

**Electromigration Failure of Solder Interconnects under
Non-DC Conditions:
(IEEE-EPS-Bay Area Chapter, 7/16/2021)**

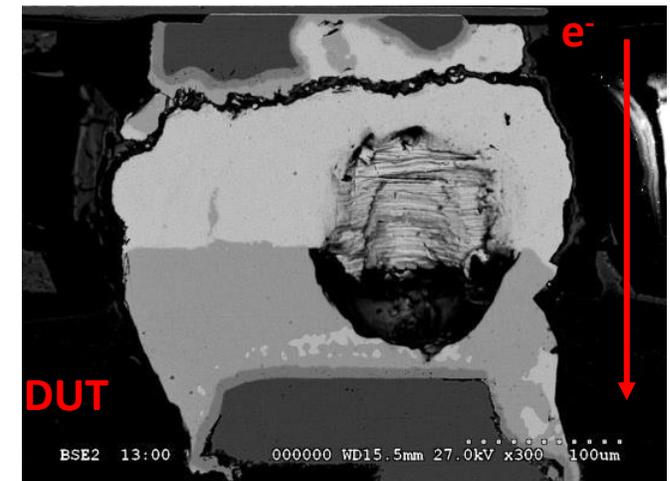
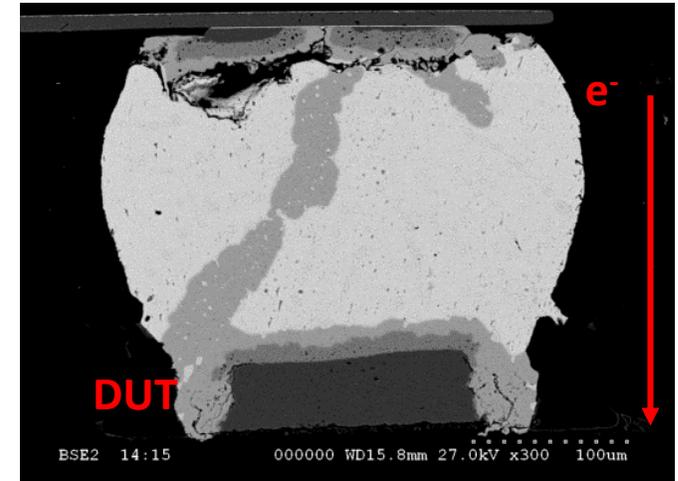
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Presentation Overview

- **Electromigration (EM) and challenges in EM study**
 - generalized EM failure mechanism under DC in solder joint
 - motivation for studying non-DC EM
- **Non-DC EM Testing**
 - consideration points of EM under DC, pulsed DC, AC
 - testing circuits
- **Mechanism of EM failure under non-DC conditions**
 - AC: classic mechanism and results
 - pulsed-DC: new failure mechanisms
- **Summary and Implications**

EM failure in WCSP solder joint



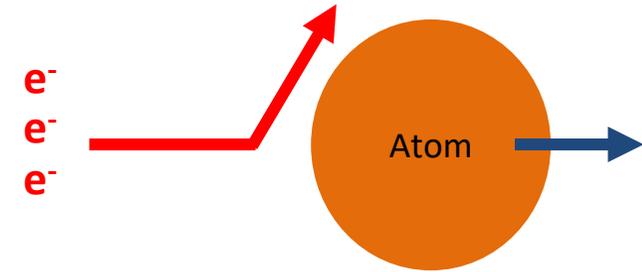
Electromigration and Research Challenges

■ Electromigration (EM)

- directional diffusion of atoms driven by high density current
- known to induce failure in solder interconnects and become a major reliability threat due to aggressive miniaturization
- Limiting long-term reliability of microelectronic package

■ EM reliability prediction and challenges

- Adapt Black's empirical model
- Failure mechanism details are still not well understood
- Testing can bias the failure mechanism, leading to the erroneous reliability prediction w/o correction
- *EM under non-DC conditions are rarely studied w/ difficulty in experimental testing*



$$flux J \approx j \times D_0 \exp\left(-\frac{E}{kT}\right)$$

j: current density

E: diffusion activation energy

$$ttf \approx A j^{-n} \exp\left(\frac{E}{kT}\right)$$

n: current exponent

E: EM activation energy

Non-DC EM in Solder Joint

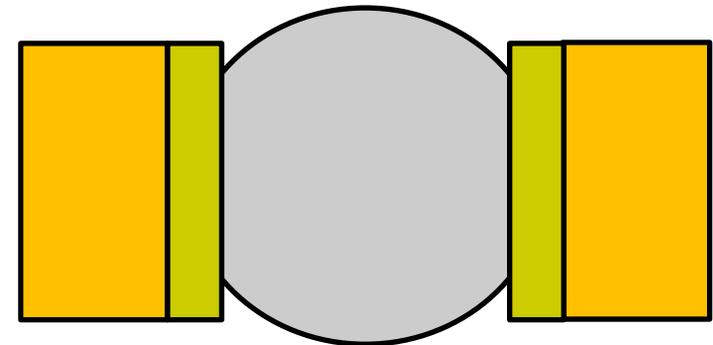
- **Understanding may need completely different approaches from thin film interconnects**
 - EM in thin film interconnect occurs in highly homogeneous system: simple structure, one composition, negligible joule heat
 - Pulsed DC and AC effect is reasonably well understood (damage relaxation mechanism)
- **EM in solder is complex process**
 - Multiple components with different EM/diffusion rates
 - Interface reaction is a part of failure mechanism
 - Considerable level of joule heat can be involved
 - Thermal stress can complicate the failure process

AC-EM: reversed flux



Thin film interconnect:
homogeneous system

flux is not necessarily reversible
Or damage can be repaired

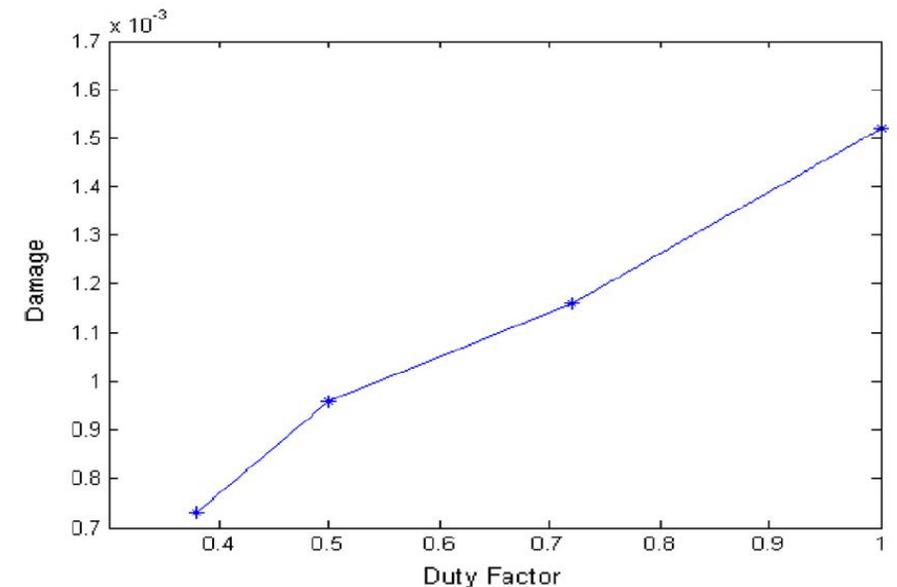
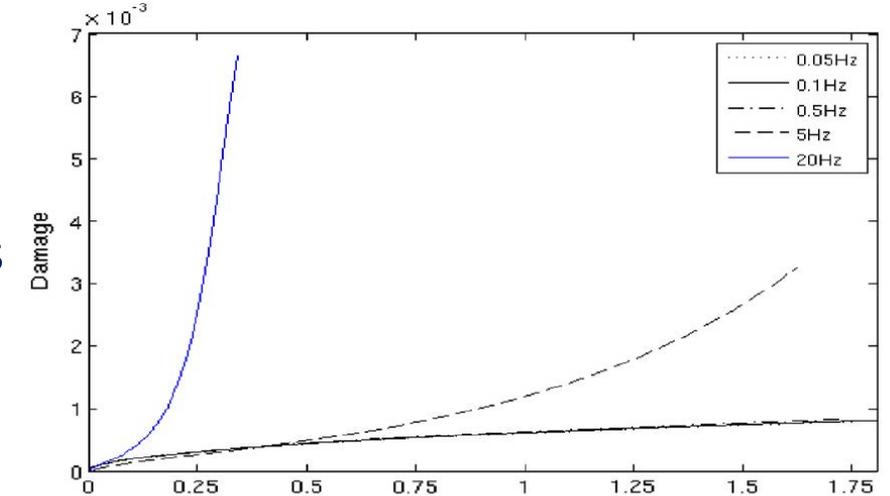


Solder Joint:
heterogeneous system

Failure by Non-DC EM: Past Studies

- **Of practical and fundamental importance**
 - EM load on device can be non-DC (pulsed DC, AC)
 - Can reveal key but hidden parameters to consider
 - Understanding is seriously lacking for EM in solder joints
- **Existing studies suggests**
 - Studies are mostly based on classic EM theory (extension of DC to PDC or AC)
 - W. Yao and C. Basaran (2013) computed PDC effect higher damage rate at higher frequency (because damage relaxation during “off” cannot occur)
 - Z. Zhu, Y. Chan, F. Wu (2019) studied AC effect faster growth of IMC under AC (AC load was not pure AC but was sinusoidal)

W. Yao and C. Basaran (2013) *Comp. Mat. Sci.*



Selected Sample for Research

■ Wafer-level chip scale package (WCSP)

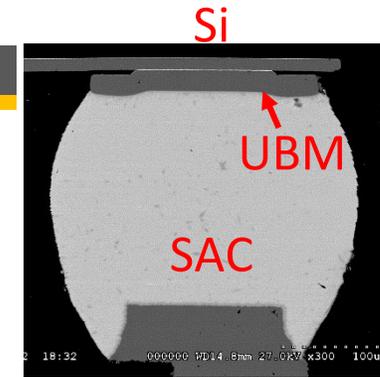
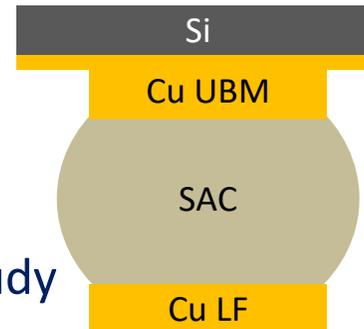
- One of the worst EM resistance structures
- More prone to stress assisted EM failure
- Easier to do microstructural EM mechanism study

■ Sample structure

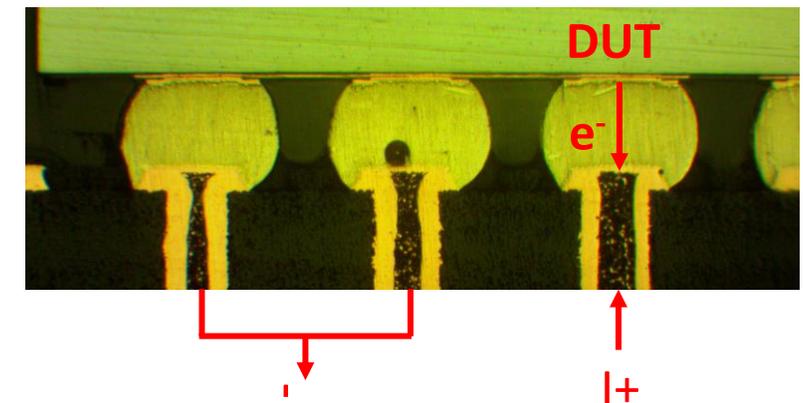
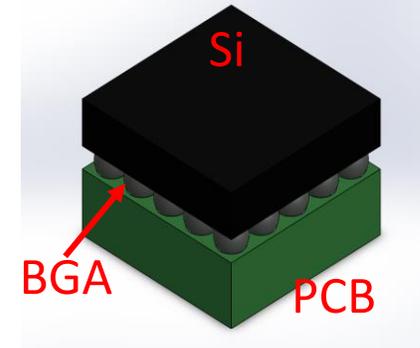
- 5x5 SAC solder ball grid array (BGA)
- Consists of Cu UBM, SAC solder ball, and Cu lead-frame
- Various thickness of Cu UBM pads (18-50 μ m Cu UBM)
- 3 SAC solder bumps are connected for testing
- Assembled into PCB

■ Sample preparation

- WCSP samples provided by Texas Instruments for research
- PCB designed at UT Arlington
- WCSP assembled to PCB at SVT



DUT solder array



EM Failure Mechanism under DC

■ Cu EM controls the failure kinetics

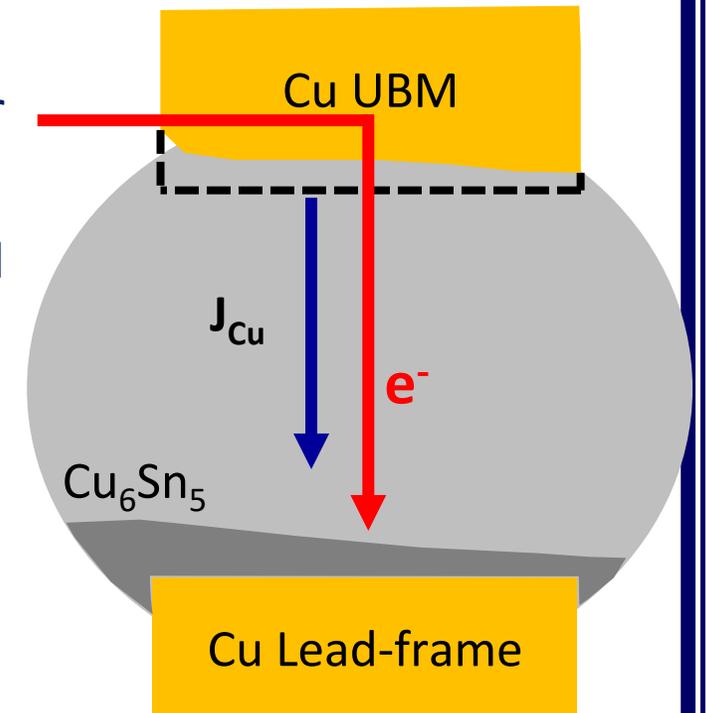
- Cu EM occurs preferentially and protects Sn from EM, making UBM to dissolve and thick Cu_6Sn_5 to accumulate at the anode
- Current crowding at the electron entering corner results in a faster dissolution of UBM
- Voiding starts at the corner and grows to the opposite end of UBM

■ E and n of EM failure

- Activation energy (E) is related to the Cu diffusion
- n represents void nucleation and growth under current crowding ($n > 2$)

■ Contributing factors

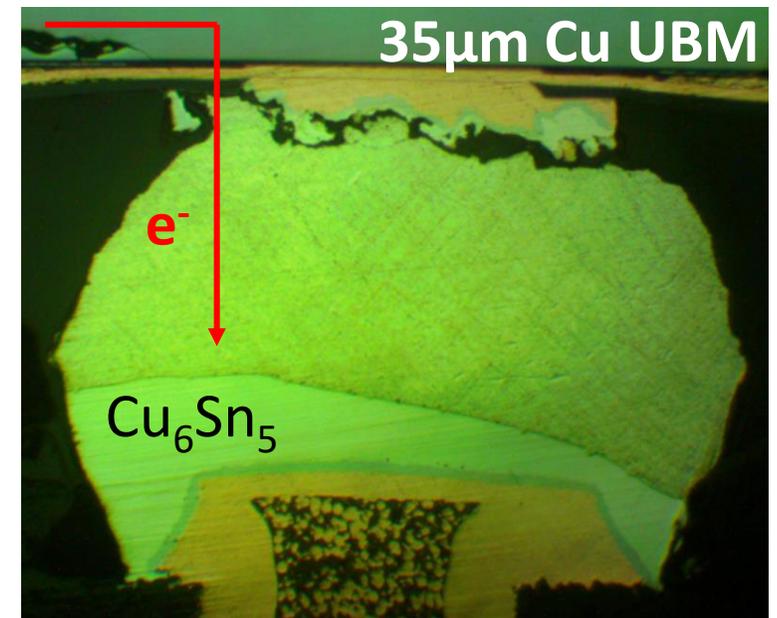
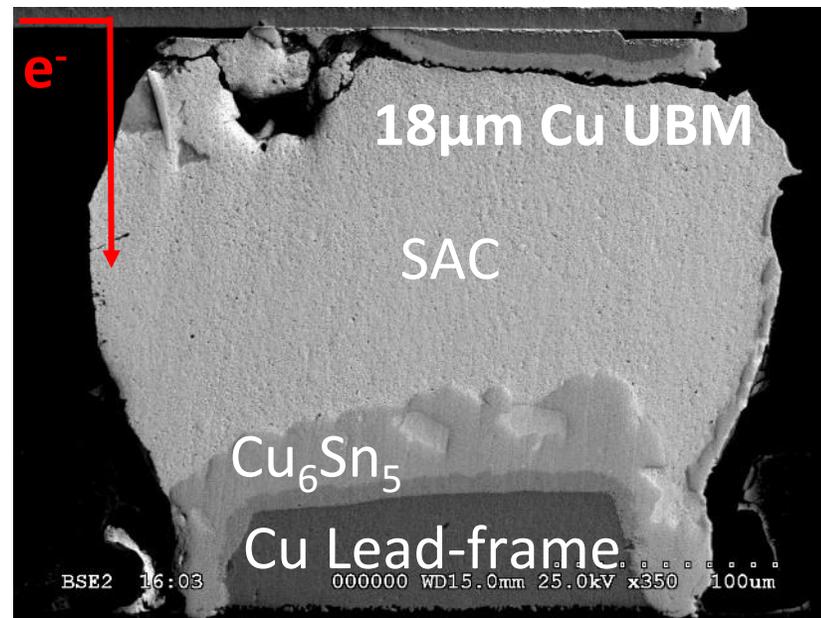
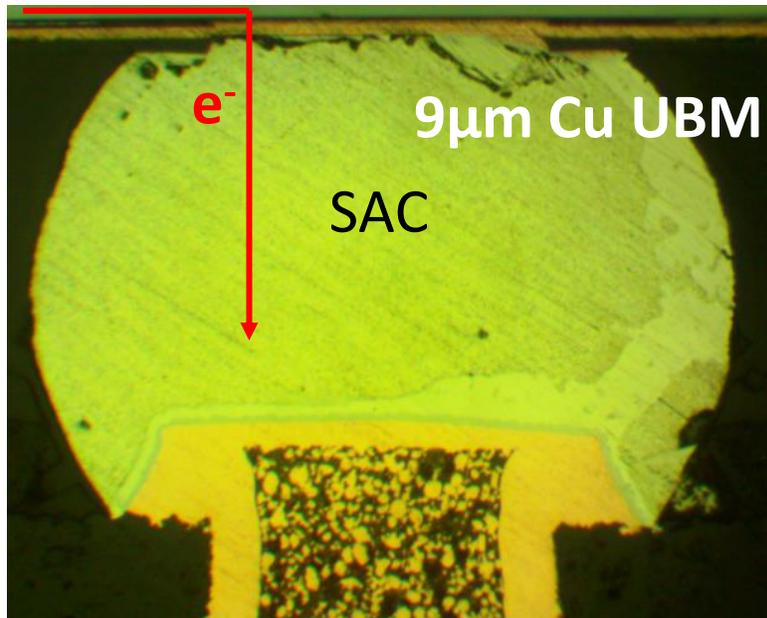
- UBM thickness: affects amount of Cu supply and thermal stress effect
- Geometric constraint: affects current crowding and thermal stress



Microstructural Failure Mechanism

■ Typical failure microstructure

- Failed by void nucleation and propagation at the cathode side of solder bump
- Thick Cu_6Sn_5 IMC forms at the anode side while UBM is dissolved away
- Current crowding effect exists (void starts at the entrance of electron)



Factors to Consider for Non-DC EM Failure

■ EM failure under DC

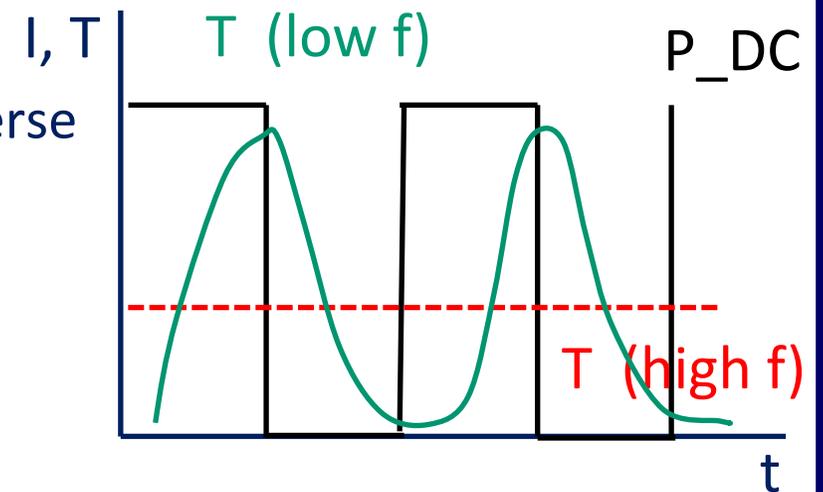
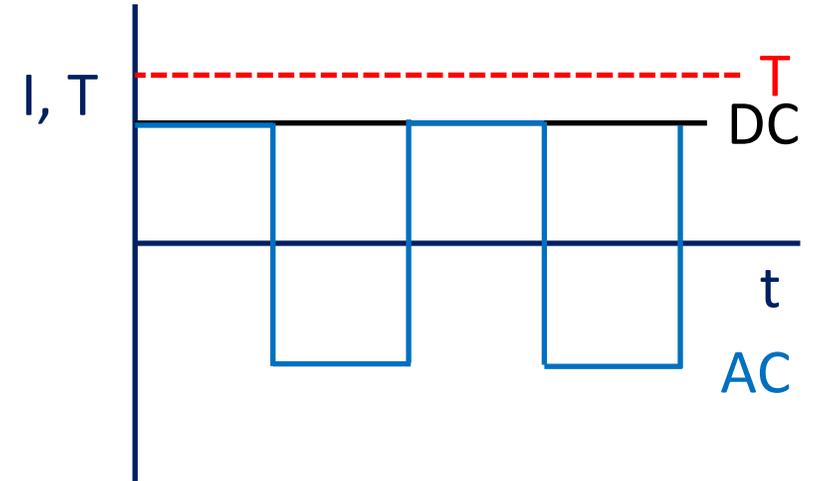
- Isothermal condition (with joule heat included)
- Kinetic mechanism is simpler and can be described using the Black's model.

■ EM failure under AC

- If square AC, isothermal condition (the same JH to DC)
- Damage develops by asymmetry flux between “forward” and “reverse” EM.
- Failure may develop faster at lower frequency when the reverse EM time is longer than the time to reverse the damage.

■ EM failure under pulsed DC

- Usually “on-time model”: (no recovery is considered)
- Temperature is not constant at low frequency
- Failure mechanism can be complicated with pulsing temperature



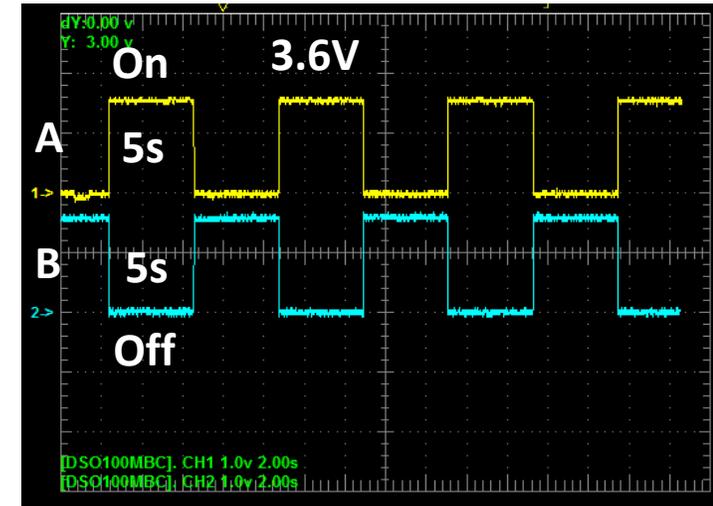
AC EM Test

- **Possible failure behaviors**

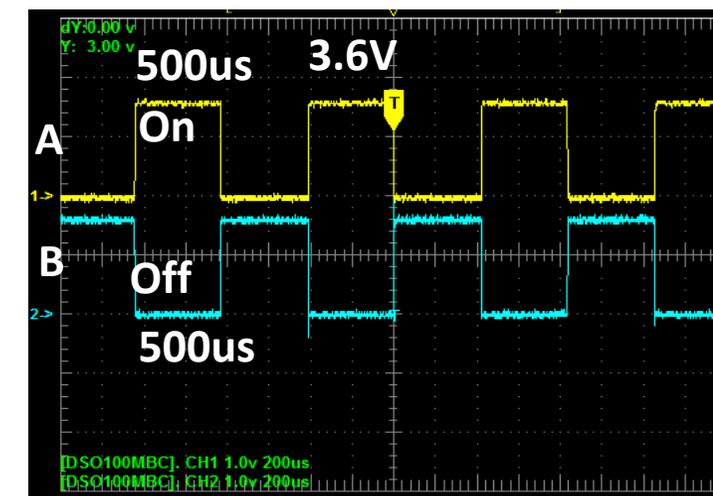
- No temperature fluctuation
- If EM damage is not repairable,
AC EM failure rate is similar to DC (damaging in both forward and reverse direction)
- If EM damage is repairable,
Low frequency AC: longer failure time than DC (*partial repair*)
High frequency AC: not fail for very long time due to near complete damage repair mechanism

- **Failure kinetics may be developed by extending the Black's model.**

- **But, “repairability” may not be only contributing factor**



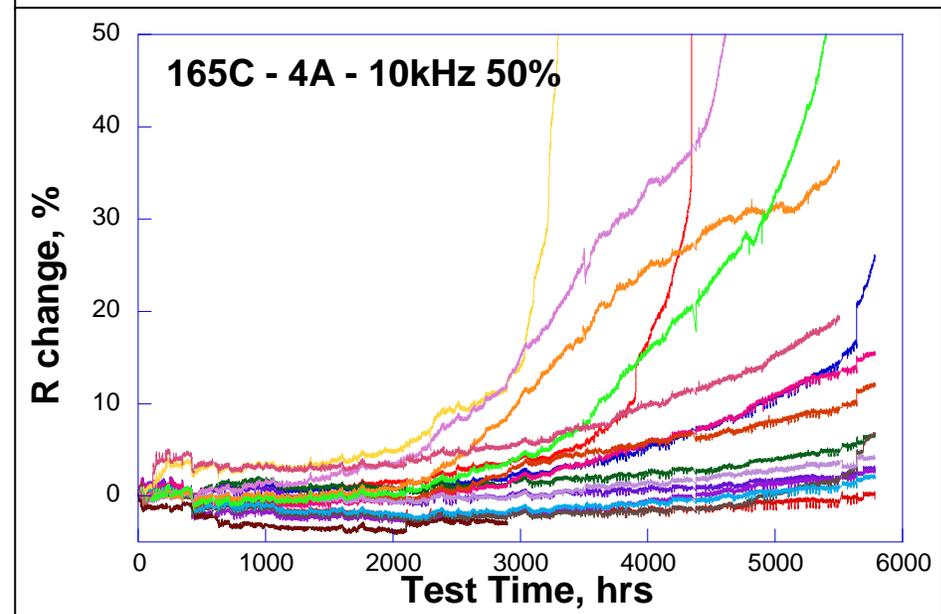
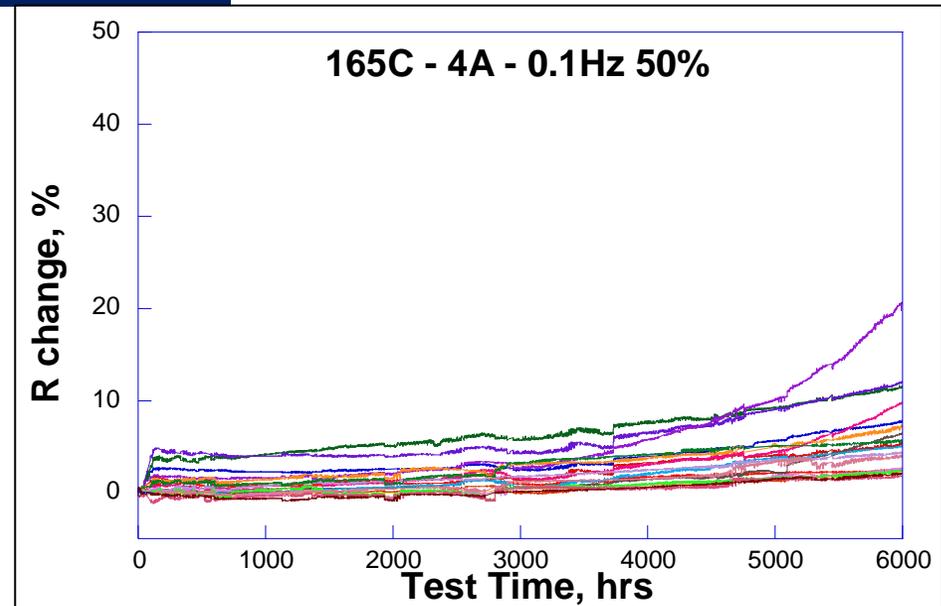
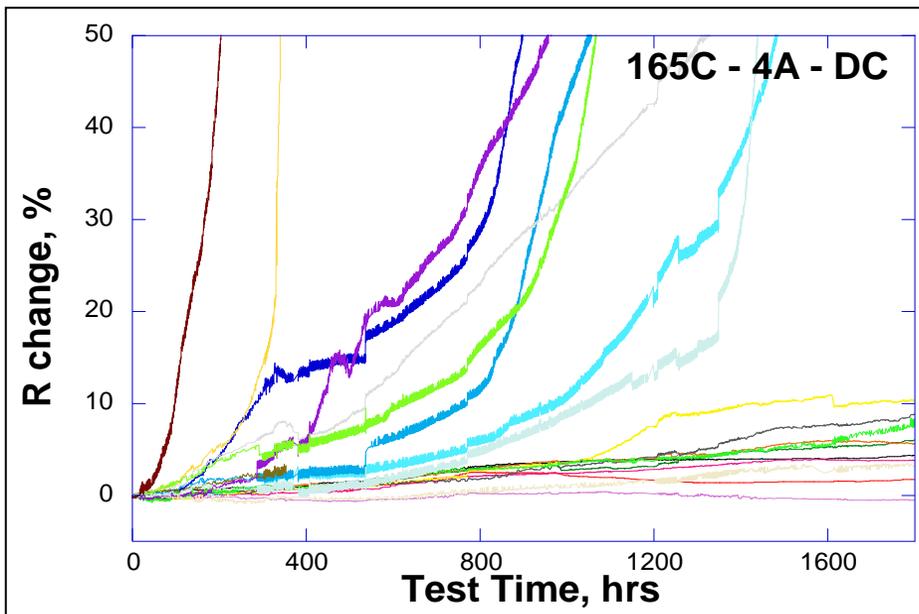
0.1 Hz



1000 Hz

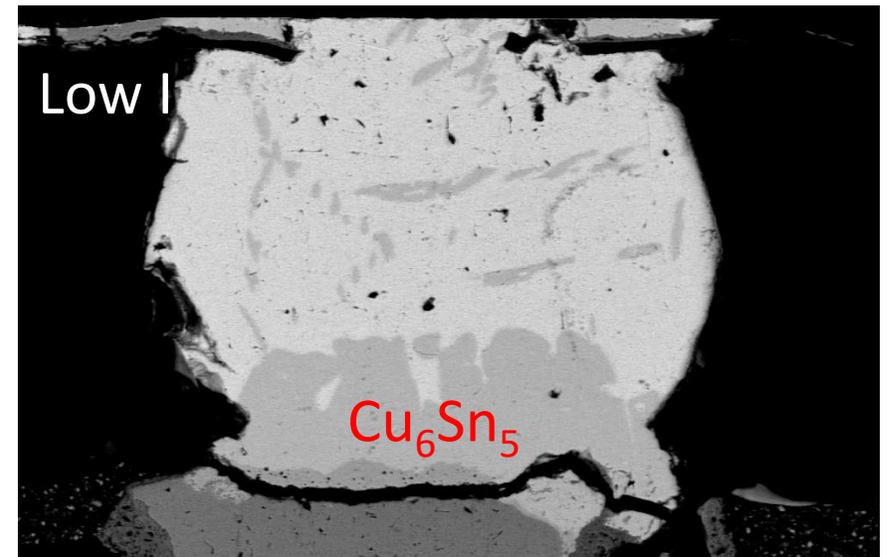
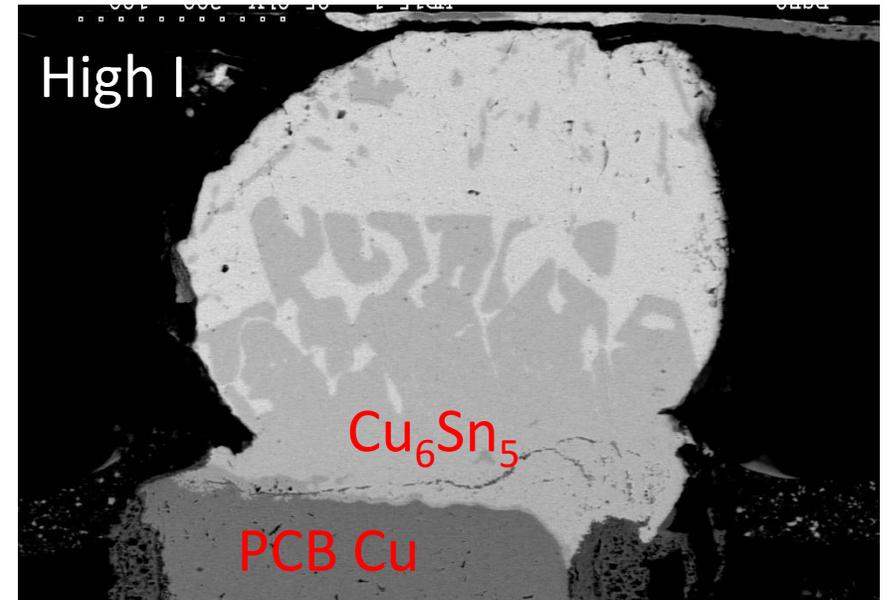
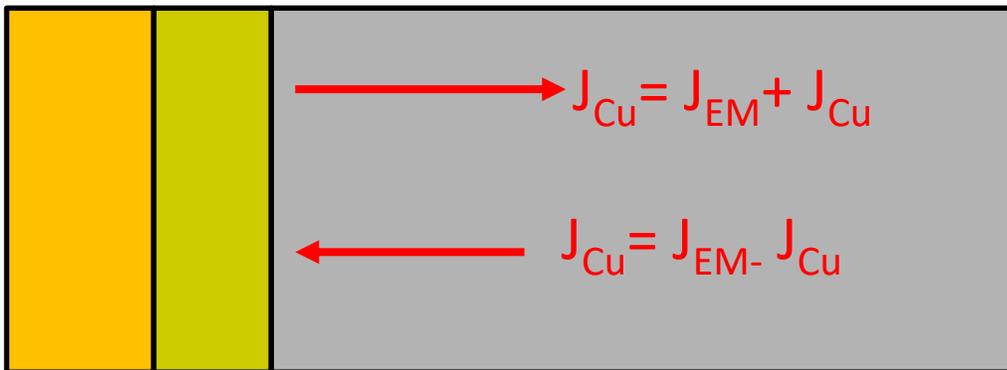
Failure Behavior under AC-EM Conditions

- Results are opposite to the expected
 - high frequency (10 kHz) fails faster than low frequency (0.1 Hz); true for both at DF=50 and 75%.
 - Asymmetric AC (75% DF) fails faster than symmetric AC (50% DF).
 - DC fails the fastest



Microstructural Failure Mechanism under AC: 10KHz

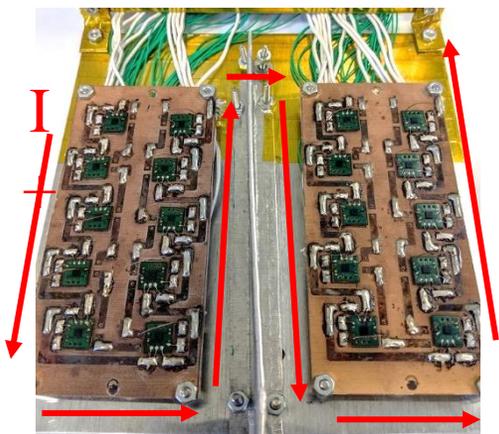
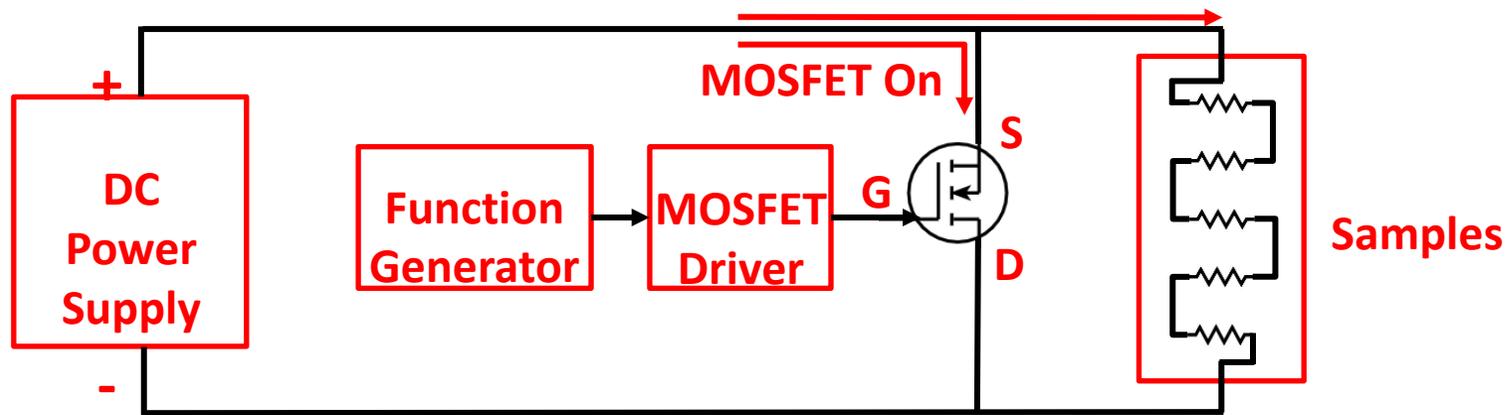
- Solder joint shows significant level of Cu injected into solder joint
 - significant fraction of Sn-solder transformed into Cu_6Sn_5 .
 - faster failure at high frequency is resulted by excessive growth Cu-Sn IMCs.
- Cu EM at Cu/Sn interface is not reversible
 - EM assists Cu dissolution but cannot reverse it.
 - EM in IMC and Cu is negligible



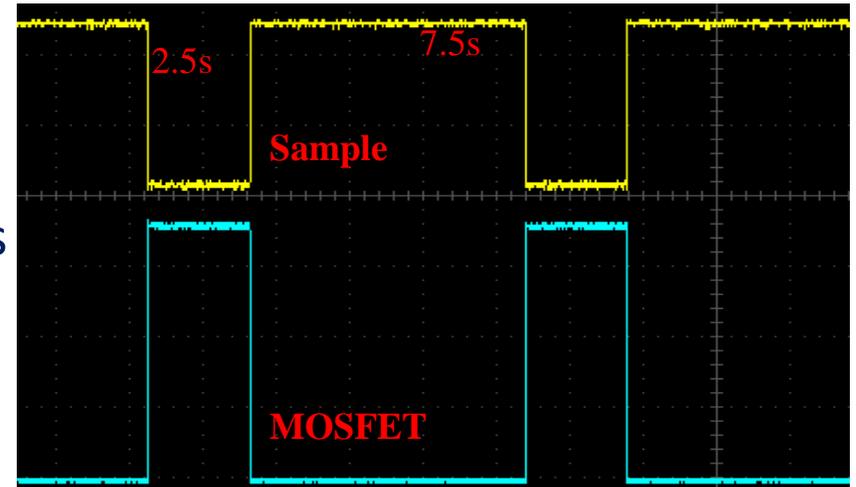
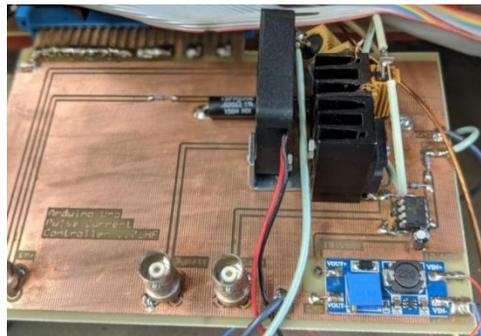
Pulsed DC EM Test: Circuit

■ “Crowbar” circuit

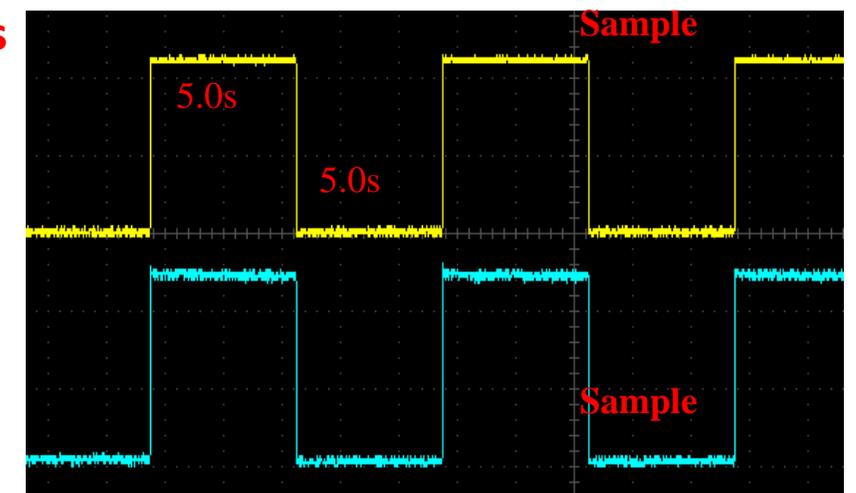
- Function generator controls MOSFET driver
- When MOSFET is closed, the test current bypasses samples
- When MOSFET is opened, the test current to samples



20 Samples
on testing boards



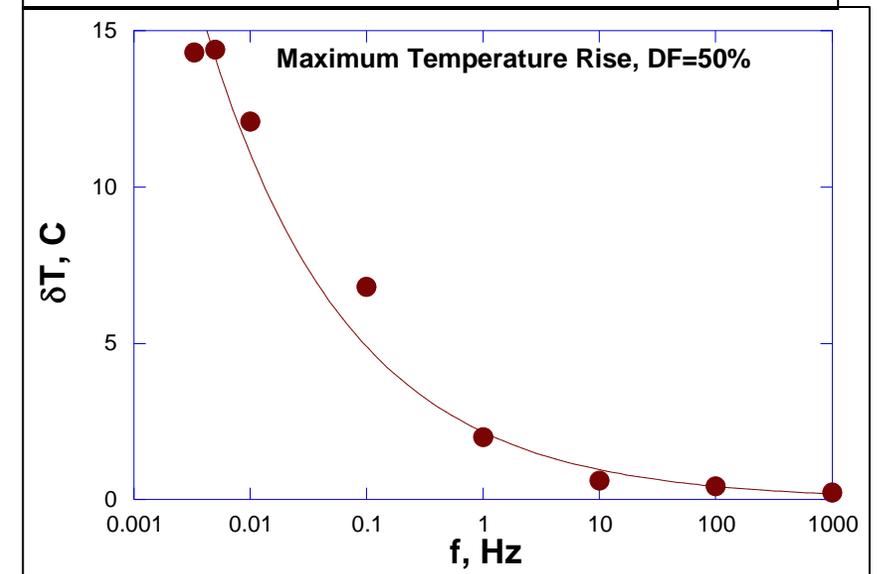
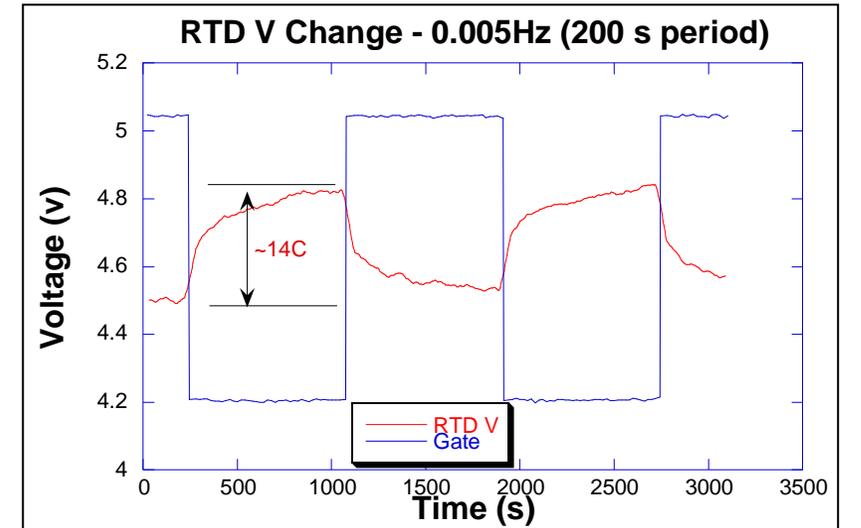
Pulsed DC at 0.1Hz 75% DF



Pulsed DC at 0.1Hz 50% DF

Hidden But Key Factor: Temperature

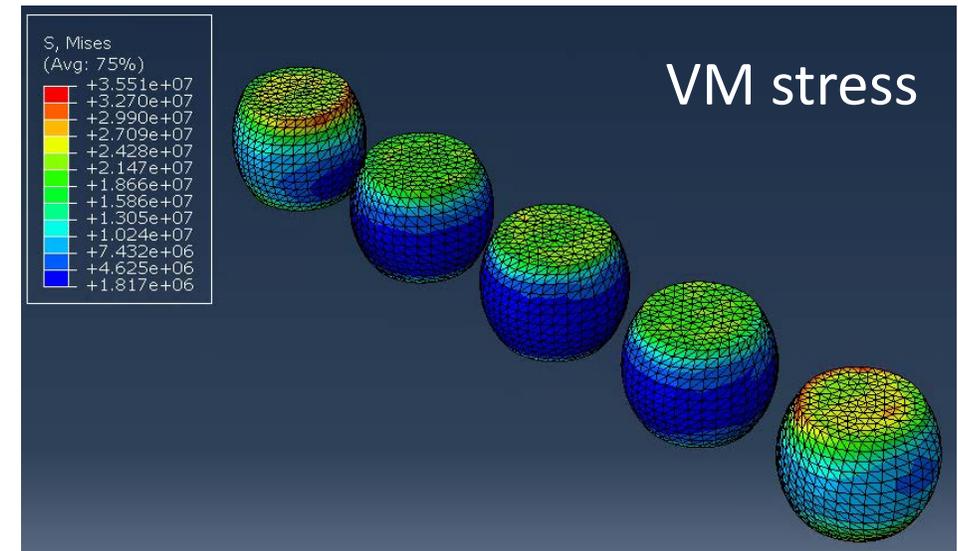
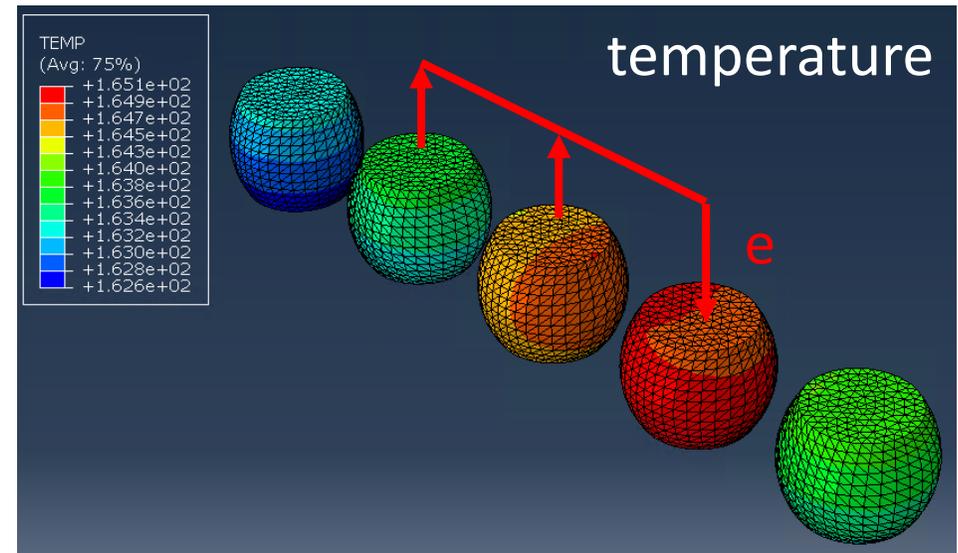
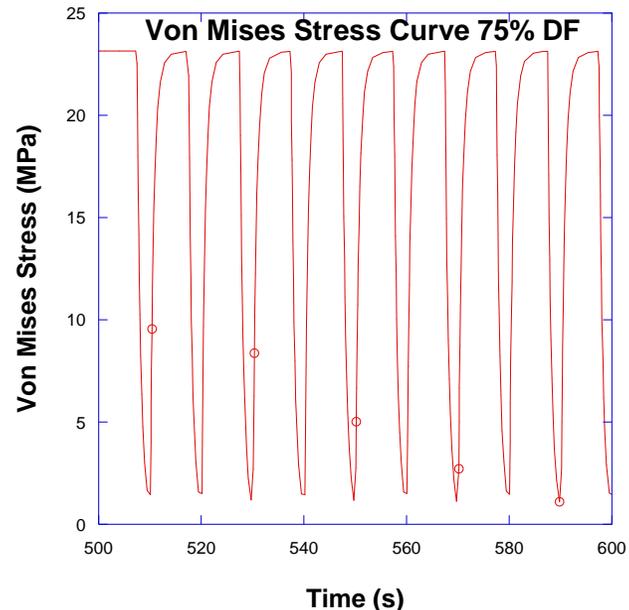
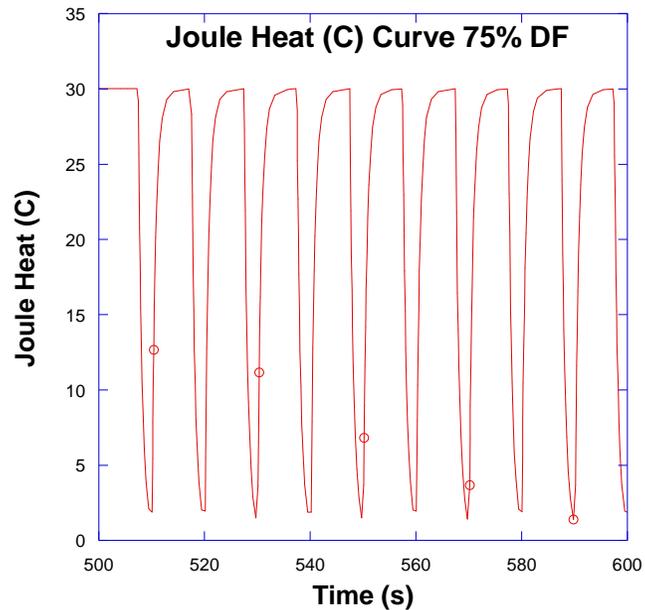
- **Measure at various PDC frequency using RTD**
 - Temperature change plateaus around 0.005 Hz (200s period) at 14 °C
 - 7°C change at 0.1Hz
- **DUT Temperature dependence on frequency**
 - T is low and constant at high frequency (>100Hz)
 - T pulsates along with pulsing current (<100Hz)
- **Pulsating temperature will impact failure**
 - Thermal stress becomes a factor
 - The failure may be assisted by the thermal fatigue



Source of Thermal Stress

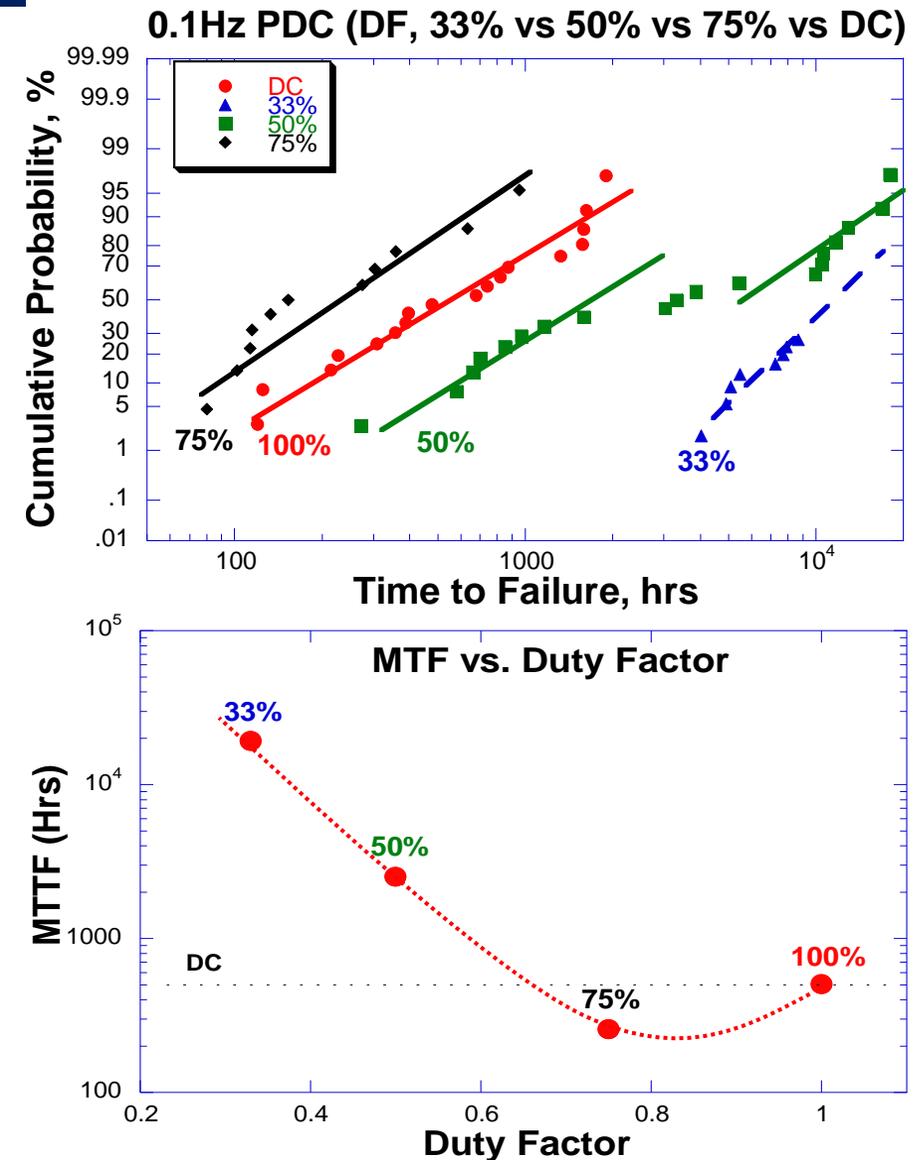
■ **Difference in Joule heat (JH) (resulting T difference) induces compressive stress on DUT and tension on surroundings**

- DUT is subjected to higher local JH than the surroundings
- Higher local JH on DUT causes larger expansion than supporting bumps do, resulting in compression on DUT and tension on surroundings



Failure Behavior at Pulsed EM Conditions

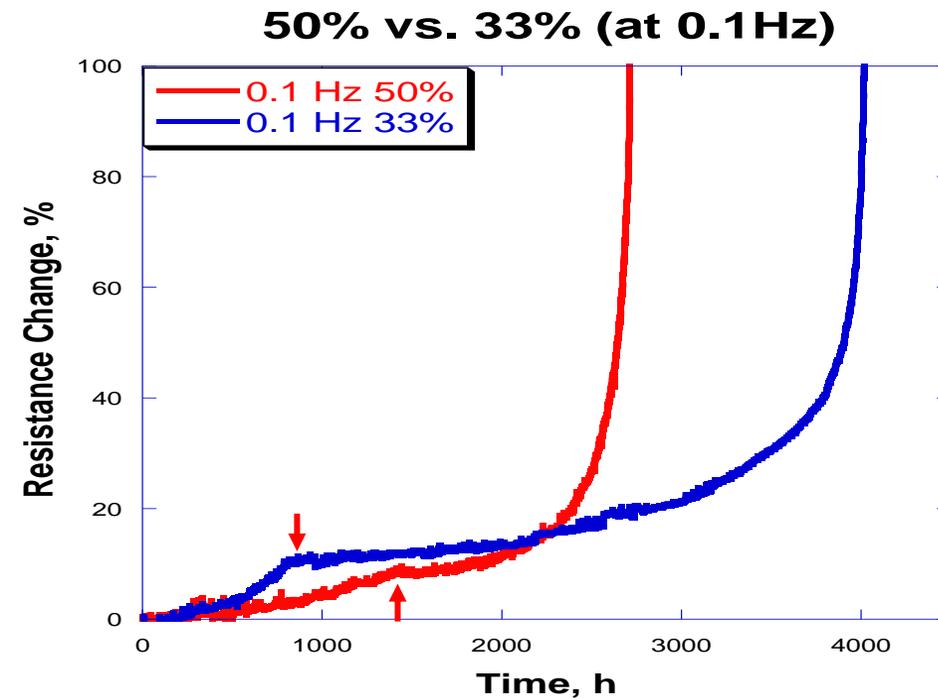
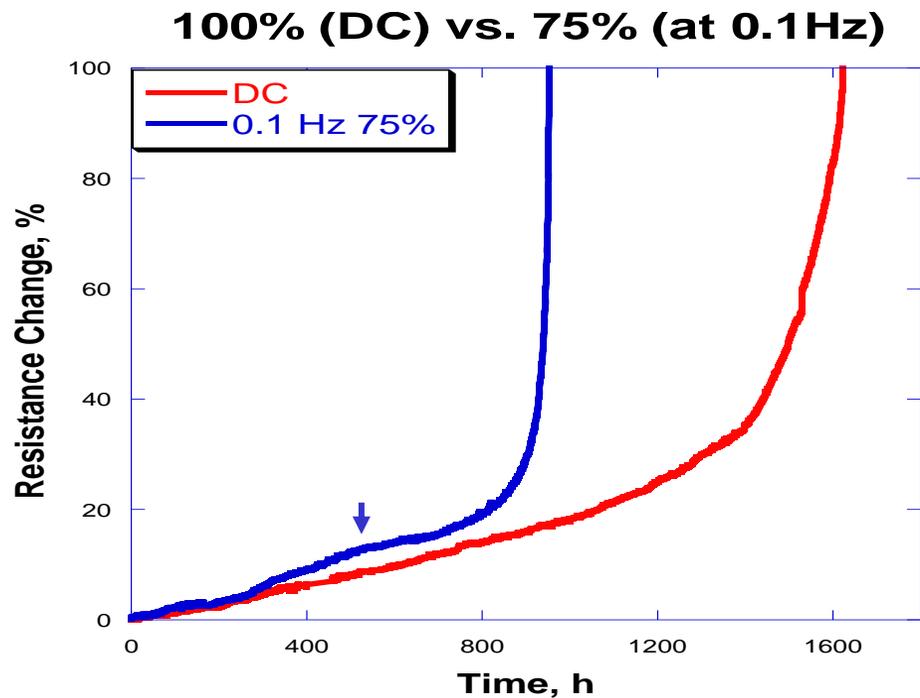
- **At $f > 10\text{kHz}$: different from the expected**
 - Failure rate is excessively slow (unable to induce failure even after 10k hours at 50% DF.
 - 14C lower DUT temperature may provide partial explanation
 - $T_{\text{on}} \sim T_{\text{off}}$ may allow more active damage relaxation.
- **At $f = 0.1\text{Hz}$: different from the expected**
 - 75% DF produces more damage than DC
 - Reduction in DF makes failure rate to be exponentially delayed. (the reduction is again more than T effect)
- **Kinetics does not follow the Black's model**



EM Failure Signal under Pulsed-DC Load

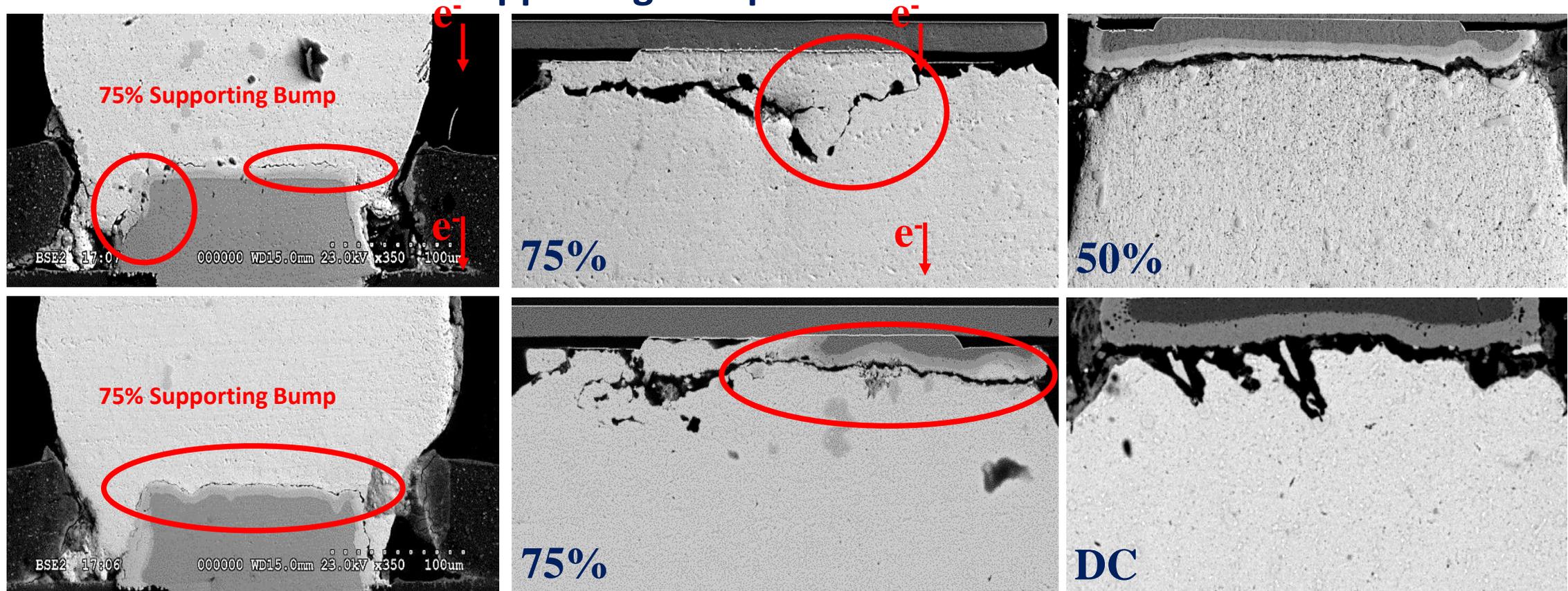
■ Failure Signal

- Resistance change shows 2 stage EM failure development under pulsed DC
- The first stage induces more damages but the failure slows down at the second stage.
- The transition to second stage occurs faster at low DF.



Cracking Assisted EM Failure under PDC

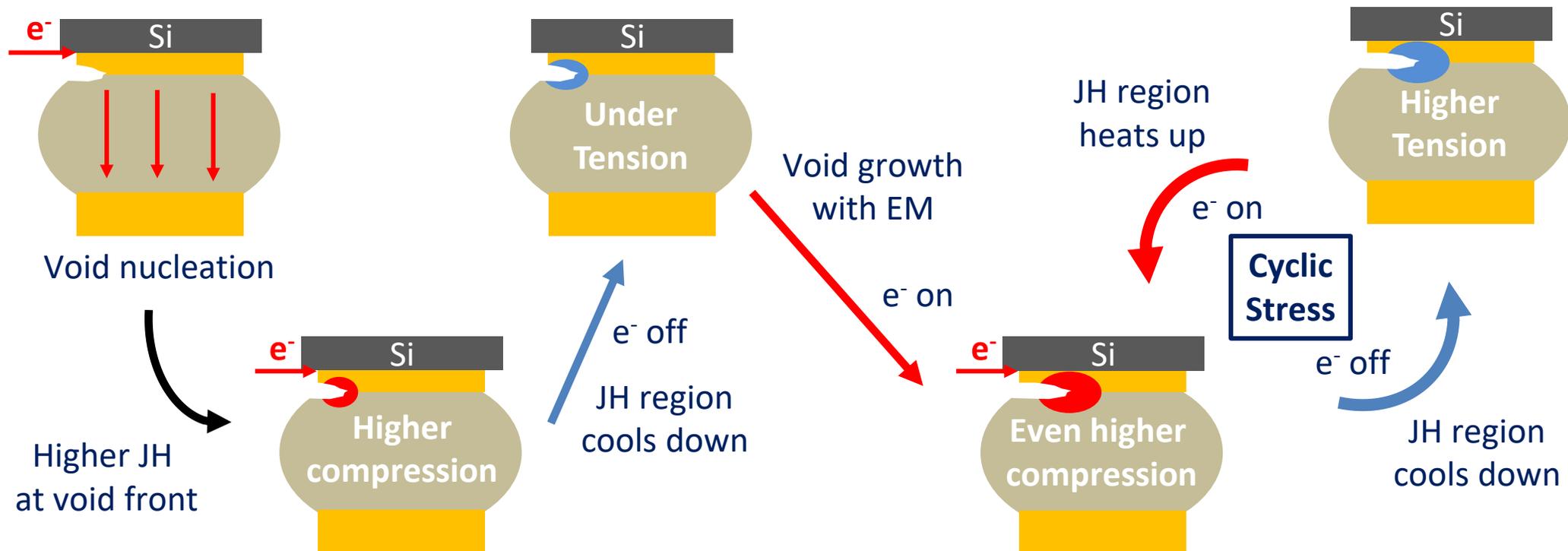
- **Narrow crack through Sn in 75% DF suggest involvement of mechanical fatigue**
 - Not conventional EM failure mechanism
 - Evidence that the thermal fatigue affects the failure w/ pulsating temperature.
- **Crack also exists at the supporting bumps**



Failure Acceleration by Thermal Fatigue

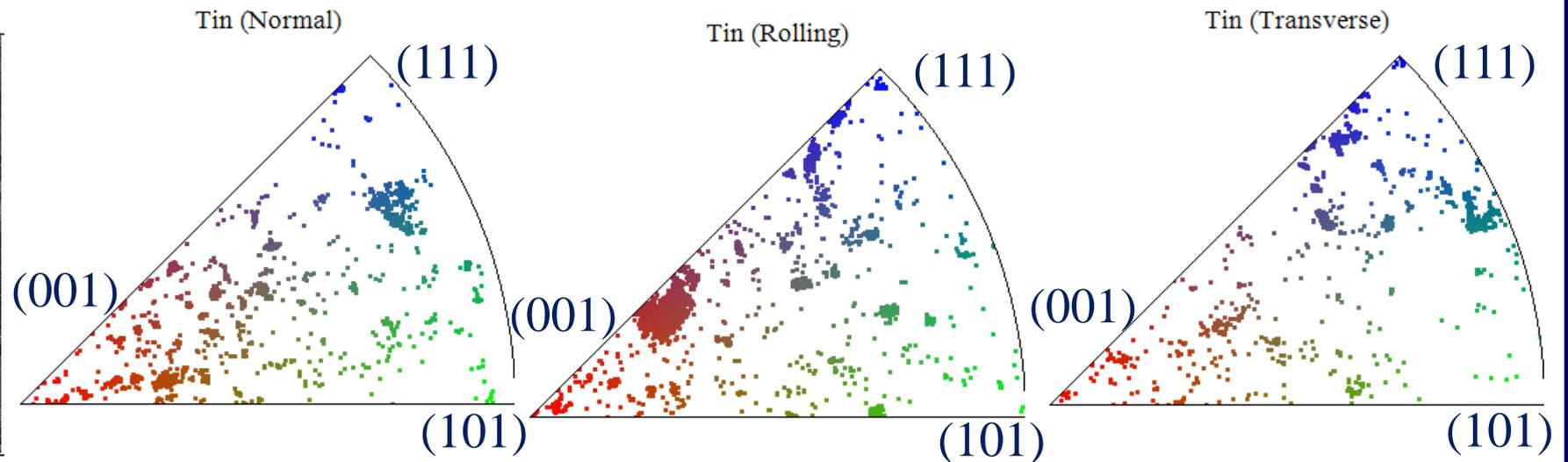
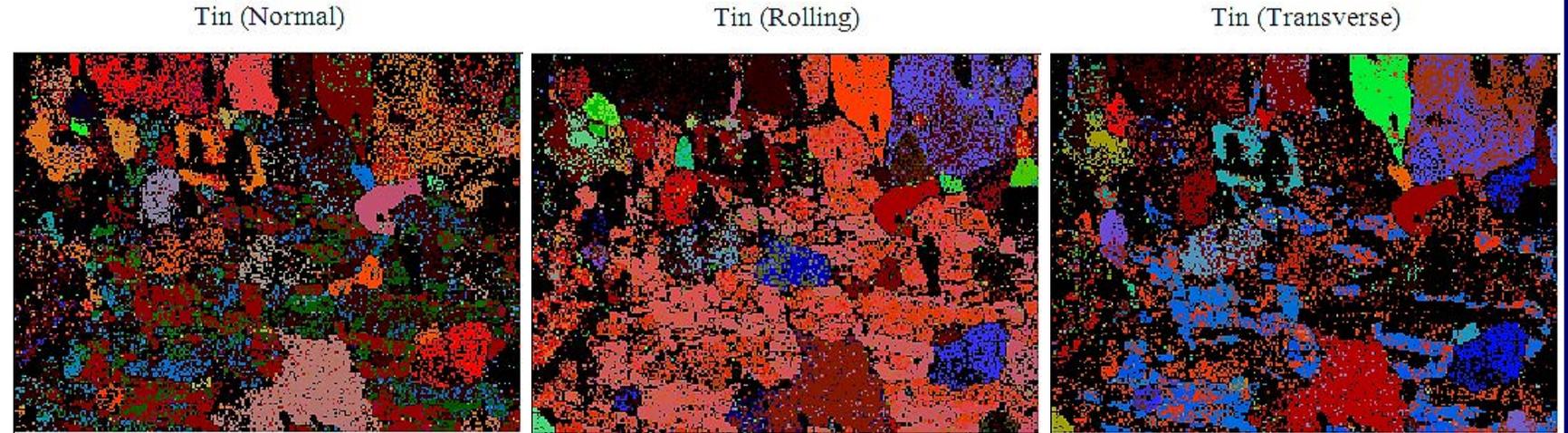
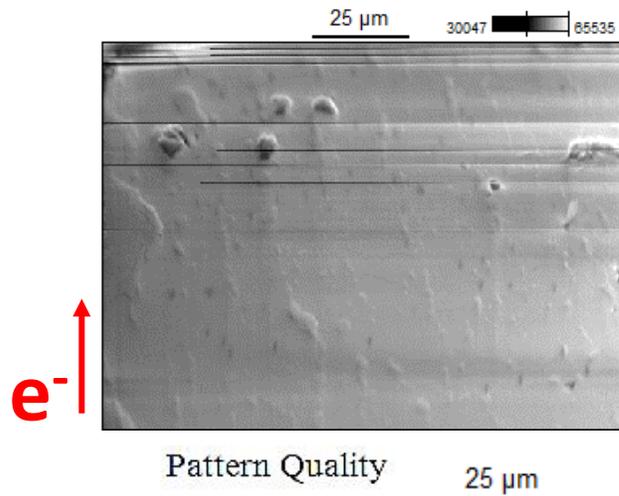
■ Thermal Fatigue + EM under pulsed DC

- Most notable at DF=75%
- Conventional EM voiding combines with mechanical fatigue from thermal fluctuation
- When stress fluctuation exceeds the yield strength, plastic deformation occurs, activating fatigue



Failure Deceleration by Recrystallization

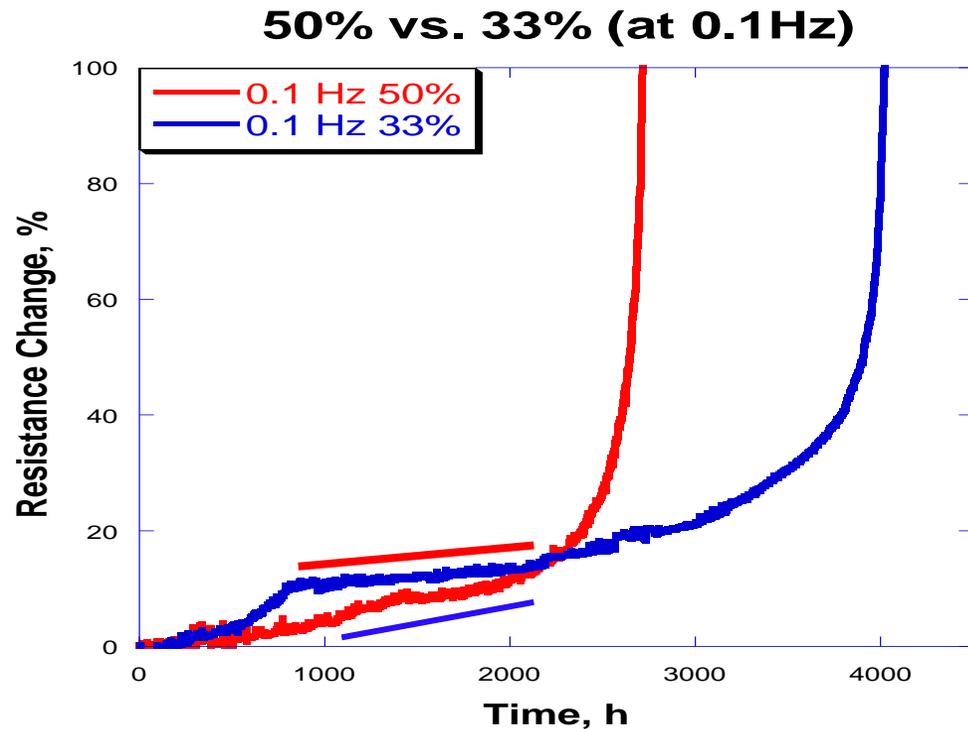
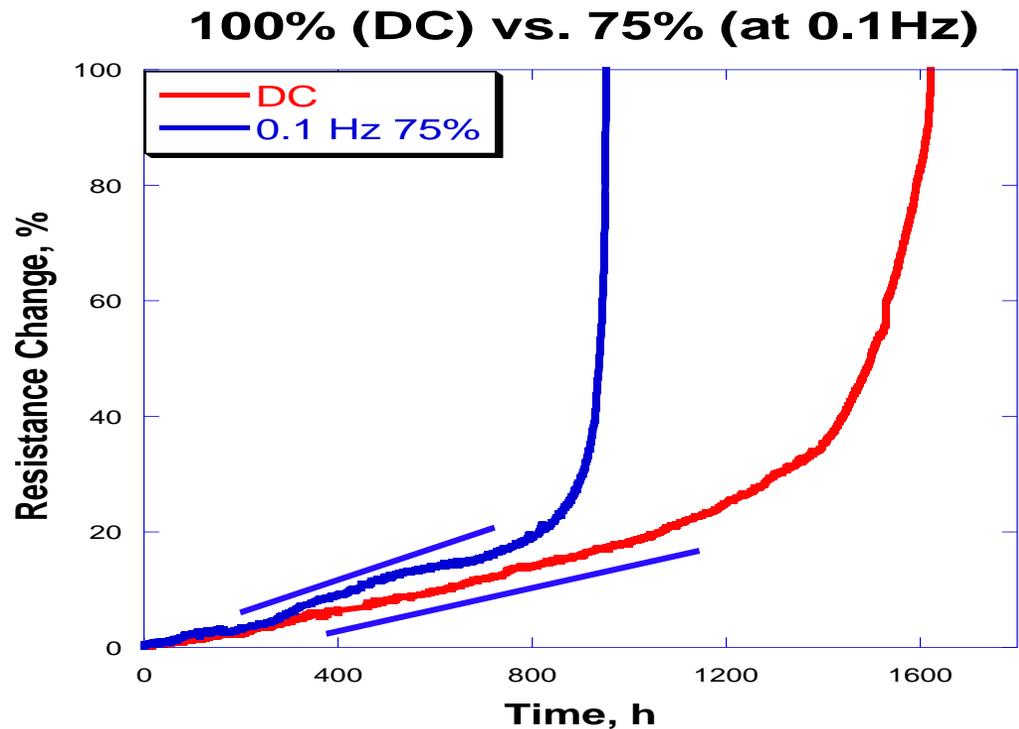
- Fine grain boundaries indicate a recrystallization of solder bump, removing fast EM path in Sn grain.



Overall Failure Mechanism at Low Frequency

■ Two mechanisms in competition

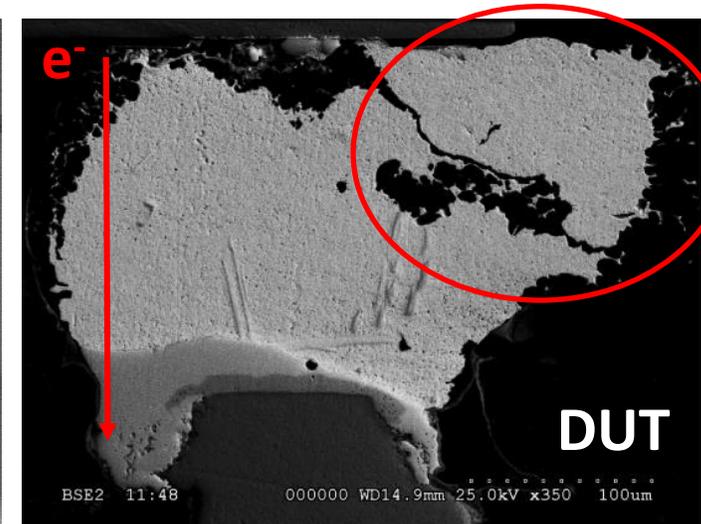
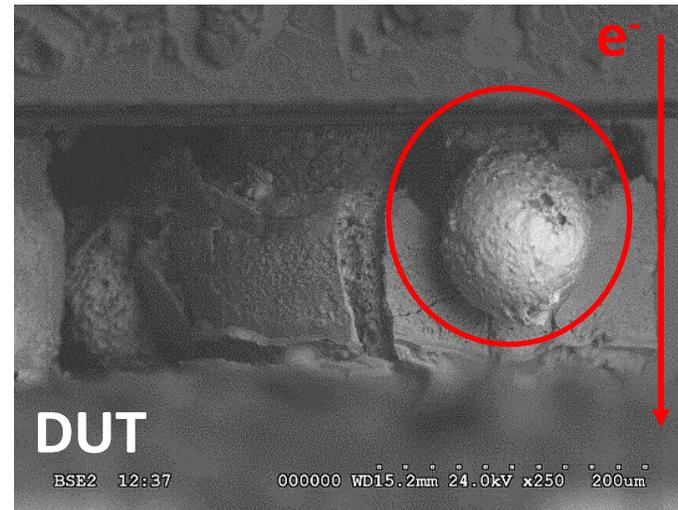
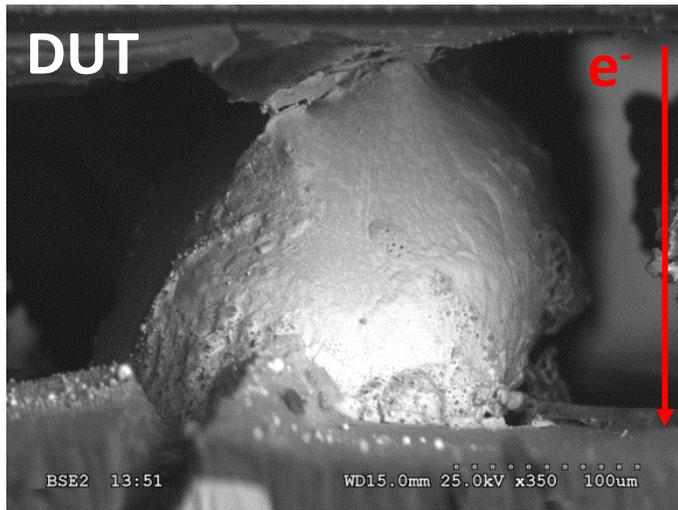
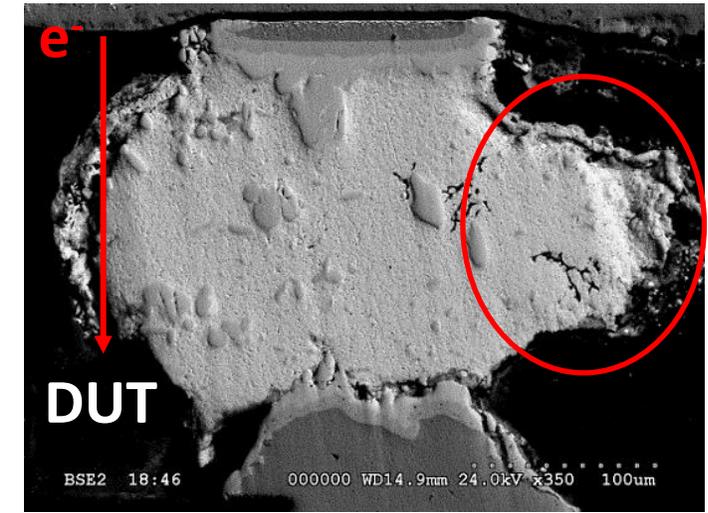
- Failure acceleration: thermal fatigue (more intense at high DF)
- Failure suppression: recrystallization (more intense at low DF)



New Failure Mechanism by Superplasticity

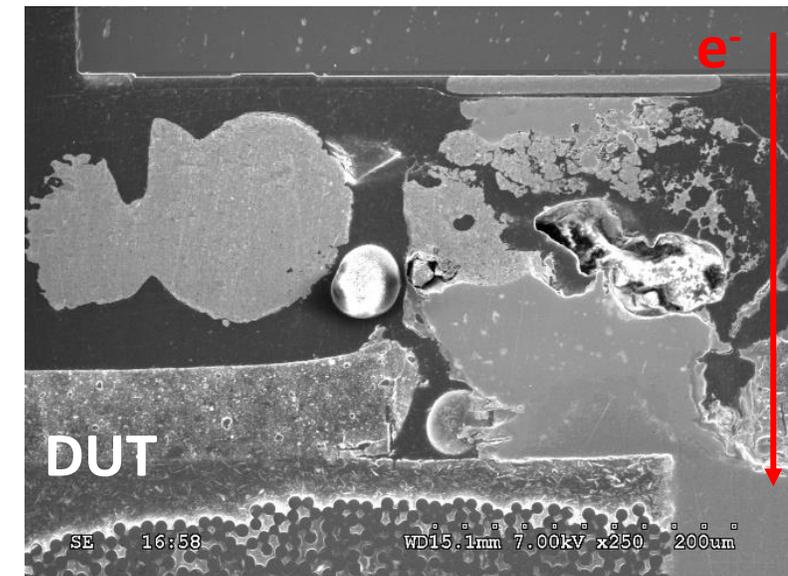
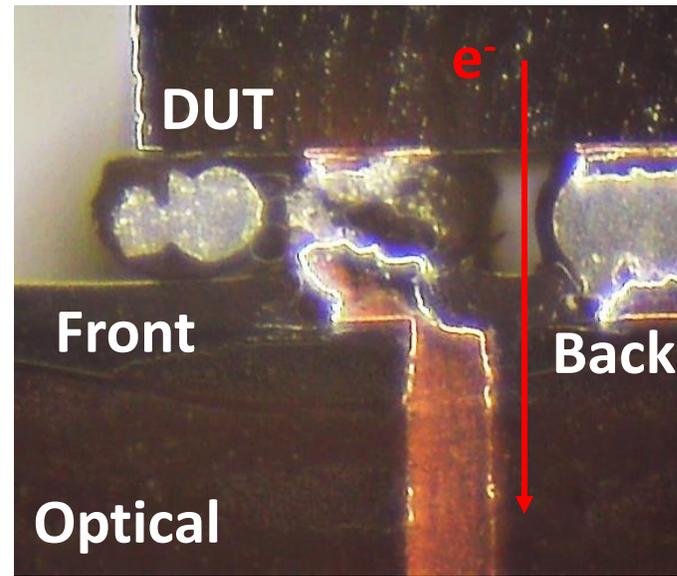
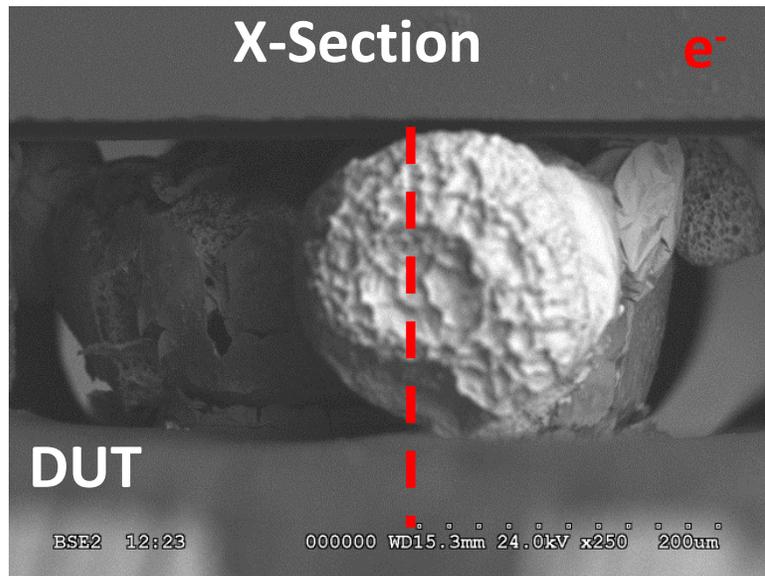
■ Superplasticity

- Extrusion of solder mass driven by uneven compressive stress
- Excessive extrusion becomes possible due to superplastic of solder
- Solder becomes superplastic by dynamic recrystallization effectively removing work hardening of solder
- Unusual to see this level of deformation because Sn is BCT



Ratcheting Failure by Extrusion of Solder

- Sn gets extruded due to uneven stress
 - Evidences the thermal stress and superplasticity of solder
 - Danger of a short circuit



Summary Experimental Observations

- **Generally understood EM failure mechanism is not valid for pulsed DC**
 - Stress and its pulsation affects the failure rate and failure mechanisms
 - Thermal fatigue can be included in the failure process at low frequency.
 - Recrystallization is also possible at low frequency.
 - Ratcheting failure is possible only with solder being superplastic
 - High frequency testing may bring new surprises (testing is in progress).
- **Irreversible reaction of Cu at Cu/solder interface dictates the failure rate**
 - Unlike expectation, EM failure does occur and can be serious reliability issue.
 - Damage starts as a format of extensive growth of IMC growth.
 - Damage repair is not as effective as is seen in thin film interconnects.
 - Needs more studies for better understanding.

Acknowledgements

- Thank you very much the invitation and attention to the presentation

- Graduate students

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- Funding and Support

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- Texas Instruments: funding and samples