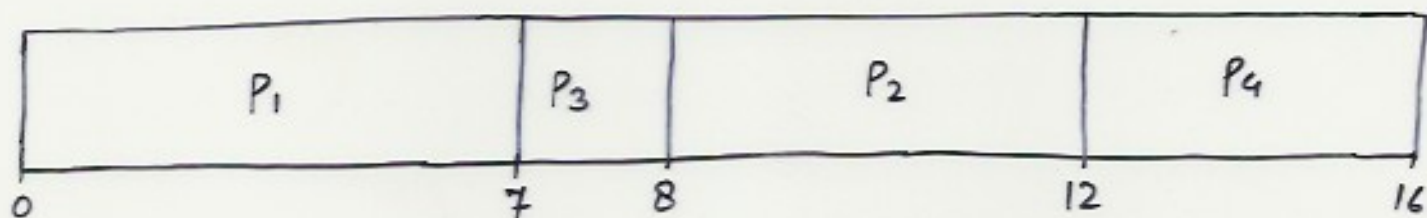


## Non-preemptive SJF:

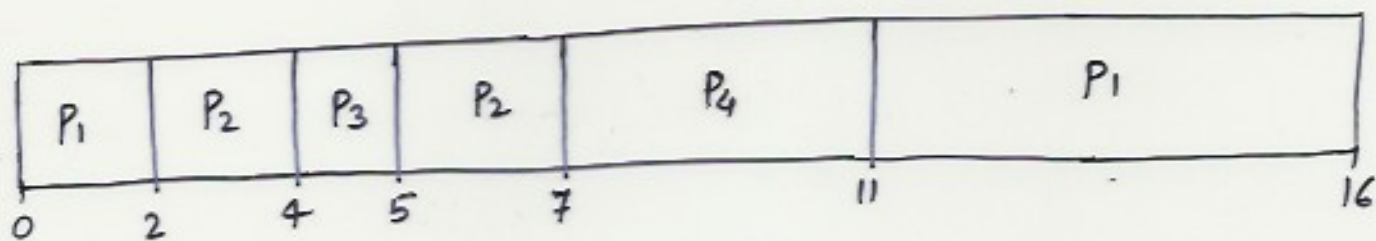
Process	Arrival Time	Burst Time
P <sub>1</sub>	0.0	7
P <sub>2</sub>	2.0	4
P <sub>3</sub>	4.0	1
P <sub>4</sub>	5.0	4

### SJF (non preemptive)



$$\begin{aligned}\text{average waiting time} &= (0 + 6 + 3 + 7) / 4 \\ &= 4\end{aligned}$$

## Preemptive SJF:



$$\begin{aligned}\text{average waiting time} &= (9 + 1 + 0 + 2) / 4 \\ &= 3\end{aligned}$$

→ Drawback -

- length of the next CPU burst is required.

→ One approach is to approximate SJF, where next CPU burst is estimated.

→  $t_n$ : length of the  $n$ th CPU burst.

$\tau_n$ : predicted length of the  $n$ th CPU burst.

$\tau_{n+1}$ : " " " "  $(n+1)$ th " " .

$\alpha$ ,  $0 \leq \alpha \leq 1$ .

Define

$$\tau_{n+1} = \alpha t_n + (1-\alpha) \tau_n \dots \dots \dots \textcircled{1}$$

### Exponential Averaging:

- if  $\alpha = 0$ ,

from eq<sup>n</sup>  $\textcircled{1}$ ,  $\tau_{n+1} = \tau_n$

i.e. Recent history does not count.

- if  $\alpha = 1$ ,

$$\tau_{n+1} = t_n$$

i.e. only actual last CPU burst counts.

- eq<sup>n</sup>  $\textcircled{1}$  can be:

$$\tau_{n+1} = \alpha t_n + (1-\alpha) \alpha t_{n-1} + \dots \dots \dots (1-\alpha)^j \alpha t_{n-j} + \dots \dots \dots (1-\alpha)^{n+1} \tau_0$$

- Since  $\alpha$  &  $(1-\alpha)$  are less than or equal to 1, each successive term has less weight than its predecessor.

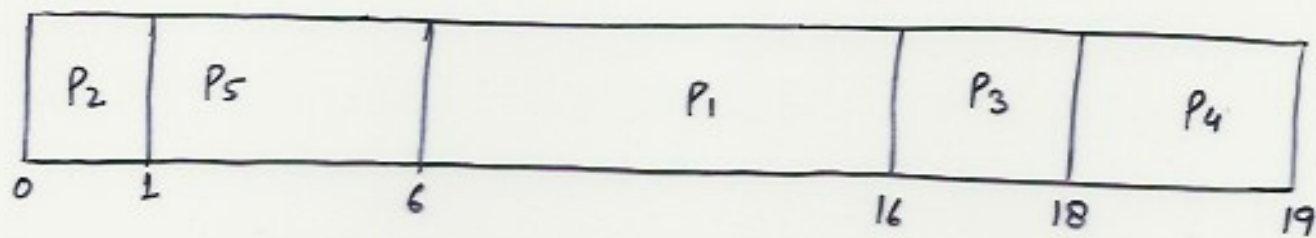
## Priority Scheduling

- A priority no. (integer) is associated with each process.
- A CPU is allocated to the process with the highest priority. (smaller integer = highest priority).
- Scheduling can be
  - preemptive
  - non preemptive.

<u>Process</u>	<u>Burst Time</u>	<u>Priority</u>
$P_1$	10	3
$P_2$	1	1
$P_3$	2	3
$P_4$	1	4
$P_5$	5	2

Arrival time of all processes is 0.

## Using priority scheduling



average waiting time = 8.2

→ SJF is a priority scheduling where priority is based on the predicted next CPU time.

→ Drawback -

- \* Algorithm leads to starvation.

- \* where a low priority process may never execute.

→ solution to starvation is aging.

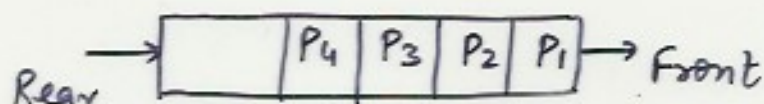
→ As time progresses, increase the priority of the process.

# Round Robin (RR) Scheduling

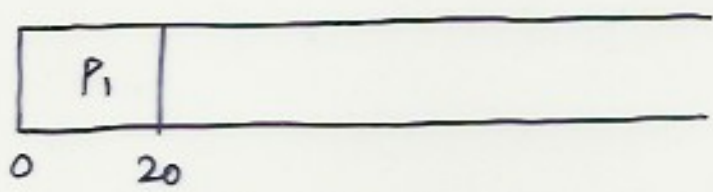
- Each process gets a small unit of CPU time, called time quantum.
- After time quantum, the process is preempted & added to the end of the ready queue.
- If there are  $n$  processes in the ready queue & the time quantum is  $q$ , no process waits more than  $(n-1)q$  time units.
- Example of RR with time quantum = 20

Process	Burst Time
$P_1$	53
$P_2$	17
$P_3$	68
$P_4$	24

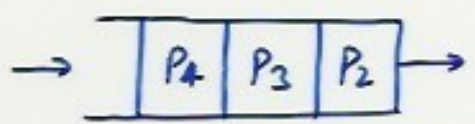
Initially ready queue



During  
After 1st time quantum

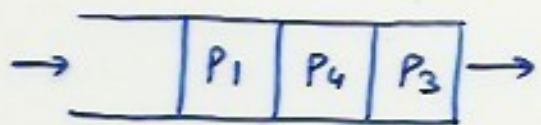
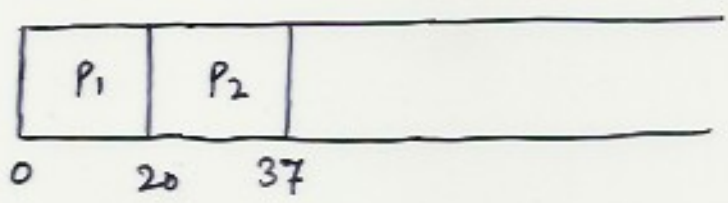


Gantt chart

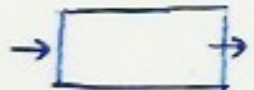
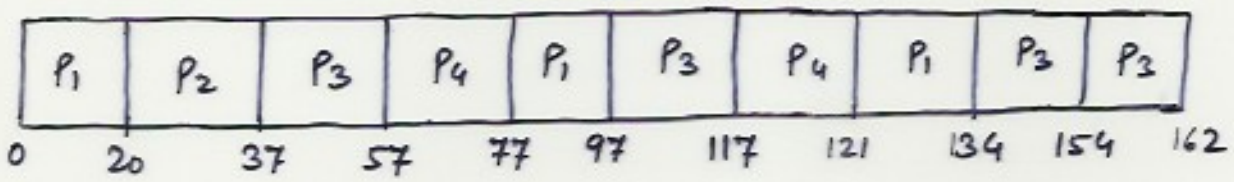


Ready queue

During  
After 2nd time quantum



⋮



$$\text{average waiting time} = \frac{(81 + 20 + 94 + 97)}{4}$$

$$= 73$$

→ Typically higher avg. waiting time than SJF, but better response.

## Performance with time Quantum :

- Performance of RR scheduling depends on time quantum size.
- If time quantum is large -  
Scheduling degenerates to FCFS.
- If time quantum is small -
  - \* more context switching.
  - \* Overhead is too high.

Process Time = 10

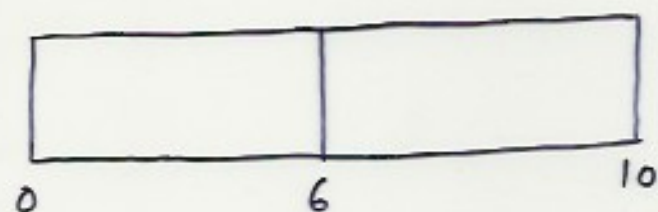


Quantum

12

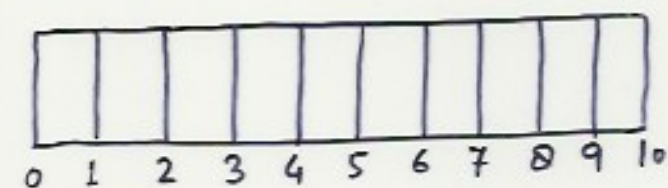
No. of  
Context Switche

0



6

1



1

9

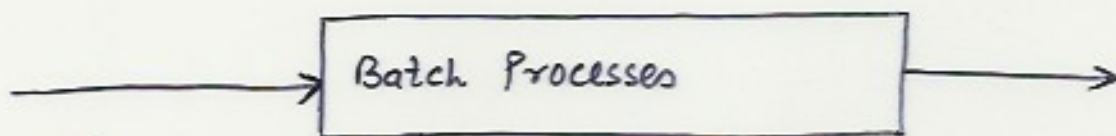
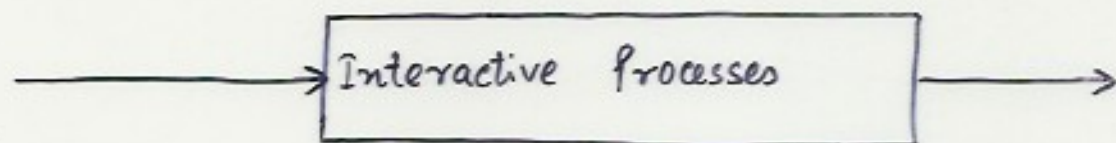
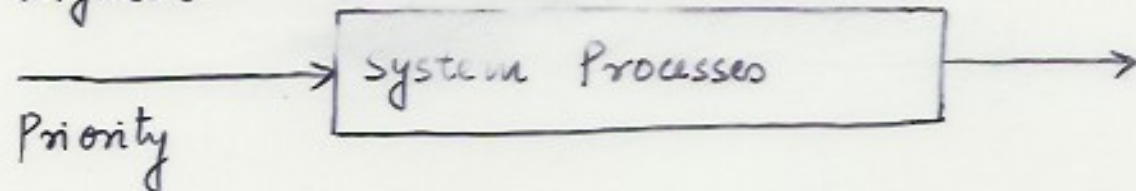
→ A rule of thumb is that 80% of the CPU bursts should be smaller than time quantum.

## Multilevel Queue Scheduling?

- Ready Queue is partitioned into separate queues:
  - foreground (interactive)
  - background (batch)
- Each queue has its own scheduling algorithm:
  - foreground (RR)
  - background (FCFS)
- Scheduling must be done between the queues.
  - Fixed Priority Scheduling - serve all processes from foreground then from background.
    - Possibility of starvation.
  - Use Time Slice - Each queue is given a certain amount of CPU time which it can schedule amongst its processes.
    - + 80% may be given to foreground (RR)
    - 20% " " " " background (FCFS)



Highest

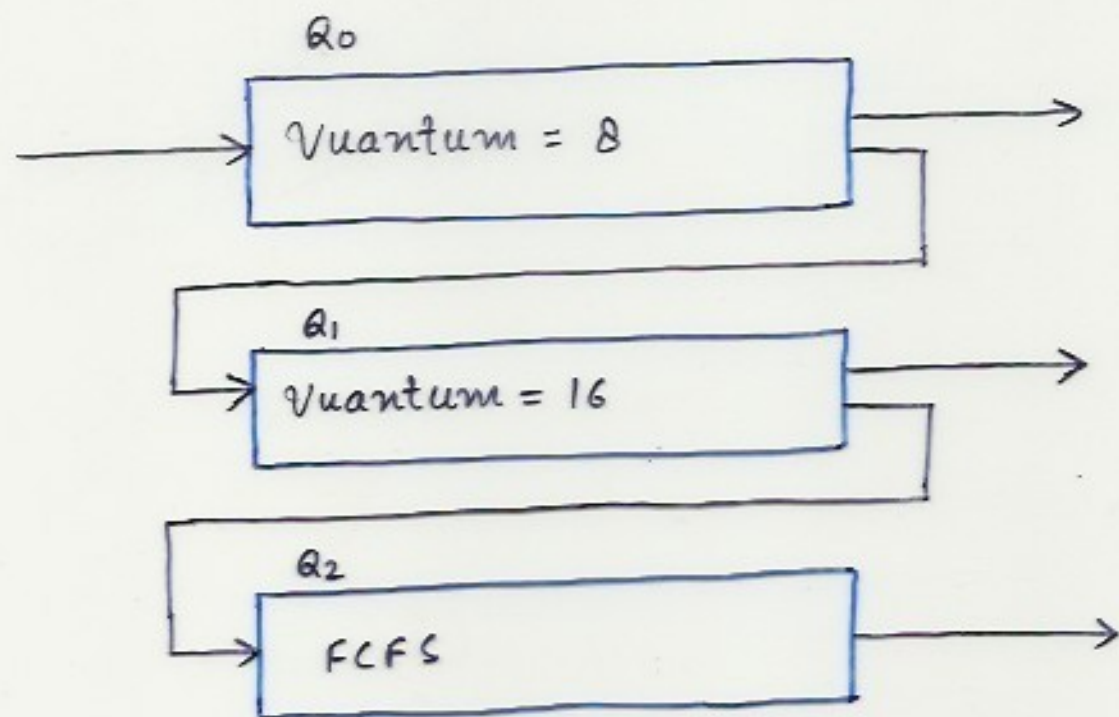


Lowest  
Priority

### Multilevel Feedback Queue:

- A process can move between various queues.
- Aging can be implemented this way.
- Multilevel feedback scheduler is defined by the following parameters:
  - Number of queues.
  - Scheduling algorithm for each queue.
  - Method used to upgrade a process.
  - Method used to degrade a process.

- Method used to determine which queue a process will enter when that process needs service.



→ Three queues:

- $Q_0$  - RR with time quantum = 8 msec.
- $Q_1$  - " " " " " 16 "
- $Q_2$  - FCFS

→ Scheduling -

- A new job enters queue  $Q_0$  & served as FCFS.
- When it gains CPU, receives 8 msec. of CPU.
- If it does not finish in 8 msec, job moves to queue  $Q_1$ .

- At  $Q_1$ , job is served FCFS and receives 16 additional msec. If it still does not complete, it is preempted & moved to queue  $Q_2$ .

→ It is most general scheduling but also most complex.

## Multiprocessor Scheduling

→ So far, uniprocessor scheduling concept is used.

→ Multiple-processor scheduling is more complex.

→ Assumption is homogeneous processors within multiprocessor.

→ Load sharing can be done.

- Separate queue for each processor is provided.

- drawback is - one queue may be full, while other is empty.

- A common queue may be used.

→ Using common queue - two scheduling approaches may be used.

- In 1<sup>st</sup> approach - each processor is self scheduling.
- Drawback is - a process may be selected by two or more processes.
- Asymmetric multiprocessing can be used to avoid it.
  - A processor is responsible for selecting a process from common queue.
  - Other processors execute these processes.

## Process Synchronization

- Concurrent access to shared data may result in data inconsistency.
- Maintaining data consistency requires mechanism to ensure the orderly execution of cooperating processes.
- Let us consider Producer-consumer processes once again.
  - A variable count may be used to access shared buffer by Producer/Consumer processes.
  - Initially count = 0.
  - It is incremented by producer, after it produces a new item.
  - It is decremented by consumer, after it consumes a buffer.

## Producer:

/\* produces an item & put in nextproduct \*/

```
while (true)
```

```
{
```

```
    while (count == BUFFER_SIZE)
```

```
        ; /* do nothing */
```

```
    buffer[in] = nextProduct;
```

```
    in = (in + 1) % BUFFER_SIZE;
```

```
    count ++;
```

```
}
```

## Consumer:

```
while (1)
```

```
{
```

```
    while (count == 0)
```

```
        ; /* do nothing */
```

```
    nextConsumed = buffer[out]; /* consume
```

```
in nextconsum
```

```
    out = (out + 1) % BUFFER_SIZE;
```

```
    count --;
```

```
}
```

## Race Condition :

→ Though producer & consumer process code are correct separately but they may not function correctly when executed concurrently.

→  $\text{count}++$  can be implemented in machine language as:

register1 = count;

register1 = register1 + 1;

count = register1;

→  $\text{count}--$  can be implemented in machine language as:

register2 = count;

register2 = register2 - 1;

count = register2;

→ let us assume  $\text{count} = 5$ , initially.

→  $\text{count}++$  &  $\text{count}--$  may be interleaved as follows:

T<sub>0</sub> : producer execute register1 = count { register1 = 5 }

T<sub>1</sub> : producer execute register1 = register1 + 1  
{ register1 = 6 }

T<sub>2</sub> : consumer execute register2 = count  
{ register2 = 5 }

T3: consumer execute  $register_2 = register_2 - 1$  {  $register_2 = 4$  }

T4: producer execute  $count = register_1$  {  $count = 6$  }

T5: consumer execute  $count = register_2$  {  $count = 4$  }

→ Incorrect value of  $count = 4$ .

→  $count = 6$ , if we reverse T4 & T5, which is again an incorrect value.

→ A situation like this -

"where several processes access & manipulate the same data concurrently, and the outcome of the execution depends on the particular order in which access takes place, is called a Race Condition."



## Critical Section Problem

- A system consisting of  $n$  processes  $\{P_0, P_1, \dots, P_n\}$
- Each process has a segment of code, called a critical section.
- Important feature is -
  - "When one process is executing in its critical section, no other process is to be allowed to execute in its critical section".
- Each process must request permission to enter its critical section, in its entry section of the code.
- Critical section may be followed by an exit section.  
Remaining code is the remaining section.

repeat

Entry section

critical section

Exit section

remainder section

Until false.

General structure of  
process  $P_i$

## Shortest job first Scheduling

- Associate with each process the length of its next CPU burst.
- Use these lengths to schedule the process with the shortest time.
- Two schemes -
  - **Nonpreemptive** - Once CPU given to the process, it can not be preempted, until completes its CPU bursts.
  - **Preemptive** - If a new process arrives with CPU burst length less than remaining time of current executing process, 1<sup>st</sup> one is preempted.
    - This scheme is known as **Shortest Remaining Time first (SRTF)**.
- SJF is optimal - gives minimum avg. waiting time for a given set of processes.