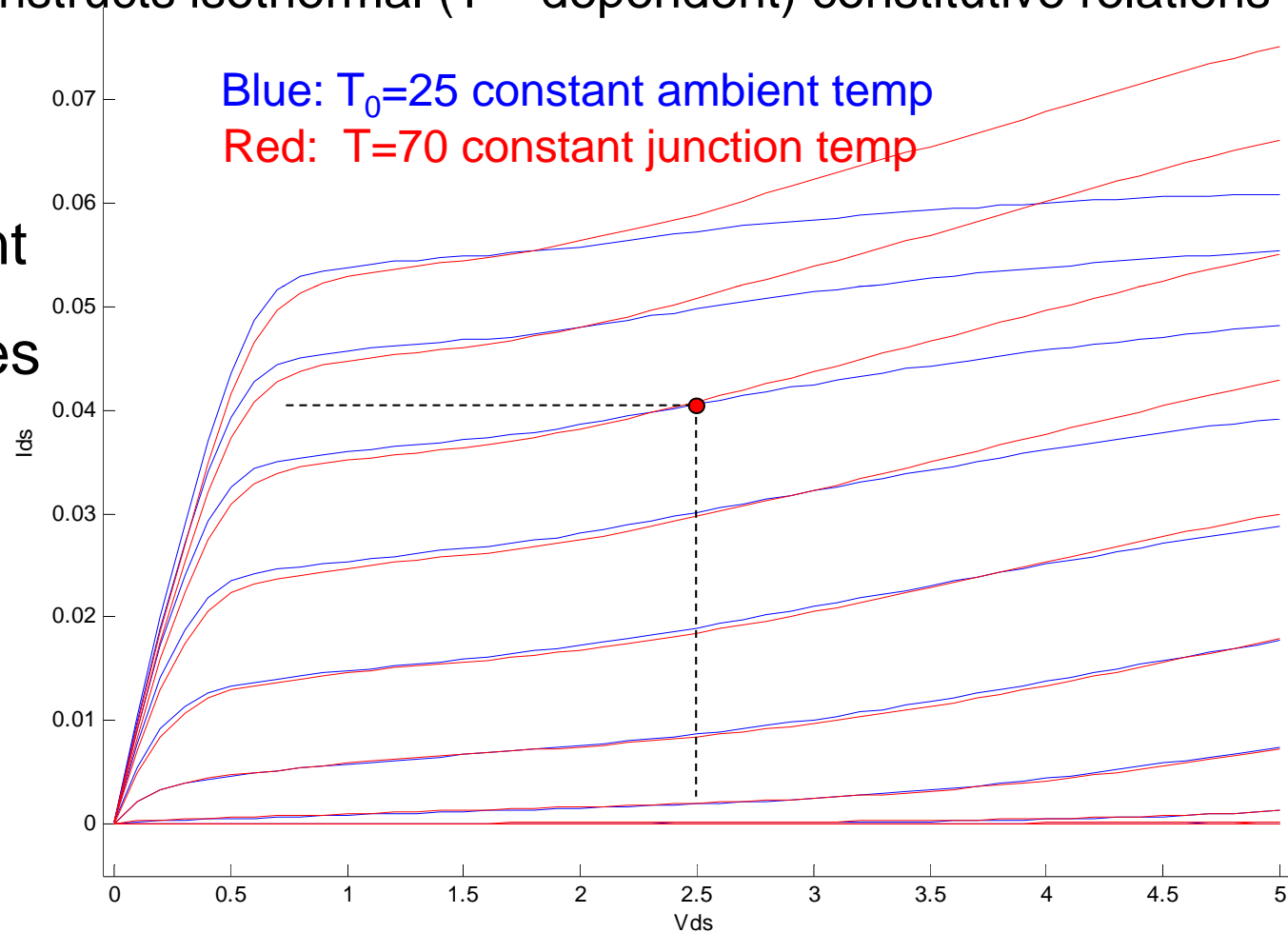
T_{amb} =device ambient (backside) temperature

External node allows coupling to other heat sources

ANN T-dependent constitutive relations

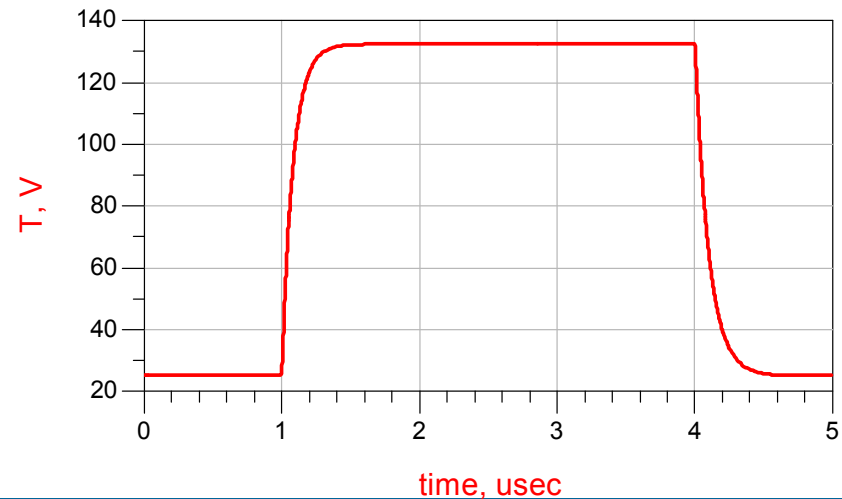
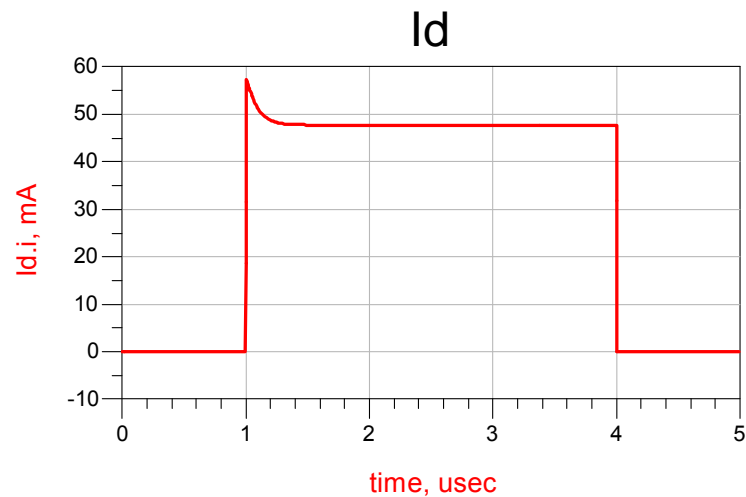
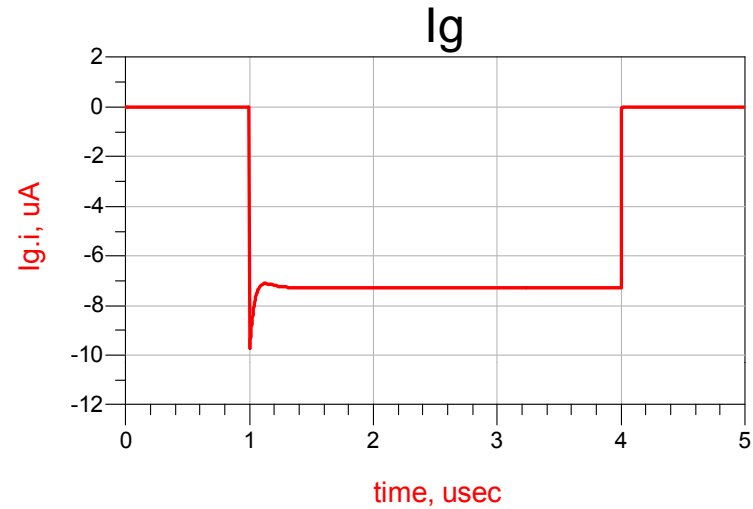
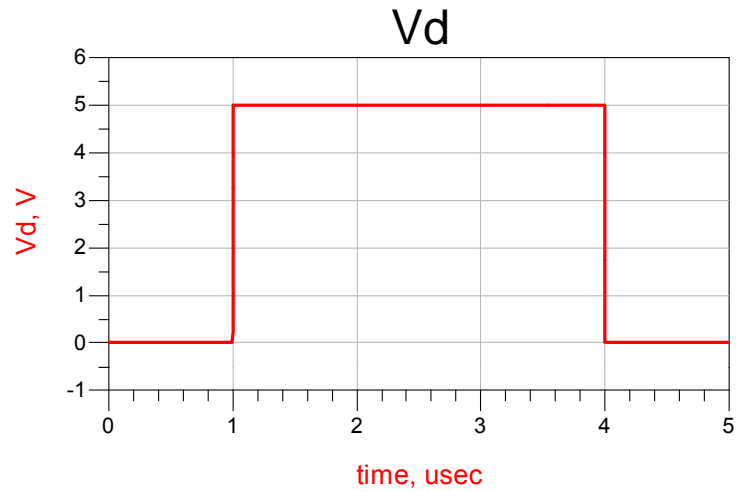
Given measured non-isothermal ambient temp. (T_0 – dependence),
one constructs isothermal (T – dependent) constitutive relations

NeuroFET
T-dependent
dc I-V curves

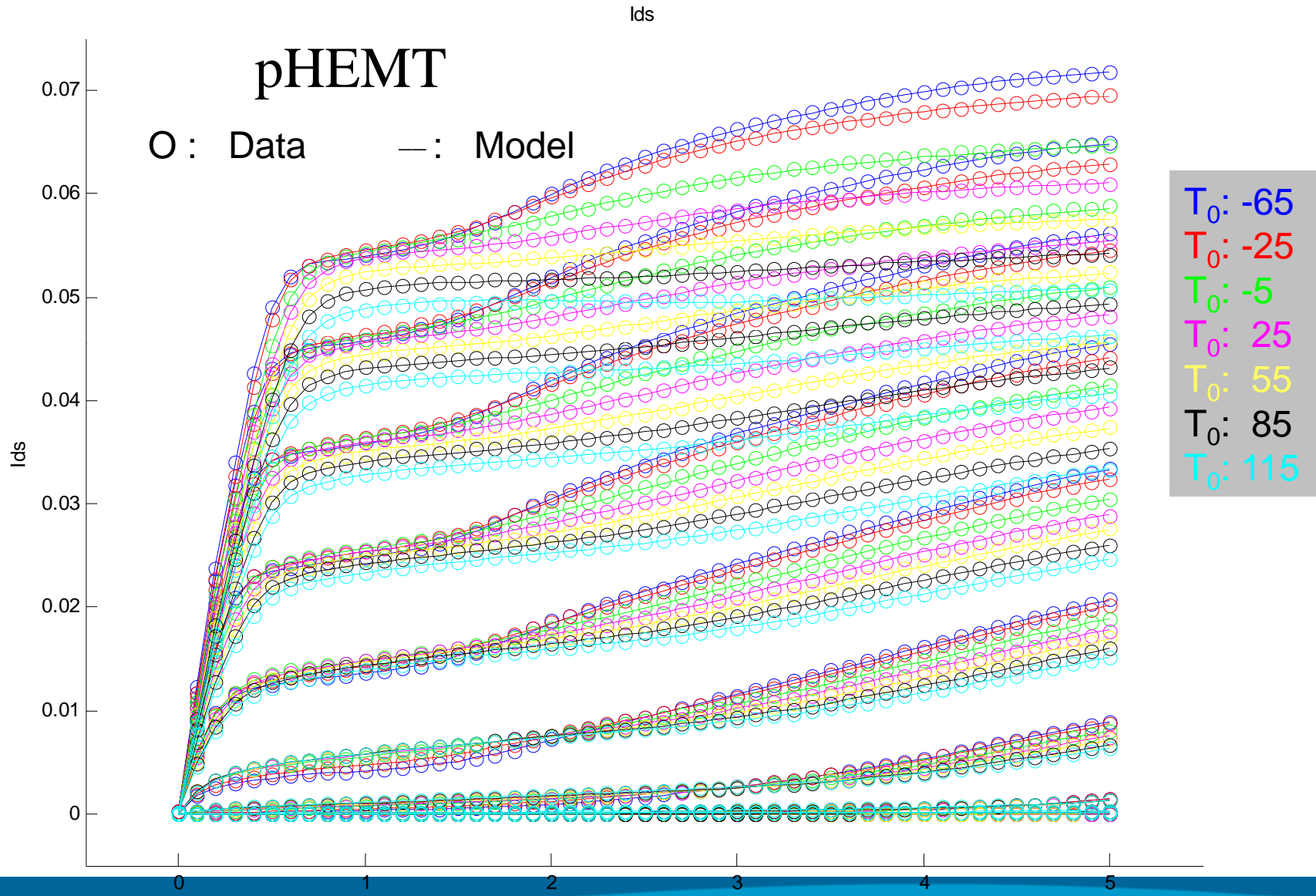


NeuroFET dynamic self-heating results

Fixed V_g



NeuroFET static self-heating



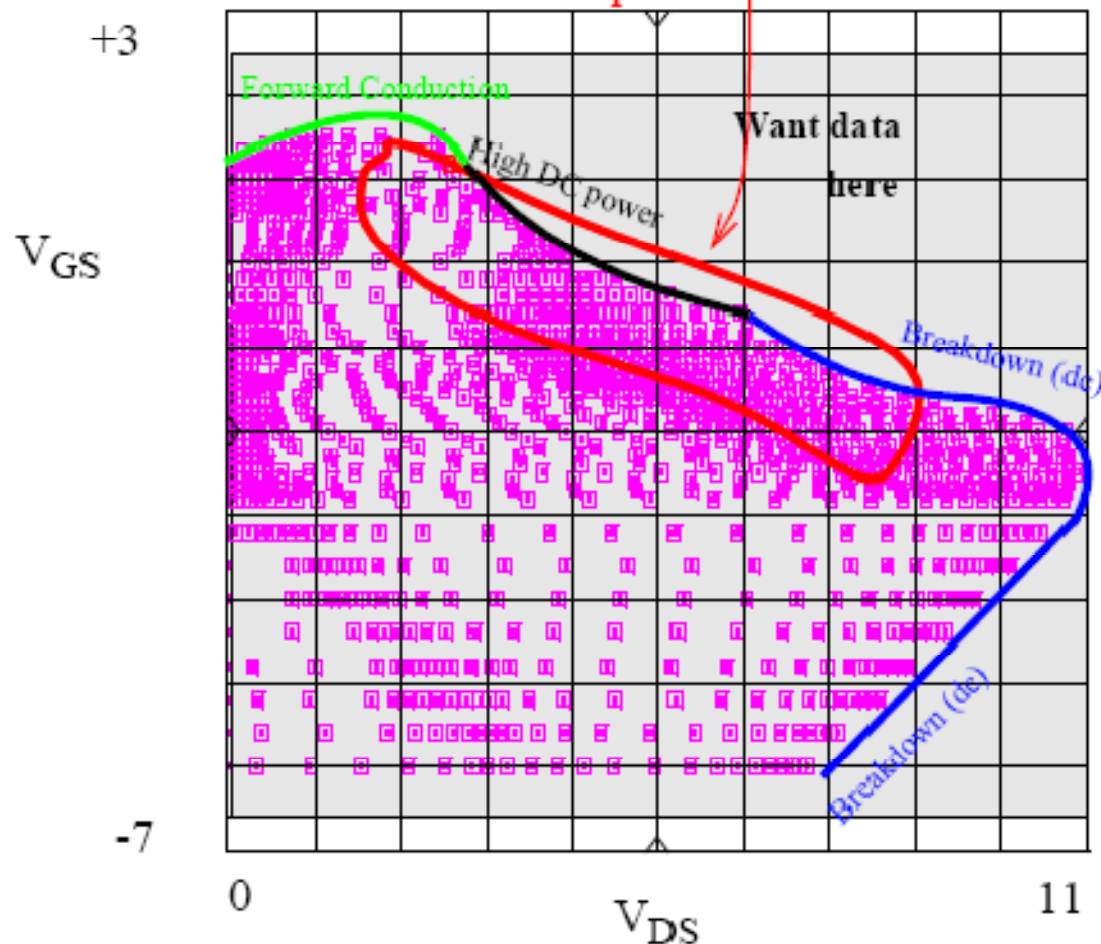
Presentation Outline

- Introduction
- I-V modeling
- Nonlinear Charge Modeling and Related Issues
- Non Quasi-Static Effects & Dispersion Modeling
- Electro-Thermal Modeling
- **Advanced Measurements for Experiment Design & Model Identification**
- Symmetry Considerations
- Summary & Conclusions

Artificial Neural Network applications given throughout

Need for Advanced Characterization for empirical Modeling [21]

Dynamic Operating Trajectory of Table-based model constructed from
from dc + S-parameter data:



True for neural
network model
too if built from
dc + S-param
data

GaN Devices

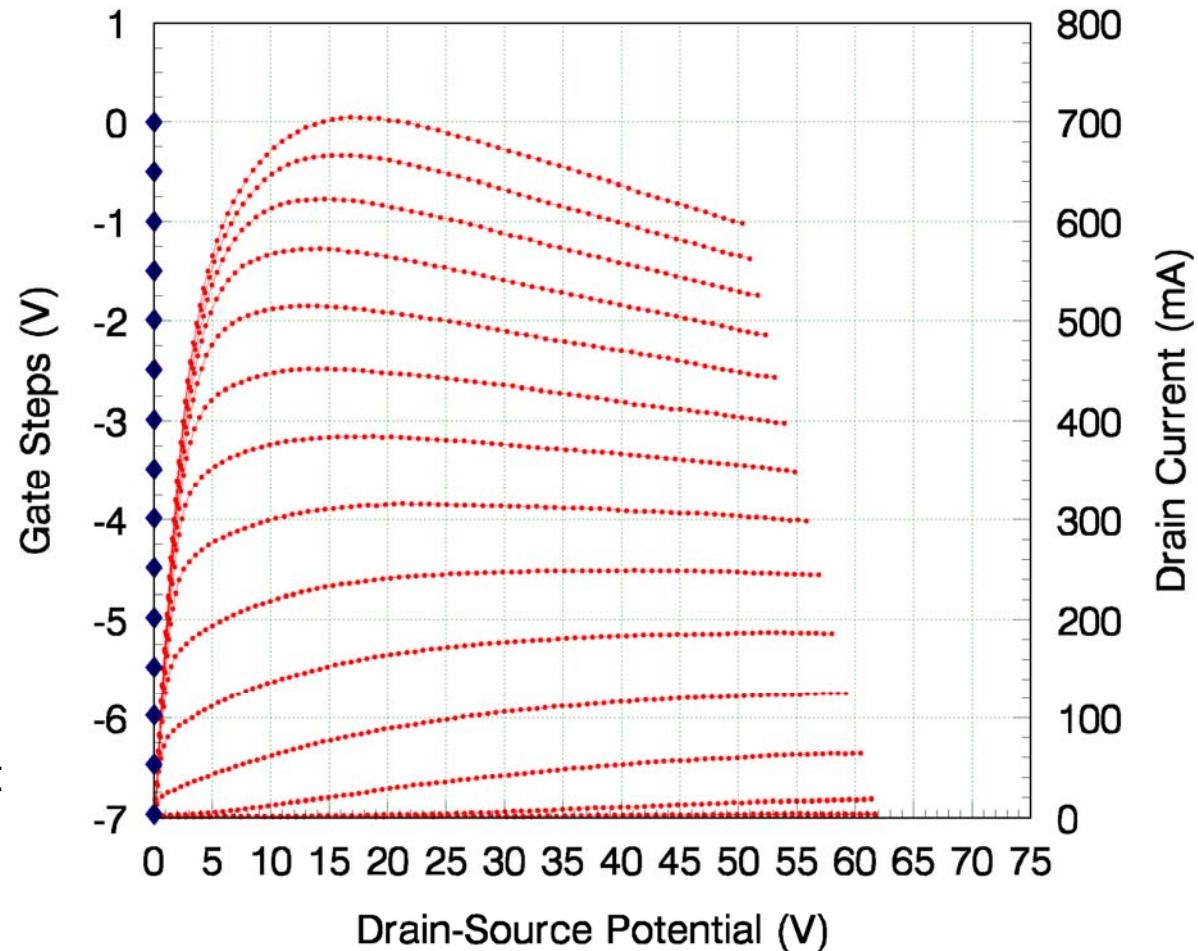
1 mm 10 fingers

GaN on Si

$f_T \sim 30\text{GHz}$

Pulse width 2us

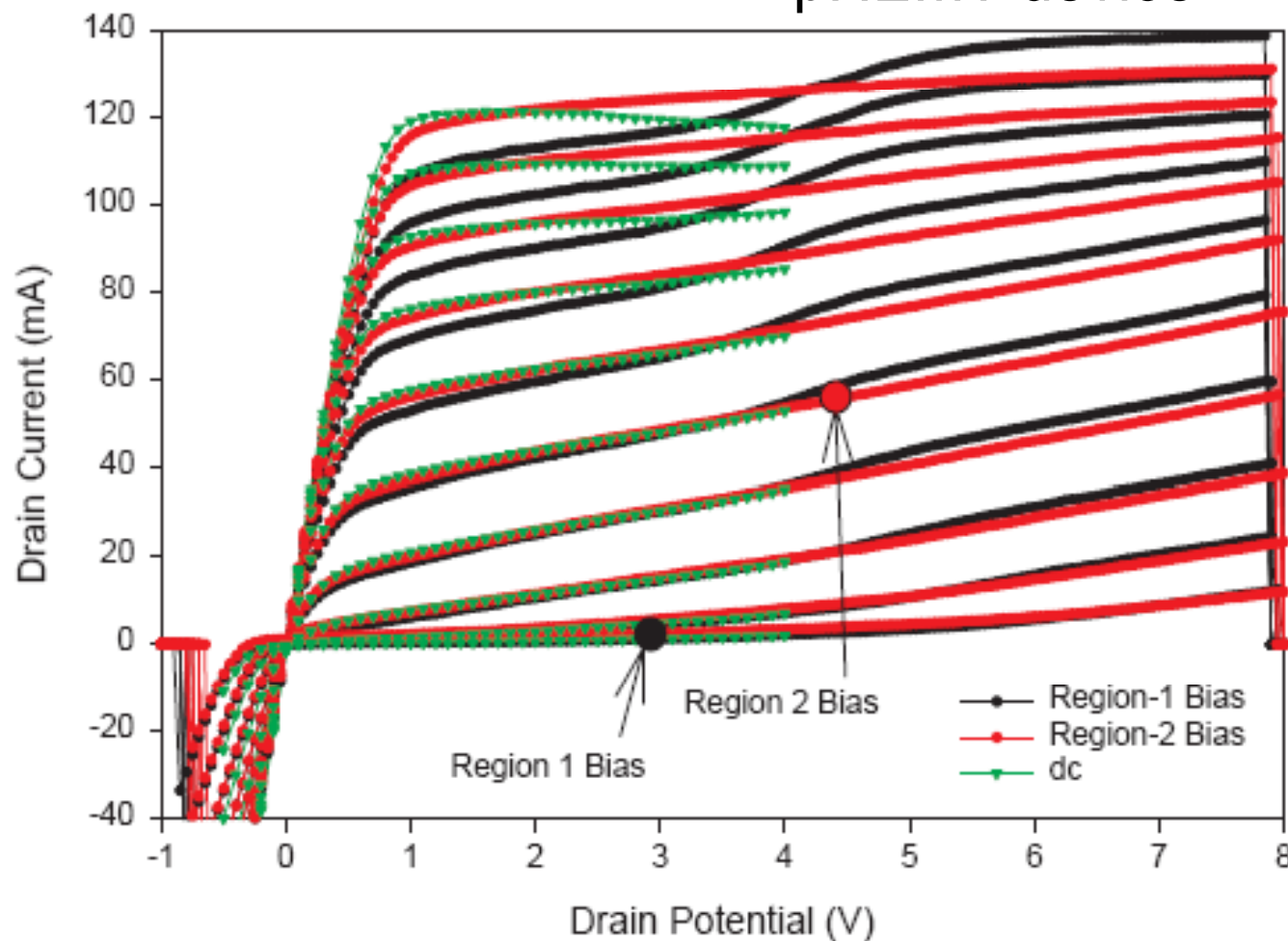
Slide courtesy J. Scott



Pulsed measurements provide much more data than can be measured under static (DC) conditions

Pulsed I-V characteristics at different quiescent points vs DC [1,21]

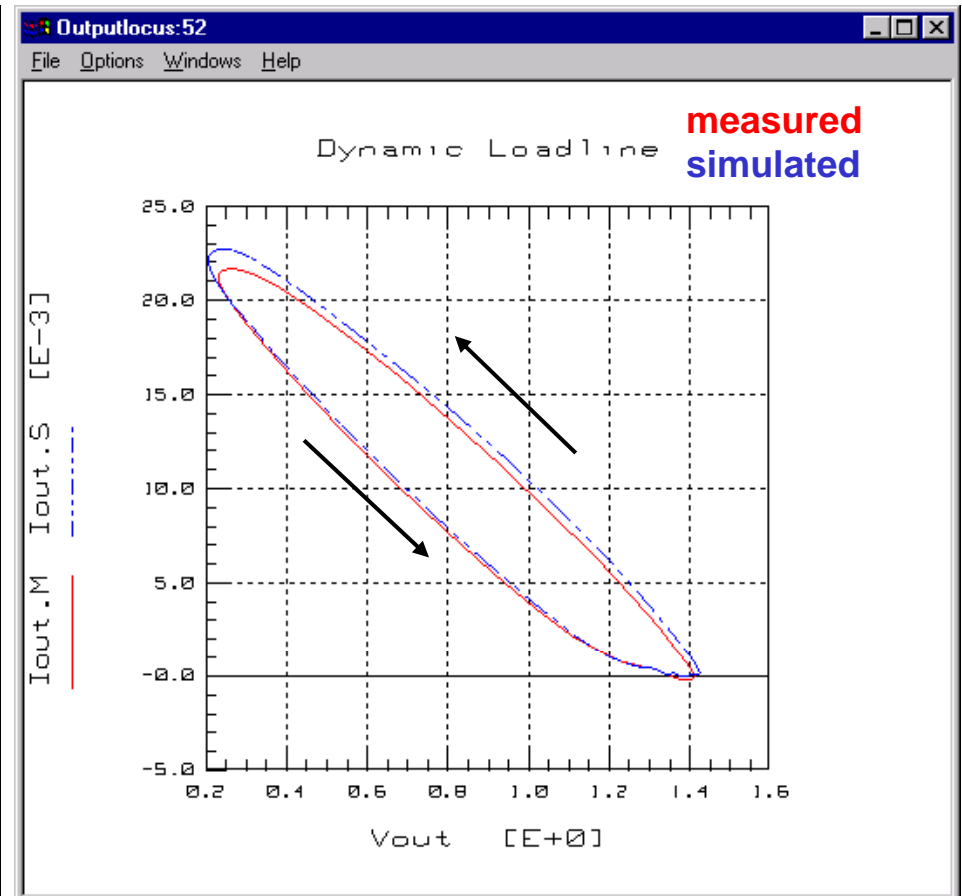
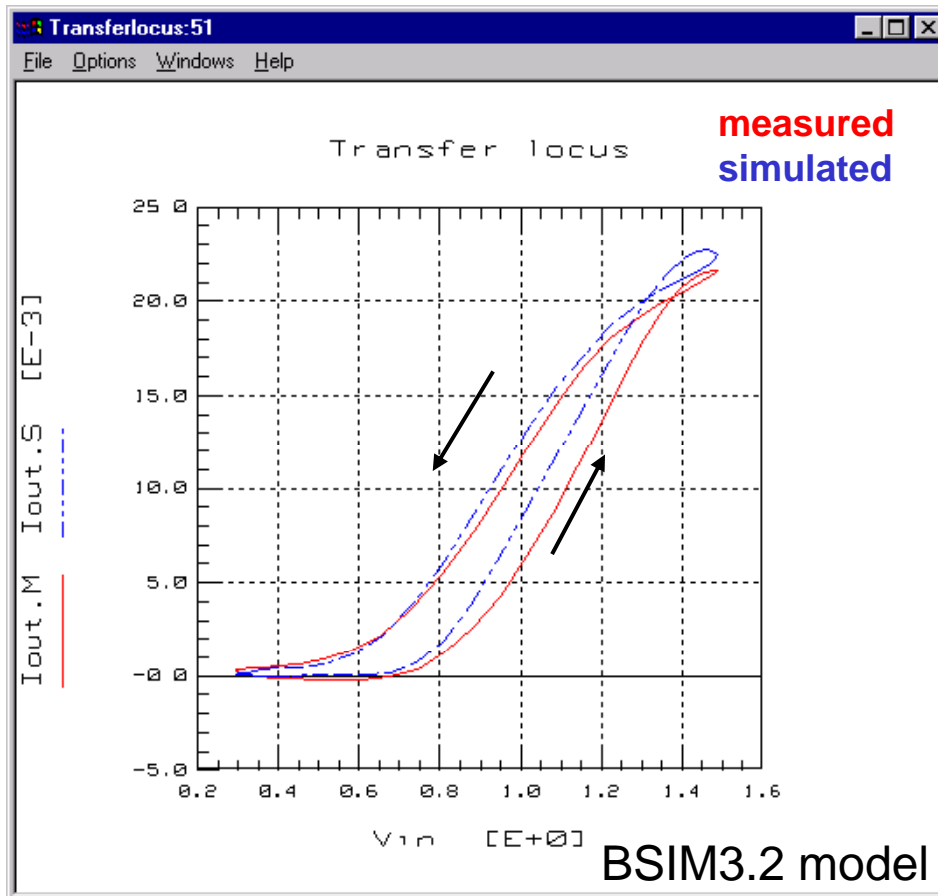
pHEMT device



Nonlinear Vector Network Analyzer (NVNA) Measurements for Transistor Modeling:

- These measurements will compliment and eventually totally replace small-signal measurements for large-signal device model experiment design and model identification [36-38]. Such systems are also useful for *model validation*.
 - Stimulates device with more realistic signals
 - Reduce degradation of device characteristics from static measurements
 - Less reliance on inferring large-signal dynamic behavior from *linear small- signal measurements*
 - Some device properties may very different (breakdown, I_g , ...)
 - Use to identify parametric (empirical) models or even train (generate) data-based models directly

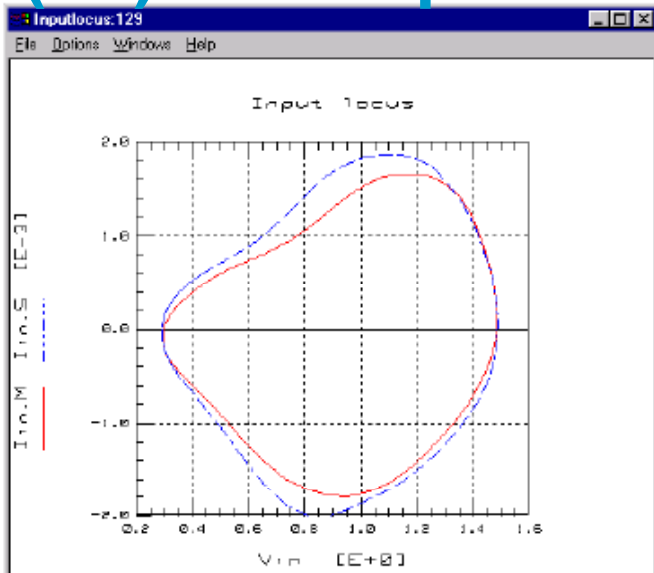
(1a) NVNA data for compact model validation



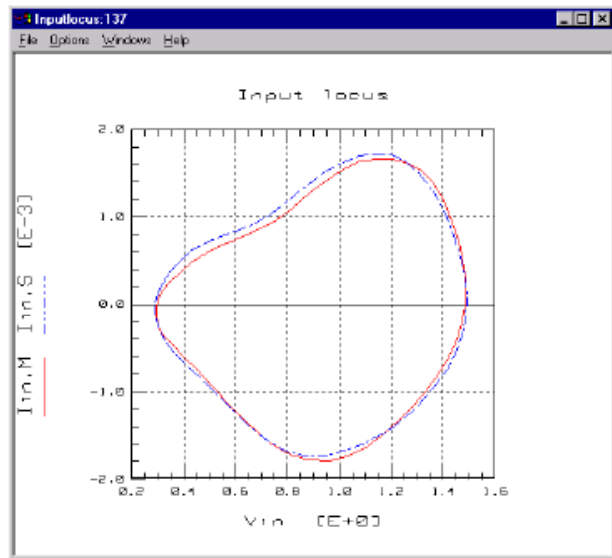
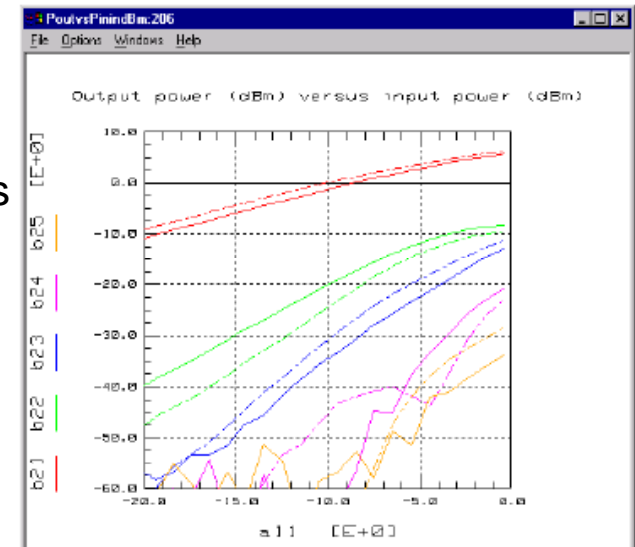
- Parameters extracted from DC and S-parameters (or CV)
- BSIM3 model simulated in Harmonic balance (HB) analysis
- Results compared with NVNA data

Slide courtesy of Franz Sischka, data from [51]

(1b) Model parameter extraction from NVNA Data [51]

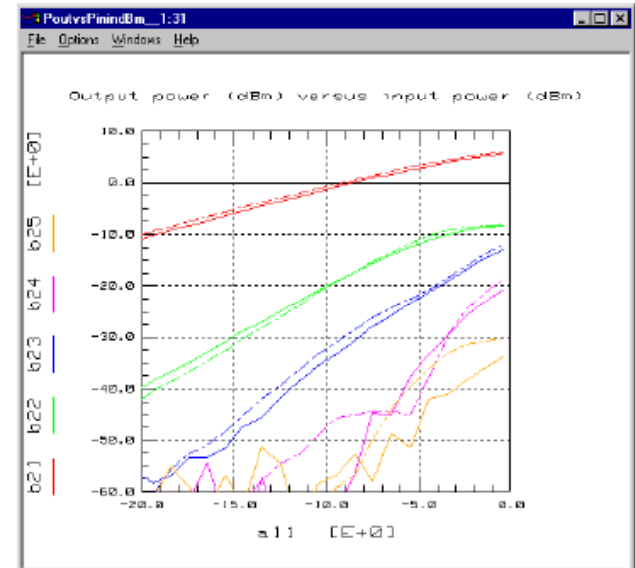


NVNA data vs HB simulation
using initial parameter values
extracted from DC + CV

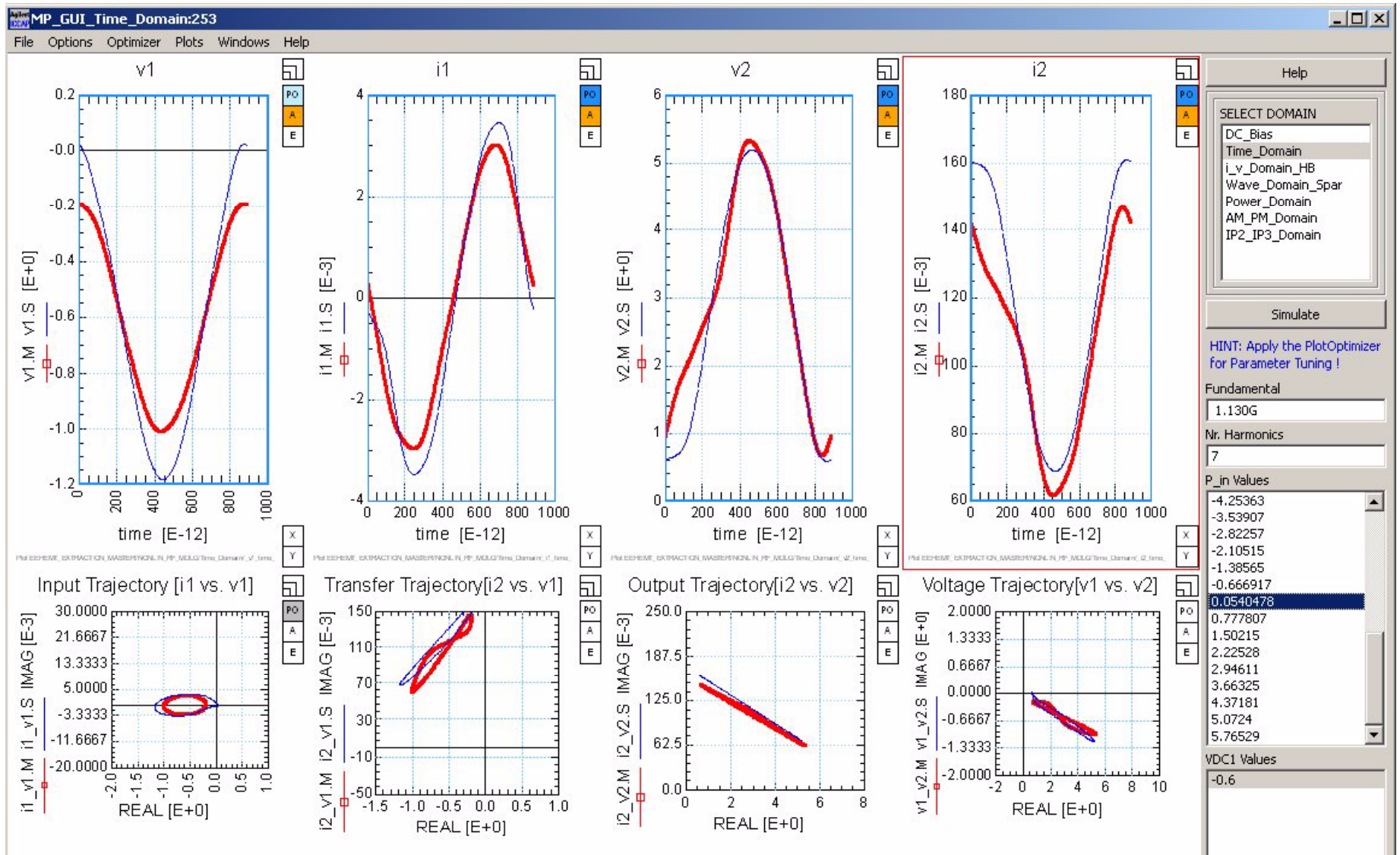


Modify parameter values
(optimize) to *better fit*
large-signal NVNA data

- Get optimal parameter set for given model
- trade-off DC, SP, for nonlinear performance
- App-dependent tuning
- Explore model limits

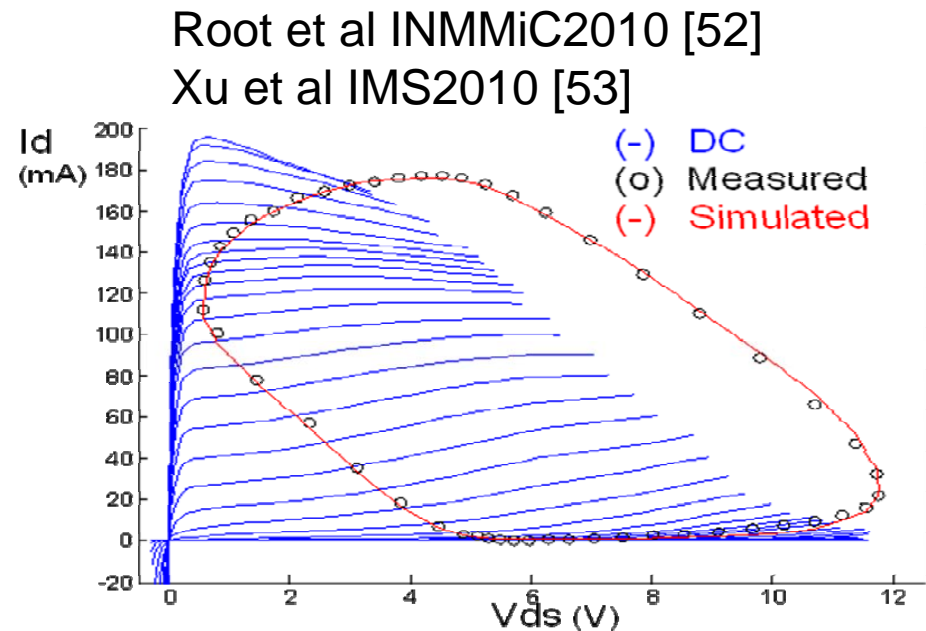
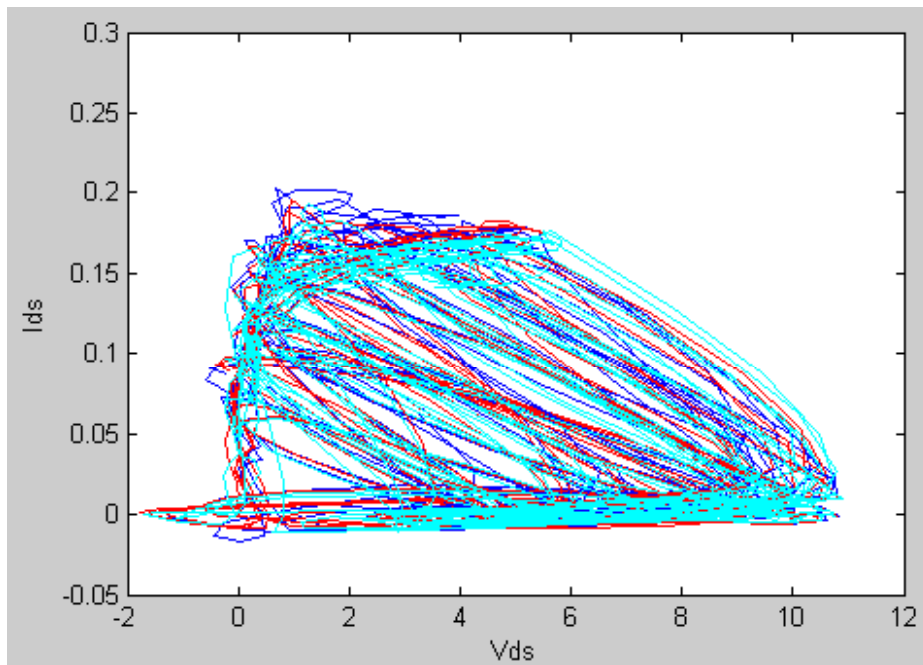


Parameter extraction from NVNA data



Slide courtesy Franz Sischka

Examples of measured dynamic load-lines using NVNA for advanced FET model construction

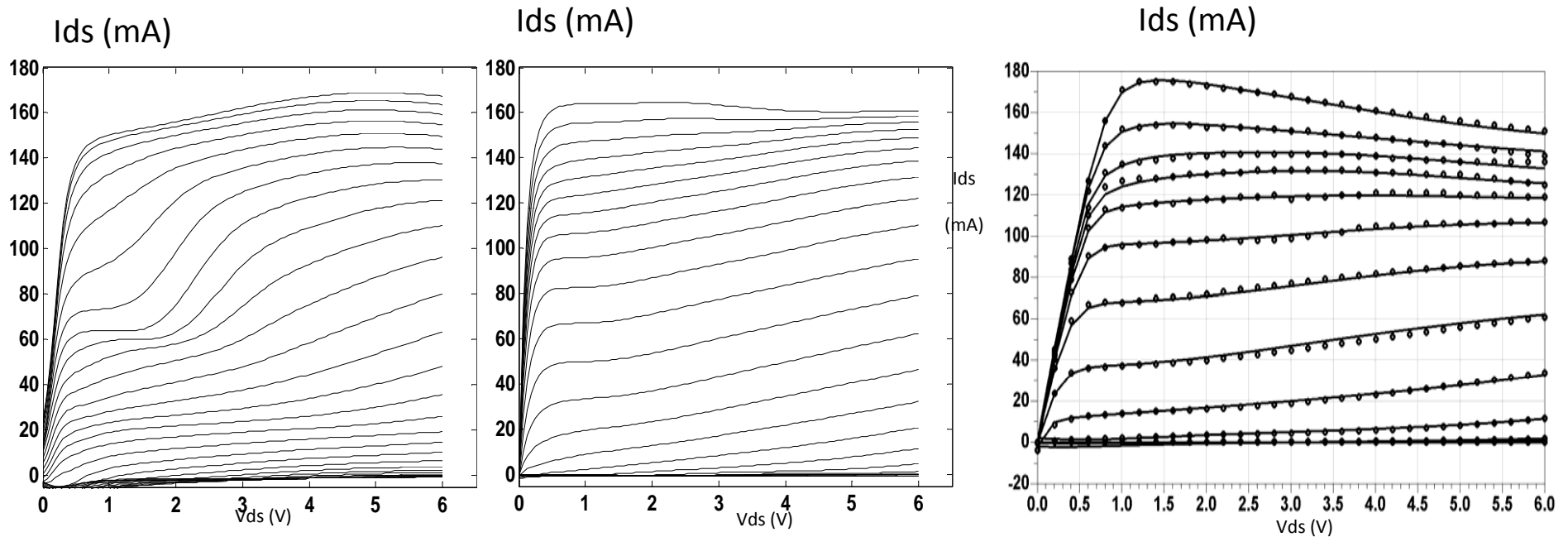


- Entire operating range covered
- Can measure into limiting operating regions
- Get data under realistic operating conditions

Model I-V characteristics at different trap-states

$$I_D(V_{gs}(t), V_{ds}(t), T_j, \varphi_1, \varphi_2)$$

Xu et al IMS2010 [53]



Corresponds to *drain-lag*
(knee walk-out) (intrinsic)

Trap state $\varphi_1 = -2$ $\varphi_2 = 8$

Static "Iso-thermal"
intrinsic I-V

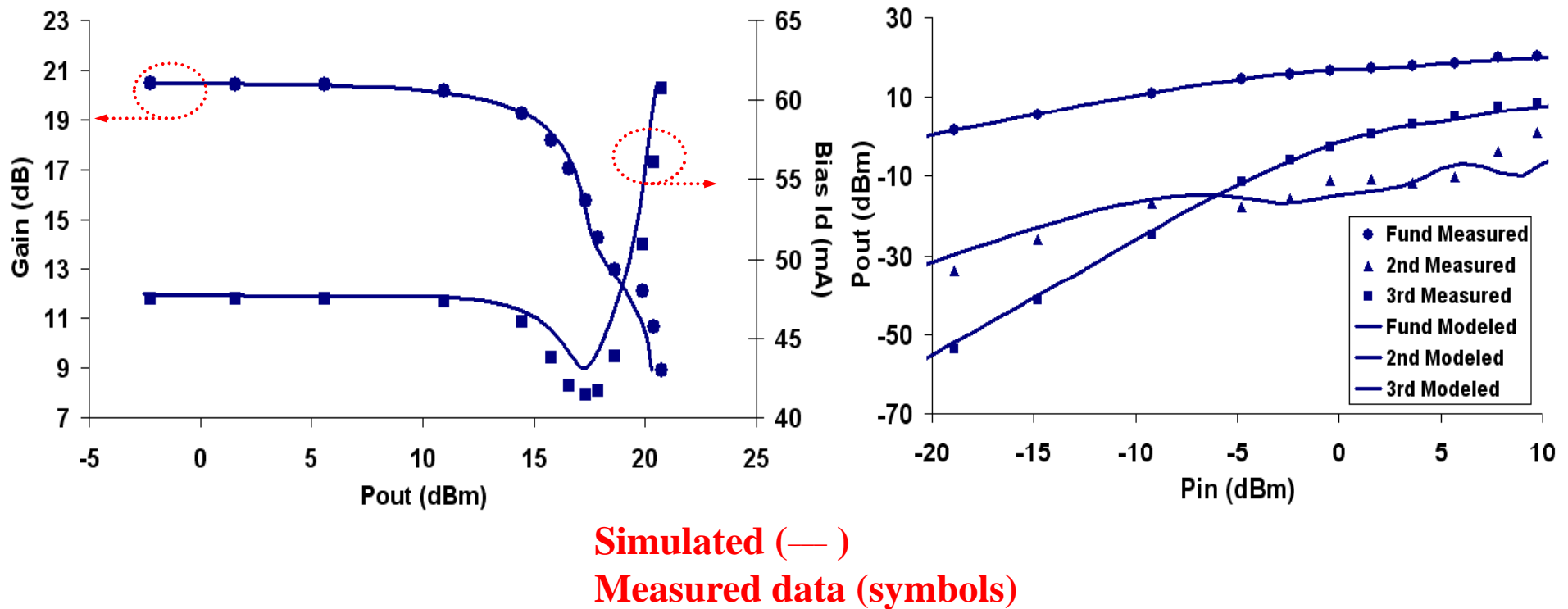
$\varphi_1 = V_{gs}$ $\varphi_2 = V_{ds}$ $T_j = 65$

Measured and
simulated extrinsic
DC - IV

Bias-dependent small-signal admittances fit better everywhere

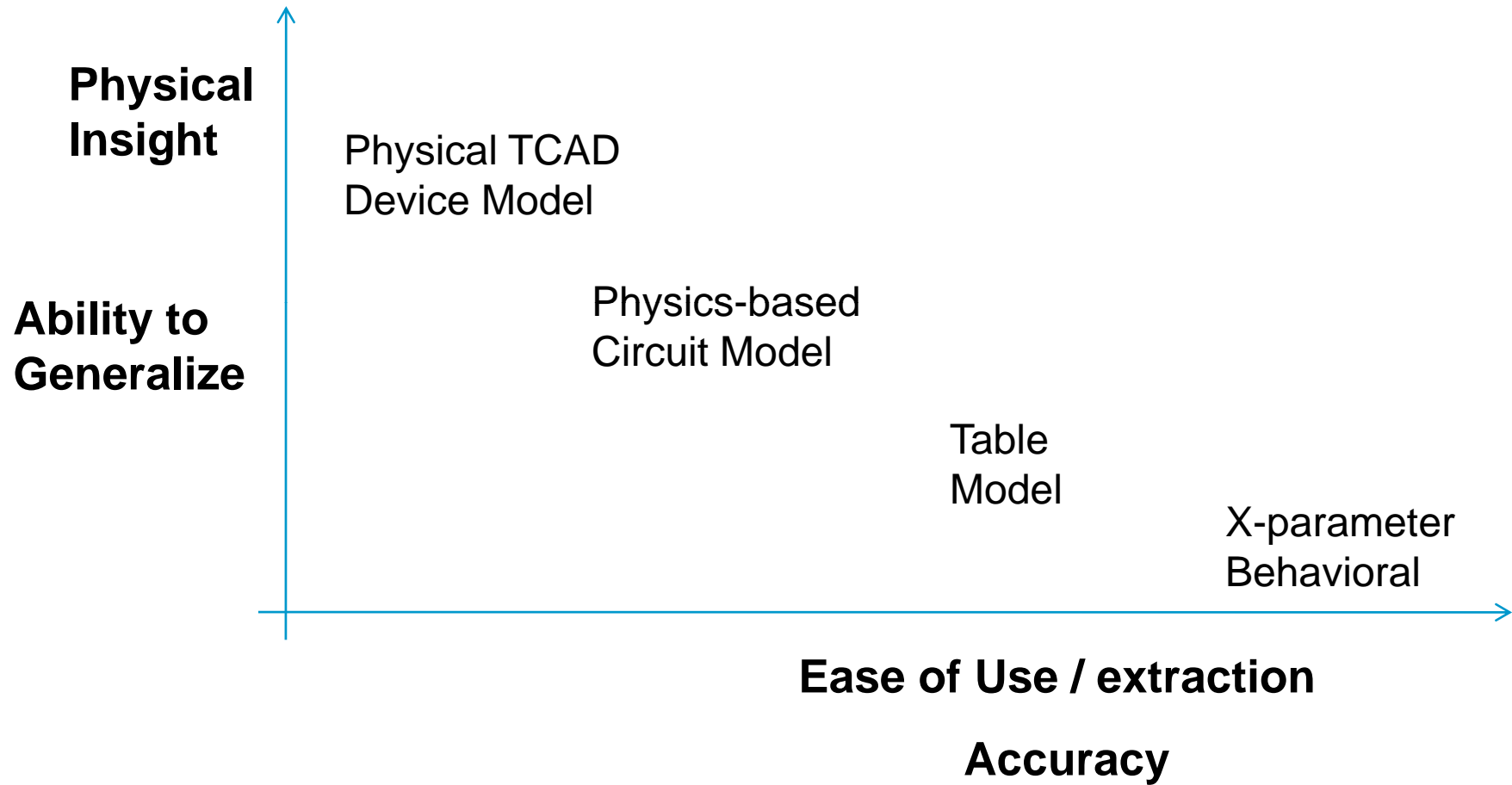
Nonlinear validation of advanced GaAs FET model (using NVNA data)

Xu et al IMS2010 [53]



With NVNA, Nonlinear validation *comes for free*

Tradeoffs



Conclusions

- Physical, Empirical, Table-based, and Behavioral models (e.g. X-parameters) of transistors all have their place in device modeling
- Advanced characterization techniques and instruments (e.g. NVNA) will change the paradigm for nonlinear device modeling and validation. This is a key industry trend.
- Modeling is a rigorous and complex process. Good results take time, expertise, good measurements, and care.

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