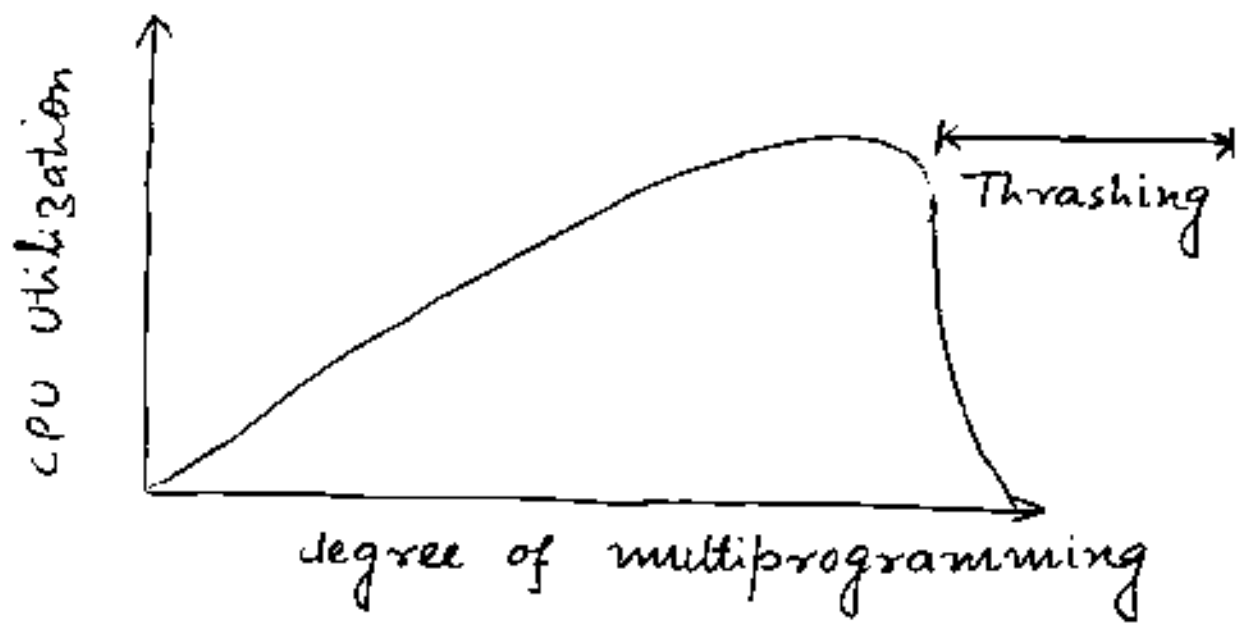


# Thrashing

- If a process does not have enough pages, the page fault rate is very high.
- This leads to :
- Low CPU Utilization.
  - operating system thinks that it needs to increase the degree of multiprogramming.
  - so another process is added to the system.
- Thrashing  $\equiv$  A process is busy swapping pages in and out.
- Global allocation of pages may lead to huge no. of processes causing thrashing.
- Local allocation limits the no. of processes which are thrashing, but effective access time is increased.



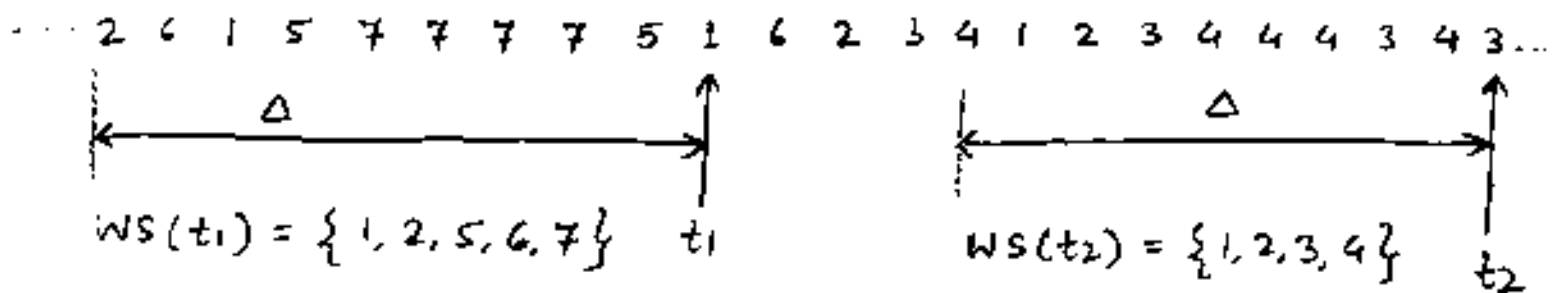
### Locality Model :

- To prevent thrashing :
  - concept of locality model is used.
- As a process executes, it moves from locality to locality.
- A locality is a set of pages that are actively used together.
- A program is composed of several different localities, which may overlap.

## Working Set Model:

- Based on the assumption of locality.
- Uses a parameter  $\Delta \equiv$  working set window  $\equiv$  a fixed no. of page references.
- set of pages in the most recent  $\Delta$  page references is called working set.
- If a page is currently active, it is in working set else it will be dropped after  $\Delta$  page references.

page reference table



$\Delta = 10$

Working set at time  $t_1 = \{1, 2, 5, 6, 7\}$

" " " "  $t_2 = \{1, 2, 3, 4\}$

→ Accuracy of the working set depends on the selection of  $\Delta$ :

- If  $\Delta$  too small, - it will not cover the entire locality.
- If  $\Delta$  is too large - it may overlap several localities.
- If  $\Delta$  is infinite - will encompass entire program.

→  $WSS_i$  → working set size of process  $i$ .

$$D = \sum_i WSS_i$$

where  $D$ : total demand of frames

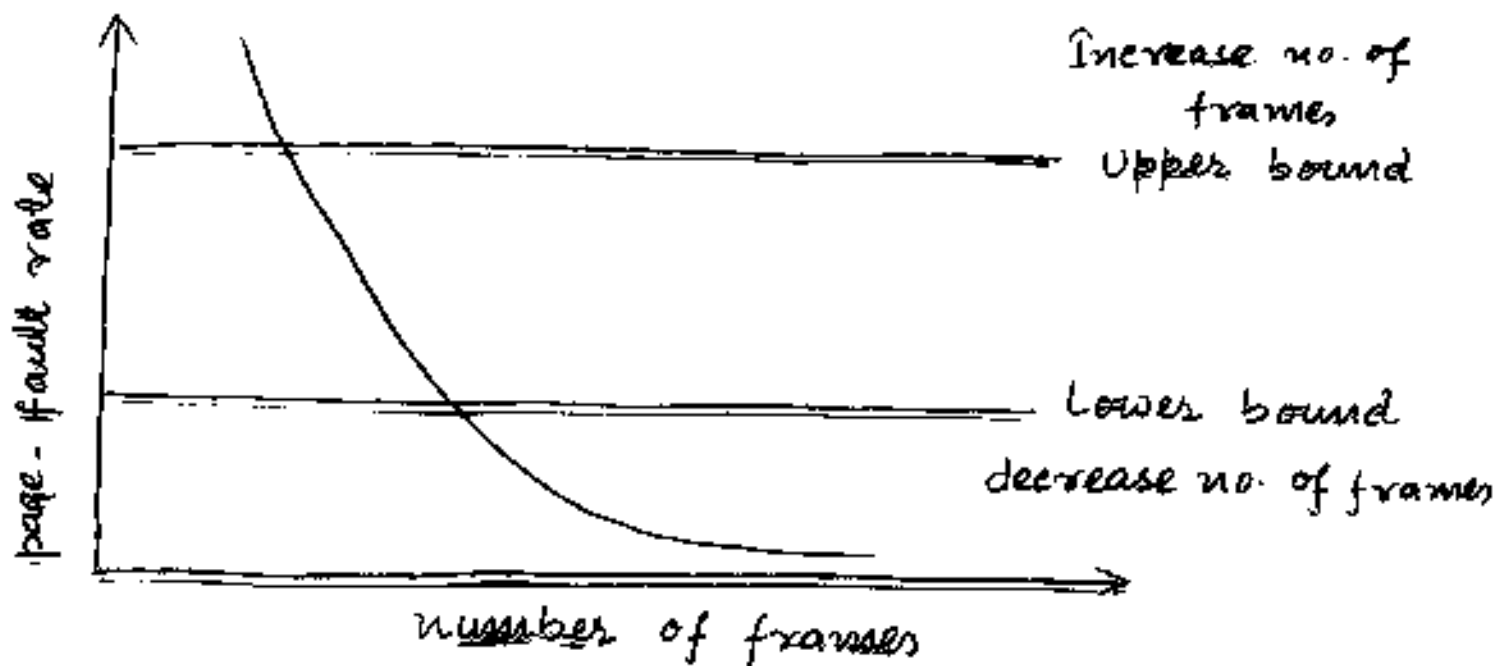
- If  $D > m \Rightarrow$  thrashing, where  $m$ : total no. of available frames.
- If thrashing, suspend one or more processes.

→ Difficulty with working set model is:

- keeping track of the working set.

# Page Fault Frequency

- Working set model is successful but clumsy.
- Page fault frequency takes a more direct approach.
- Establishes lower and upper limit of page fault rate.
- If no. of page faults increases & exceeds upper limit, a new frame is allocated to that process.
- If page fault rate falls below lower limit, we remove a frame from that process.



## Other Considerations:

- Selection of page replacement algorithm & frame allocation policy are major decisions for demand paging.
- Some other considerations are there:
  - Prepaging.
  - Page size.
  - ~~• Inverted page table.~~
  - Program structure.

## Prepaging:

- Reduces the large no. of page faults that occurs at process startup.
- Prepage all or some of the pages a process will need, before they are referenced.
- Advantageous in some cases.
- Question is -  
Whether the cost of prepaging is less than servicing the corresponding page faults?

→ Assume that

$N$ : no. of pages that are prepared.

$\alpha$  ( $0 \leq \alpha \leq 1$ ): a fraction of these pages, which are actually used.

Whether the cost of  $\alpha$  saved page faults is greater than the cost of preparing  $(1-\alpha)$  unnecessary pages.

→ If  $\alpha$  is close to 0  $\Rightarrow$  preparing loses.

### Page Size:

→ selection of page size must take into consideration

- Page table size

  - \* larger the page, smaller page table.

- Fragmentation

  - \* larger the page, larger the internal fragmentation.

- I/O overhead

  - \* larger the page size, smaller is the I/O overhead time.

- Locality

- \* A smaller page allows each page to match program locality more accurately.

### Program structure:

- Demand paging is transparent to the user.
- System performance can be evolved, if an awareness of the underlying demand paging is done.

```
for (j = 0; j < 128; j++)
```

```
    for (i = 0; i < 128; i++)
```

```
        a[i][j] = 0;
```

} Column-major  
order  
initialization

#### Variation 1

```
for (i = 0; i < 128; i++)
```

```
    for (j = 0; j < 128; j++)
```

```
        a[i][j] = 0;
```

} Row-major  
order  
initialization.

#### Variation 2



assume page size of 128 words.

Variation 1 will require nearly  $128 \times 128 = 16,384$  page faults, if allocated less than 128 frames.

Variation 2 will require only 128 page faults.

→ Careful selection of program structures can increase locality & hence lower the page fault rate.

## Process Management.

- A process can be thought of as a program in execution.
- A process needs certain resources - CPU time, memory, files & I/O devices - to accomplish its task.
- These resources are allocated to the process either when it is created or while it is executing.
- operating system is responsible for following activities in process management:
  - \* creation & deletion of both user & system processes.
  - \* scheduling of processes.
  - \* provision of mechanisms of synchronization
  - \* communication & deadlock handling for processes.

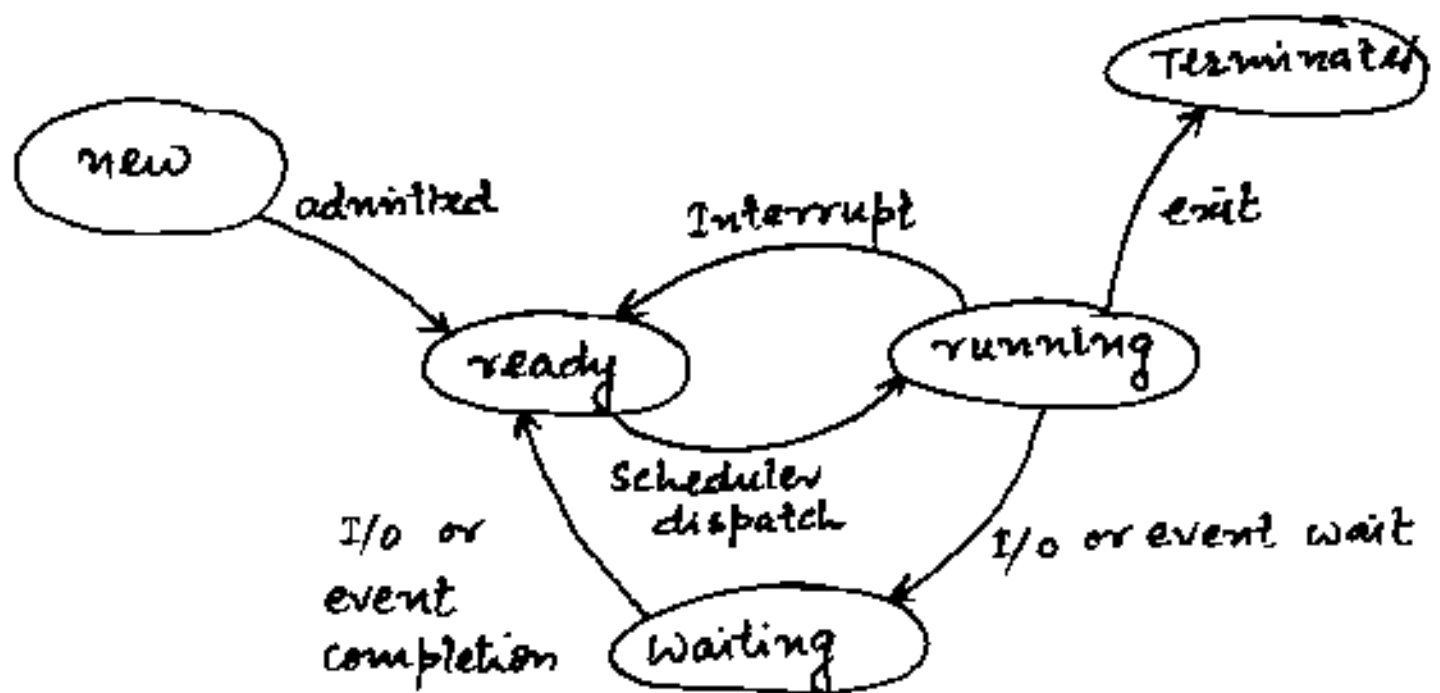
## Process:

- Informally, a program in execution.
- A process is more than a program code:
- It includes the current activity in the form of program counter & contents of the processor registers.
- It also includes stack - containing temporary data.

## Process states

- State is defined in part by the current activity of that process.
- As process executes - it changes its state.
- States of a process can be:
  - \* New - process is being created.
  - \* Running - Instructions are being executed.

- \* waiting - waiting for some event to occur.
- \* Ready - waiting to be assigned to CPU.
- \* Terminated - finished execution.

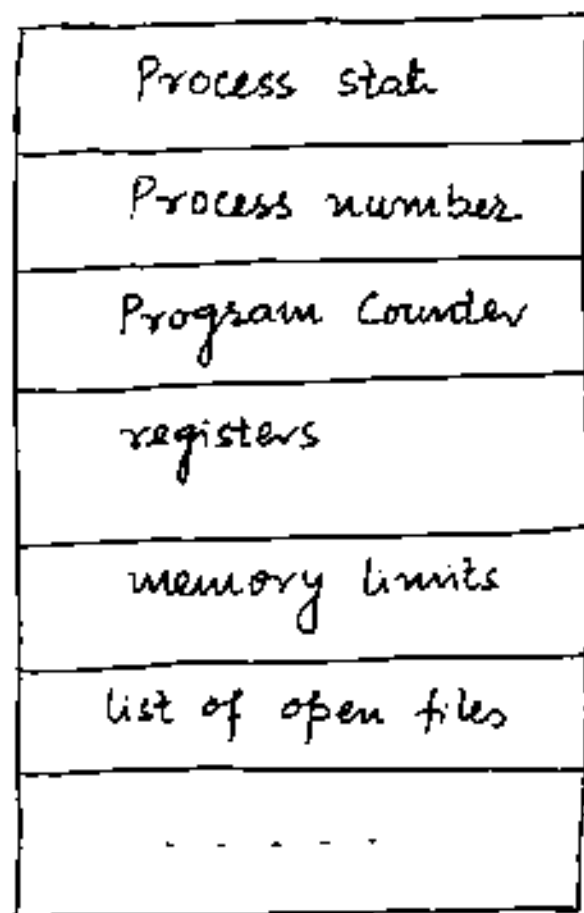


Process state Diagram

## Process Control Block (PCB)

→ Information associated with each process:

- Process state
- Program Counter
- CPU Registers
- CPU scheduling information.
- Memory management Information.
- Accounting information.
- I/O status information.



# Process Scheduling Queues:

## Job Queue:

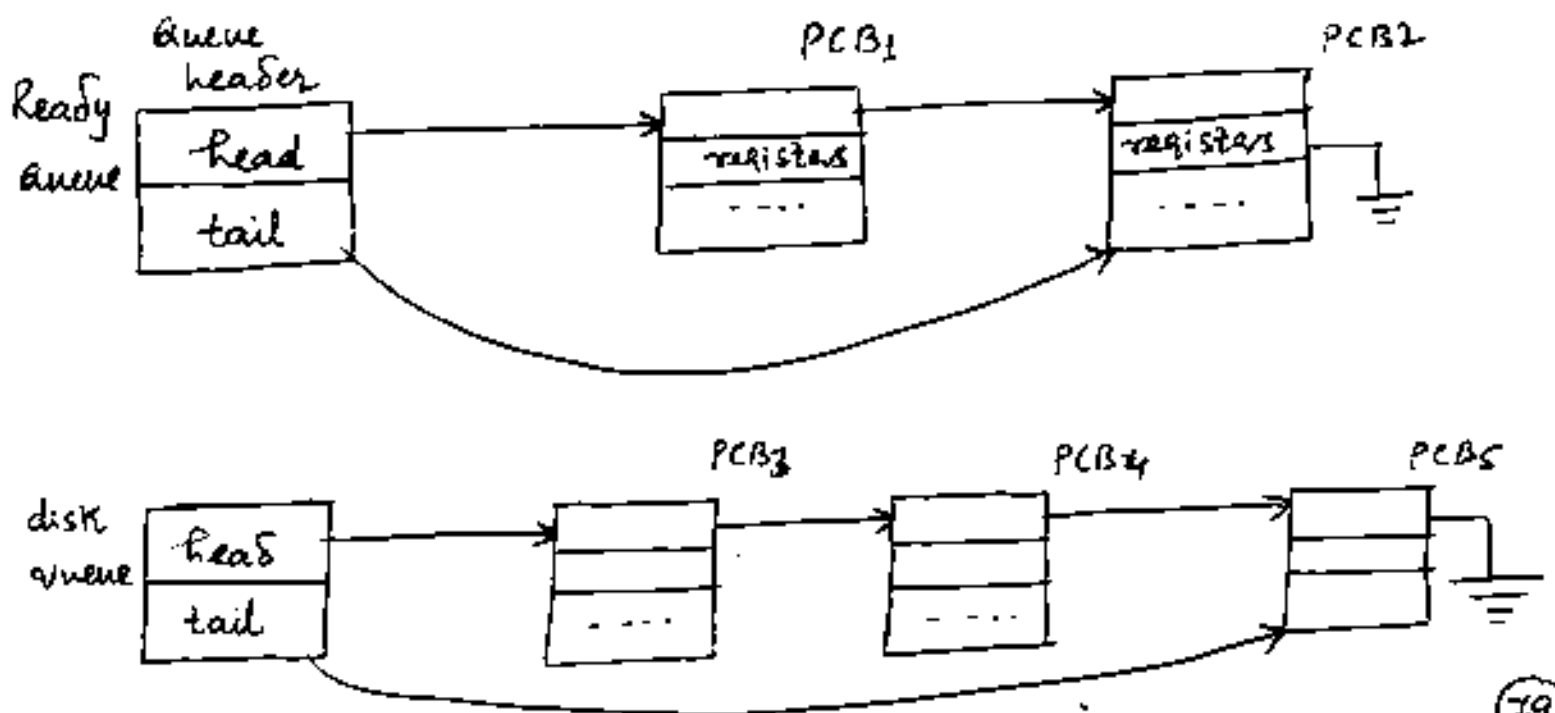
- As process enters the system, they are put into job queue.
- consists of all processes in the system.

## Ready Queue:

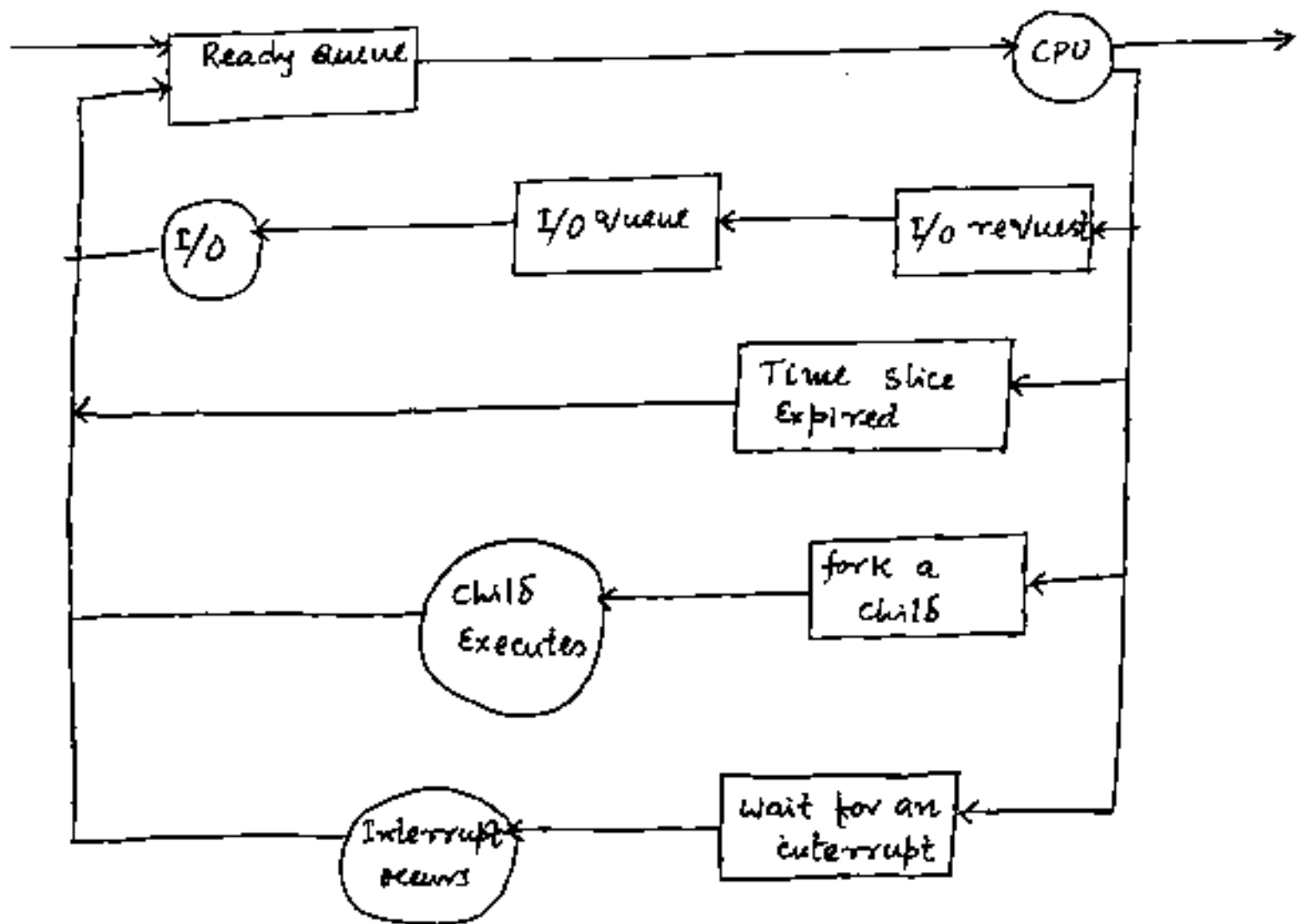
- set of all processes residing in main memory, ready and waiting to execute.

## Device Queue:

- set of all processes waiting for an I/O device.
- Each device has its own queue.



## Queueing - Diagram representation of process scheduling:



→ Once the process is allocated the CPU, it is executing. One of the several events could occur:

- 1.) Process could issue an I/O request & placed in an I/O queue.
- 2.) Process could create a new subprocess & wait for its termination.
- 3.) Process could be removed from the CPU due to an interrupt & be put in ready queue.

## Schedulers

- A process migrates between various scheduling queues throughout its life time.
- Operating system must select processes from these queues.
- Selection is carried out by the appropriate scheduler.

### 1. Long Term Scheduler:

- Also called job scheduler.
- Selects which process should be brought into ready queue.
- Invoked very infrequently.
- Controls degree of multiprogramming.

### 2. Short Term Scheduler:

- Also called CPU scheduler.
- Selects which process should be executed next & allocates CPU.
- Invoked very frequently.